

Tuesday, 21 January 2020

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 20 January 2020.

APA         Smartshares Asia Pacific ETF         2.16855         57,837,000         15,000           ASD         Smartshares Australian Dividend ETF         1,74949         16,929,541         -           ASF         Smartshares Australian Financials ETF         7,38782         3,740,468         -           ASP         Smartshares Australian Property ETF         1,65966         28,422,531         -           ASR         Smartshares Australian Resources ETF         5,41957         6,907,633         -           DIV         Smartshares Australian Resources ETF         1,34695         51,407,211         -           EMF         Smartshares RD Dividend ETF         1,40169         94,337,000         20,000           EUF         Smartshares RD Europe ETF         1,79176         102,200,313         135,000           FNZ         Smartshares NZ Top 50 ETF         3,20072         226,451,179         -           GBF         Smartshares NZ MIC Cap ETF         6,148,26         28,440,674         100,000           MZY         Smartshares NZ Property ETF         1,51961         80,761,748         -           NZE         Smartshares NZ Property ETF         1,51961         80,761,748         -           NZE         Smartshares NZ Caph ETF         2,97086	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.38782         3,740,468         -           ASP         Smartshares Australian Property ETF         1.65966         28,422,531         -           ASR         Smartshares Australian Resources ETF         5.41957         6,907,633         -           DIV         Smartshares Polydend ETF         1.34695         51,407,211         -           EMF         Smartshares Europe ETF         1.40169         94,337,000         20,000           EUF         Smartshares Europe ETF         1.79176         102,200,313         135,000           FNZ         Smartshares NZ Top 50 ETF         3.20072         226,451,179         -           GBF         Smartshares NZ Top 50 ETF         3.20072         226,451,179         -           GBF         Smartshares NZ Mod Cap ETF         3.27281         66,279,618         -           MDZ         Smartshares NZ Mut Cap ETF         7.57968         16,757,274         100,000           MZY         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZB         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZC         Smartshares NZ Bond ETF         2.97086         45,960,954 <t< td=""><td>APA</td><td>Smartshares Asia Pacific ETF</td><td>2.16855</td><td>57,837,000</td><td>15,000</td></t<>	APA	Smartshares Asia Pacific ETF	2.16855	57,837,000	15,000
ASP         Smartshares Australian Property ETF         1.65966         28,422,531         -           ASR         Smartshares Australian Resources ETF         5.41957         6,907,633         -           DIV         Smartshares NZ Dividend ETF         1.34695         51,407,211         -           EMF         Smartshares Emerging Markets ETF         1.40169         94,337,000         20,000           EUF         Smartshares Europe ETF         1.79176         102,200,313         135,000           FNZ         Smartshares NZ Top 50 ETF         3,20072         226,451,179         -           GBF         Smartshares NZ Top 50 ETF         3,20072         226,451,179         -           GBF         Smartshares NZ Mid Cap ETF         3,27281         66,279,618         -           MDZ         Smartshares Australian Mid Cap ETF         7,57968         16,757,274         -           NPF         Smartshares NZ Top 10 ETF         1,51961         80,761,748         -           NZC         Smartshares NZ Cash ETF         2,97086         45,960,954         -           OZY         Smartshares NZ Cash ETF         2,97086         45,960,954         -           NZC         Smartshares NZ Top 10 ETF         2,0652         65,313,573         2	ASD	Smartshares Australian Dividend ETF	1.74949	16,929,541	-
ASR         Smartshares Australian Resources ETF         5.41957         6.907,633         -           DIV         Smartshares NZ Dividend ETF         1.34695         51,407,211         -           EMF         Smartshares Emerging Markets ETF         1.40169         94,337,000         20,000           EUF         Smartshares Europe ETF         1.79176         102,200,313         135,000           FNZ         Smartshares NZ Top 50 ETF         3.20072         226,451,179         -           GBF         Smartshares NZ Top 50 ETF         3.27281         66,279,618         -           MDZ         Smartshares MZ Mid Cap ETF         6.14826         28,440,674         100,000           MZY         Smartshares Australian Mid Cap ETF         7.57968         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZC         Smartshares NZ Bond ETF         3.08871         110,901,618         -           NZC         Smartshares NZ Cash ETF         2.97086         45,960,954         -           OZY         Smartshares NZ Top 10 ETF         4.06189         34,355,627         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,313,573         25	ASF	Smartshares Australian Financials ETF	7.38782	3,740,468	-
DIV         Smartshares NZ Dividend ETF         1.34695         51,407,211         -           EMF         Smartshares Emerging Markets ETF         1.40169         94,337,000         20,000           EUF         Smartshares Europe ETF         1.79176         102,200,313         135,000           FNZ         Smartshares NZ Top 50 ETF         3.20072         226,451,179         -           GBF         Smartshares Global Bond ETF         3.27281         66,279,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.14826         28,440,674         100,000           MZY         Smartshares Australian Mid Cap ETF         7.57968         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZB         Smartshares NZ Bond ETF         3.08871         110,901,618         -           NZC         Smartshares NZ Cash ETF         2.97086         45,960,954         -           OZY         Smartshares Australian Top 20 ETF         4.06189         34,355,267         -           TNZ         Smartshares NZ Top 10 ETF         2.0652         65,131,573         250,000           USF         Smartshares Su Soo ETF         9.24712         30,591,001	ASP	Smartshares Australian Property ETF	1.65966	28,422,531	-
EMF         Smartshares Emerging Markets ETF         1.40169         94,337,000         20,000           EUF         Smartshares Europe ETF         1.79176         102,200,313         135,000           FNZ         Smartshares NZ Top 50 ETF         3.20072         226,451,179         -           GBF         Smartshares Global Bond ETF         3.27281         66,279,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.14826         28,440,674         100,000           MZY         Smartshares Australian Mid Cap ETF         7.57968         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZB         Smartshares NZ Bond ETF         3.08871         110,901,618         -           NZC         Smartshares NZ Cash ETF         2.97086         45,960,954         -           OZY         Smartshares Australian Top 20 ETF         4.06189         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US Goe ETF         5.70570         8,531,335	ASR	Smartshares Australian Resources ETF	5.41957	6,907,633	-
EUF         Smartshares Europe ETF         1.79176         102,200,313         135,000           FNZ         Smartshares NZ Top 50 ETF         3.20072         226,451,179         -           GBF         Smartshares Global Bond ETF         3.27281         66,279,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.14826         28,440,674         100,000           MZY         Smartshares Australian Mid Cap ETF         7.57968         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZB         Smartshares NZ Dend ETF         3.08871         110,901,618         -           NZC         Smartshares NZ Dend ETF         2.97086         45,960,954         -           OZY         Smartshares Australian Top 20 ETF         4.06189         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US 500 ETF         9.24712         30,591,001         30,000           USG         Smartshares US farge Growth ETF         5.70570         8,531,335	DIV	Smartshares NZ Dividend ETF	1.34695	51,407,211	-
FNZ         Smartshares NZ Top 50 ETF         3.20072         226,451,179         -           GBF         Smartshares Global Bond ETF         3.27281         66,279,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.14826         28,440,674         100,000           MZY         Smartshares Australian Mid Cap ETF         7.57968         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZB         Smartshares NZ Bond ETF         3.08871         110,901,618         -           NZC         Smartshares NZ Gash ETF         2.97086         45,960,954         -           OZY         Smartshares NZ Top 10 ETF         4.06189         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares NZ Top 10 ETF         2.52429         24,779,273         120,000           USF         Smartshares US 500 ETF         9.24712         30,591,001         30,000           USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USM         Smartshares US Small Cap ETF         5.09867         13,937,732	EMF	Smartshares Emerging Markets ETF	1.40169	94,337,000	20,000
GBF         Smartshares Global Bond ETF         3.27281         66,279,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.14826         28,440,674         100,000           MZY         Smartshares Australian Mid Cap ETF         7.57968         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZB         Smartshares NZ Bond ETF         3.08871         110,901,618         -           NZC         Smartshares NZ Cash ETF         2.97086         45,960,954         -           OZY         Smartshares Australian Top 20 ETF         4.06189         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US So0 ETF         9.24712         30,591,001         30,000           USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USW         Smartshares US Small Cap ETF         5.09867         13,937,732         15,045           USV         Smartshares Global Aggregate Bond ETF         1.33855         24	EUF	Smartshares Europe ETF	1.79176	102,200,313	135,000
MDZ         Smartshares NZ Mid Cap ETF         6.14826         28,440,674         100,000           MZY         Smartshares Australian Mid Cap ETF         7.57968         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZB         Smartshares NZ Bond ETF         3.08871         110,901,618         -           NZC         Smartshares NZ Cash ETF         2.97086         45,960,954         -           OZY         Smartshares Australian Top 20 ETF         4.06189         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US Soo ETF         9.24712         30,591,001         30,000           USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USM         Smartshares US Small Cap ETF         5.53089         15,160,716         -           USS         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855 <td< td=""><td>FNZ</td><td>Smartshares NZ Top 50 ETF</td><td>3.20072</td><td>226,451,179</td><td>-</td></td<>	FNZ	Smartshares NZ Top 50 ETF	3.20072	226,451,179	-
MZY         Smartshares Australian Mid Cap ETF         7.57968         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZB         Smartshares NZ Bond ETF         3.08871         110,901,618         -           NZC         Smartshares NZ Cash ETF         2.97086         45,960,954         -           OZY         Smartshares Australian Top 20 ETF         4.06189         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US 500 ETF         9.24712         30,591,001         30,000           USG         Smartshares US Mid Cap ETF         5.70570         8,531,335         116,989           USM         Smartshares US Small Cap ETF         5.53089         15,160,716         -           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Automation and Robotics ETF         3.33495         2,816,948         24,335           EMG         Smartshares Emerging Markets Equities ESG ETF         2.22268 <td>GBF</td> <td>Smartshares Global Bond ETF</td> <td>3.27281</td> <td>66,279,618</td> <td>-</td>	GBF	Smartshares Global Bond ETF	3.27281	66,279,618	-
NPF         Smartshares NZ Property ETF         1.51961         80,761,748         -           NZB         Smartshares NZ Bond ETF         3.08871         110,901,618         -           NZC         Smartshares NZ Cash ETF         2.97086         45,960,954         -           OZY         Smartshares Australian Top 20 ETF         4.06189         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US 500 ETF         9.24712         30,591,001         30,000           USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USM         Smartshares US Small Cap ETF         5.53089         15,160,716         -           USS         Smartshares US Small Cap ETF         5.09867         13,937,732         15,045           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Automation and Robotics ETF         3.33495         2,816,948         24,335           EMG         Smartshares Emerging Markets Equitties ESG ETF         2.242	MDZ	Smartshares NZ Mid Cap ETF	6.14826	28,440,674	100,000
NZB         Smartshares NZ Bond ETF         3.08871         110,901,618         -           NZC         Smartshares NZ Cash ETF         2.97086         45,960,954         -           OZY         Smartshares Australian Top 20 ETF         4.06189         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US 500 ETF         9.24712         30,591,001         30,000           USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USM         Smartshares US Small Cap ETF         5.53089         15,160,716         -           USS         Smartshares US Large Value ETF         5.09867         13,937,732         15,045           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855         24,069,575         40,713           BOT         Smartshares Automation and Robotics ETF         2.24265         7,931,868         -           ESG         Smartshares Emerging Markets Equities ESG ETF	MZY	Smartshares Australian Mid Cap ETF	7.57968	16,757,274	-
NZC         Smartshares NZ Cash ETF         2.97086         45,960,954         -           OZY         Smartshares Australian Top 20 ETF         4.06189         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US 500 ETF         9.24712         30,591,001         30,000           USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USM         Smartshares US Mid Cap ETF         5.53089         15,160,716         -           USS         Smartshares US Small Cap ETF         5.09867         13,937,732         15,045           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855         24,069,575         40,713           BOT         Smartshares Emerging Markets Equities ESG ETF         2.24265         7,931,868         -           ESG         Smartshares Global Equities ESG ETF         2.22268         2,553,105         -           EUG         Smartshares Lquities ESG ETF <td< td=""><td>NPF</td><td>Smartshares NZ Property ETF</td><td>1.51961</td><td>80,761,748</td><td>-</td></td<>	NPF	Smartshares NZ Property ETF	1.51961	80,761,748	-
OZY         Smartshares Australian Top 20 ETF         4.06189         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US 500 ETF         9.24712         30,591,001         30,000           USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USM         Smartshares US Mid Cap ETF         5.53089         15,160,716         -           USS         Smartshares US Small Cap ETF         5.09867         13,937,732         15,045           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855         24,069,575         40,713           BOT         Smartshares Automation and Robotics ETF         3.33495         2,816,948         24,335           EMG         Smartshares Emerging Markets Equities ESG ETF         2.24265         7,931,868         -           ESG         Smartshares Europe Equities ESG ETF         2.51802         6,440,261         -           EUG         Smartshares Japan Equitie	NZB	Smartshares NZ Bond ETF	3.08871	110,901,618	-
TNZ         Smartshares NZ Top 10 ETF         2.00652         65,131,573         250,000           TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US 500 ETF         9.24712         30,591,001         30,000           USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USM         Smartshares US Mid Cap ETF         5.53089         15,160,716         -           USS         Smartshares US Small Cap ETF         5.09867         13,937,732         15,045           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855         24,069,575         40,713           BOT         Smartshares Automation and Robotics ETF         3.33495         2,816,948         24,335           EMG         Smartshares Emerging Markets Equities ESG ETF         2.24265         7,931,868         -           ESG         Smartshares Europe Equities ESG ETF         2.51802         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.02065         5,850,716         -           LIV         Smartshares Healthcare In	NZC	Smartshares NZ Cash ETF	2.97086	45,960,954	-
TWF         Smartshares Total World ETF         2.52429         24,779,273         120,000           USF         Smartshares US 500 ETF         9.24712         30,591,001         30,000           USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USM         Smartshares US Mid Cap ETF         5.53089         15,160,716         -           USS         Smartshares US Small Cap ETF         5.09867         13,937,732         15,045           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855         24,069,575         40,713           BOT         Smartshares Automation and Robotics ETF         3.33495         2,816,948         24,335           EMG         Smartshares Emerging Markets Equities ESG ETF         2.24265         7,931,868         -           ESG         Smartshares Global Equities ESG ETF         2.22268         2,553,105         -           EUG         Smartshares Europe Equities ESG ETF         2.51802         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.02065         5,850,716         -           LIV         Smartshares Healthcare	OZY	Smartshares Australian Top 20 ETF	4.06189	34,356,267	-
USF         Smartshares US 500 ETF         9.24712         30,591,001         30,000           USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USM         Smartshares US Mid Cap ETF         5.53089         15,160,716         -           USS         Smartshares US Small Cap ETF         5.09867         13,937,732         15,045           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855         24,069,575         40,713           BOT         Smartshares Automation and Robotics ETF         3.33495         2,816,948         24,335           EMG         Smartshares Emerging Markets Equities ESG ETF         2.24265         7,931,868         -           ESG         Smartshares Global Equities ESG ETF         2.22268         2,553,105         -           EUG         Smartshares Europe Equities ESG ETF         2.51802         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.02065         5,850,716         -           LIV         Smartshares Healthcare Innovation ETF         2.59991         1,789,469         -	TNZ	Smartshares NZ Top 10 ETF	2.00652	65,131,573	250,000
USG         Smartshares US Large Growth ETF         5.70570         8,531,335         116,989           USM         Smartshares US Mid Cap ETF         5.53089         15,160,716         -           USS         Smartshares US Small Cap ETF         5.09867         13,937,732         15,045           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855         24,069,575         40,713           BOT         Smartshares Automation and Robotics ETF         3.33495         2,816,948         24,335           EMG         Smartshares Emerging Markets Equities ESG ETF         2.24265         7,931,868         -           ESG         Smartshares Global Equities ESG ETF         2.22268         2,553,105         -           EUG         Smartshares Europe Equities ESG ETF         2.51802         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.02065         5,850,716         -           LIV         Smartshares Healthcare Innovation ETF         2.59991         1,789,469         -	TWF	Smartshares Total World ETF	2.52429	24,779,273	120,000
USM         Smartshares US Mid Cap ETF         5.53089         15,160,716         -           USS         Smartshares US Small Cap ETF         5.09867         13,937,732         15,045           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855         24,069,575         40,713           BOT         Smartshares Automation and Robotics ETF         3.33495         2,816,948         24,335           EMG         Smartshares Emerging Markets Equities ESG ETF         2.24265         7,931,868         -           ESG         Smartshares Global Equities ESG ETF         2.22268         2,553,105         -           EUG         Smartshares Europe Equities ESG ETF         2.51802         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.02065         5,850,716         -           LIV         Smartshares Healthcare Innovation ETF         2.59991         1,789,469         -	USF	Smartshares US 500 ETF	9.24712	30,591,001	30,000
USS         Smartshares US Small Cap ETF         5.09867         13,937,732         15,045           USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855         24,069,575         40,713           BOT         Smartshares Automation and Robotics ETF         3.33495         2,816,948         24,335           EMG         Smartshares Emerging Markets Equities ESG ETF         2.24265         7,931,868         -           ESG         Smartshares Global Equities ESG ETF         2.22268         2,553,105         -           EUG         Smartshares Europe Equities ESG ETF         2.51802         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.02065         5,850,716         -           LIV         Smartshares Healthcare Innovation ETF         2.59991         1,789,469         -	USG	Smartshares US Large Growth ETF	5.70570	8,531,335	116,989
USV         Smartshares US Large Value ETF         3.68813         21,727,500         50,000           AGG         Smartshares Global Aggregate Bond ETF         1.33855         24,069,575         40,713           BOT         Smartshares Automation and Robotics ETF         3.33495         2,816,948         24,335           EMG         Smartshares Emerging Markets Equities ESG ETF         2.24265         7,931,868         -           ESG         Smartshares Global Equities ESG ETF         2.22268         2,553,105         -           EUG         Smartshares Europe Equities ESG ETF         2.51802         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.02065         5,850,716         -           LIV         Smartshares Healthcare Innovation ETF         2.59991         1,789,469         -	USM	Smartshares US Mid Cap ETF	5.53089	15,160,716	-
AGG Smartshares Global Aggregate Bond ETF 1.33855 24,069,575 40,713 BOT Smartshares Automation and Robotics ETF 3.33495 2,816,948 24,335 EMG Smartshares Emerging Markets Equities ESG ETF 2.24265 7,931,868 - ESG Smartshares Global Equities ESG ETF 2.22268 2,553,105 - EUG Smartshares Europe Equities ESG ETF 2.51802 6,440,261 - JPN Smartshares Japan Equities ESG ETF 2.02065 5,850,716 - LIV Smartshares Healthcare Innovation ETF 2.59991 1,789,469 -	USS	Smartshares US Small Cap ETF	5.09867	13,937,732	15,045
BOT Smartshares Automation and Robotics ETF 3.33495 2,816,948 24,335  EMG Smartshares Emerging Markets Equities ESG ETF 2.24265 7,931,868 -  ESG Smartshares Global Equities ESG ETF 2.22268 2,553,105 -  EUG Smartshares Europe Equities ESG ETF 2.51802 6,440,261 -  JPN Smartshares Japan Equities ESG ETF 2.02065 5,850,716 -  LIV Smartshares Healthcare Innovation ETF 2.59991 1,789,469 -	USV	Smartshares US Large Value ETF	3.68813	21,727,500	50,000
EMG Smartshares Emerging Markets Equities ESG ETF 2.24265 7,931,868 -  ESG Smartshares Global Equities ESG ETF 2.22268 2,553,105 -  EUG Smartshares Europe Equities ESG ETF 2.51802 6,440,261 -  JPN Smartshares Japan Equities ESG ETF 2.02065 5,850,716 -  LIV Smartshares Healthcare Innovation ETF 2.59991 1,789,469 -	AGG	Smartshares Global Aggregate Bond ETF	1.33855	24,069,575	40,713
ESG         Smartshares Global Equities ESG ETF         2.22268         2,553,105         -           EUG         Smartshares Europe Equities ESG ETF         2.51802         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.02065         5,850,716         -           LIV         Smartshares Healthcare Innovation ETF         2.59991         1,789,469         -	ВОТ	Smartshares Automation and Robotics ETF	3.33495	2,816,948	24,335
EUG Smartshares Europe Equities ESG ETF 2.51802 6,440,261 -  JPN Smartshares Japan Equities ESG ETF 2.02065 5,850,716 -  LIV Smartshares Healthcare Innovation ETF 2.59991 1,789,469 -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.24265	7,931,868	-
JPN Smartshares Japan Equities ESG ETF 2.02065 5,850,716 - LIV Smartshares Healthcare Innovation ETF 2.59991 1,789,469 -	ESG	Smartshares Global Equities ESG ETF	2.22268	2,553,105	-
LIV Smartshares Healthcare Innovation ETF 2.59991 1,789,469 -	EUG	Smartshares Europe Equities ESG ETF	2.51802	6,440,261	-
	JPN	Smartshares Japan Equities ESG ETF	2.02065	5,850,716	-
USA Smartshares US Equities ESG ETF 2.30075 8,560,578 -	LIV	Smartshares Healthcare Innovation ETF	2.59991	1,789,469	-
	USA	Smartshares US Equities ESG ETF	2.30075	8,560,578	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.4 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>