

Friday, 17 January 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 16 January 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.15958	57,797,000	-
ASD	Smartshares Australian Dividend ETF	1.74636	16,929,541	-
ASF	Smartshares Australian Financials ETF	7.42775	3,740,468	-
ASP	Smartshares Australian Property ETF	1.66680	28,422,531	-
ASR	Smartshares Australian Resources ETF	5.34012	6,907,633	-
DIV	Smartshares NZ Dividend ETF	1.35074	51,407,211	-
EMF	Smartshares Emerging Markets ETF	1.38778	94,222,000	-
EUF	Smartshares Europe ETF	1.77868	102,040,313	-
FNZ	Smartshares NZ Top 50 ETF	3.19938	226,051,179	-
GBF	Smartshares Global Bond ETF	3.27324	66,279,618	70,000
MDZ	Smartshares NZ Mid Cap ETF	6.14700	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	7.60047	16,757,274	-
NPF	Smartshares NZ Property ETF	1.51051	80,511,748	-
NZB	Smartshares NZ Bond ETF	3.08920	110,861,618	-
NZC	Smartshares NZ Cash ETF	2.97065	45,930,954	-
OZY	Smartshares Australian Top 20 ETF	4.05501	34,356,267	-
TNZ	Smartshares NZ Top 10 ETF	2.00452	64,881,573	-
TWF	Smartshares Total World ETF	2.50219	24,659,273	25,000
USF	Smartshares US 500 ETF	9.15171	30,561,001	-
USG	Smartshares US Large Growth ETF	5.62840	8,394,000	30,519
USM	Smartshares US Mid Cap ETF	5.47603	15,160,716	-
USS	Smartshares US Small Cap ETF	5.05865	13,922,687	-
USV	Smartshares US Large Value ETF	3.66513	21,677,500	15,000
AGG	Smartshares Global Aggregate Bond ETF	1.34258	24,028,862	-
BOT	Smartshares Automation and Robotics ETF	3.29824	2,792,613	28,391
EMG	Smartshares Emerging Markets Equities ESG ETF	2.23943	7,931,868	-
ESG	Smartshares Global Equities ESG ETF	2.20997	2,553,105	-
EUG	Smartshares Europe Equities ESG ETF	2.50622	6,440,261	-
JPN	Smartshares Japan Equities ESG ETF	2.01398	5,850,716	20,279
LIV	Smartshares Healthcare Innovation ETF	2.57413	1,789,469	20,448
USA	Smartshares US Equities ESG ETF	2.28842	8,560,578	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.4 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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