

Wednesday, 29 January 2020

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 28 January 2020.

APA         Smartshares Asia Pacific ETF         2.11446         57,867,000         -           ASD         Smartshares Australian Dividend ETF         1.68546         16,929,541         -           ASF         Smartshares Australian Property ETF         7.27408         3,740,468         -           ASP         Smartshares Australian Property ETF         1.64530         28,422,531         -           ASR         Smartshares Australian Resources ETF         5.13680         6,907,633         -           DIV         Smartshares Australian Resources ETF         1.33065         51,782,211         -           EMF         Smartshares RD Dividend ETF         1.33065         51,782,211         -           EUF         Smartshares RD Property ETF         3.29633         66,359,618         80,000           MDZ         Smartshares RD Property ETF         6.09077         28,440,674         -           MZY         Smartshares NZ Property ETF         1.52661         81,261,748         -           NZB         Smartshares NZ Property ETF         1.52661         81,261,748         -           NZC         Smartshares NZ Cash	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.27408         3,740,468         -           ASP         Smartshares Australian Property ETF         1.64530         28,422,531         -           ASR         Smartshares Australian Resources ETF         5.13680         6,907,633         -           DIV         Smartshares Polydend ETF         1.33065         51,782,211         -           EMF         Smartshares Europe ETF         1.74619         102,250,313         25,000           FNZ         Smartshares Europe ETF         1.74619         102,250,313         25,000           FNZ         Smartshares NZ Top 50 ETF         3.17837         226,951,179         100,000           GBF         Smartshares NZ Frop DETF         3.29633         66,359,618         80,000           MDZ         Smartshares NZ MIC Cap ETF         6.09077         28,440,674         -           NZY         Smartshares NZ Bund ETF         1.52261         81,261,748         -           NZB         Smartshares NZ Property ETF         1.52261         81,261,748         -           NZC         Smartshares NZ Bond ETF         1.52261         81,261,748         -           NZC         Smartshares NZ Top 10 ETF         2.97147         54,578,712	APA	Smartshares Asia Pacific ETF	2.11446	57,867,000	-
ASP         Smartshares Australian Property ETF         1.64530         28,422,531         -           ASR         Smartshares Australian Resources ETF         5.13680         6,907,633         -           DIV         Smartshares NZ Dividend ETF         1.33065         51,782,211         -           EMF         Smartshares Emerging Markets ETF         1.31885         94,516,861         19,861           EUF         Smartshares Europe ETF         1.74619         102,250,313         25,000           FNZ         Smartshares NZ Top 50 ETF         3.17837         226,951,179         100,000           GBF         Smartshares NZ Top 50 ETF         3.29633         66,359,618         80,000           MDZ         Smartshares NZ Mid Cap ETF         6,09077         28,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7,36803         16,757,274         -           NZB         Smartshares NZ Property ETF         1,52261         81,261,748         -           NZC         Smartshares NZ Bond ETF         3,10137         111,161,618         -           NZC         Smartshares NZ Cash ETF         2,97147         54,578,712         -           OZY         Smartshares NZ Cash ETF         2,00126         65,381,573	ASD	Smartshares Australian Dividend ETF	1.68546	16,929,541	-
ASR         Smartshares Australian Resources ETF         5.13680         6.907,633         -           DIV         Smartshares NZ Dividend ETF         1.33065         51,782,211         -           EMF         Smartshares Emerging Markets ETF         1.31885         94,516,861         19,861           EUF         Smartshares Europe ETF         1.74619         102,250,313         25,000           FNZ         Smartshares NZ Top 50 ETF         3.17837         226,951,179         100,000           GBF         Smartshares NZ Top 50 ETF         3.29633         66,359,618         80,000           MDZ         Smartshares NZ Mid Cap ETF         6.09077         28,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7,36803         16,757,274         -           NPE         Smartshares NZ Property ETF         1,52261         81,261,748         -           NZC         Smartshares NZ Bond ETF         3,10137         111,161,618         -           NZC         Smartshares NZ Cash ETF         2,97147         54,578,712         -           OZY         Smartshares NZ Top 10 ETF         2,00126         65,381,573         250,000           TWF         Smartshares NZ Top 10 ETF         2,00126         65,381,573	ASF	Smartshares Australian Financials ETF	7.27408	3,740,468	-
DIV         Smartshares NZ Dividend ETF         1.33065         51,782,211         -           EMF         Smartshares Emerging Markets ETF         1.31885         94,516,861         19,861           EUF         Smartshares Europe ETF         1.74619         102,250,313         25,000           FNZ         Smartshares NZ Top 50 ETF         3.17837         226,951,179         100,000           GBF         Smartshares Global Bond ETF         3.29633         66,359,618         80,000           MDZ         Smartshares NZ Mid Cap ETF         6.09077         28,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.36803         16,757,274         -           NPF         Smartshares NZ Property ETF         1.52261         81,261,748         -           NZB         Smartshares NZ Bond ETF         3.10137         111,161,618         -           NZC         Smartshares NZ Cash ETF         2.97147         54,578,712         -           OZY         Smartshares Australian Top 20 ETF         4.00811         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         2.0126         65,381,573         250,000           TWF         Smartshares SU Soo ETF         9.09143         30,551,001	ASP	Smartshares Australian Property ETF	1.64530	28,422,531	-
EMF         Smartshares Emerging Markets ETF         1.31885         94,516,861         19,861           EUF         Smartshares Europe ETF         1.74619         102,250,313         25,000           FNZ         Smartshares NZ Top 50 ETF         3.17837         226,951,179         100,000           GBF         Smartshares Global Bond ETF         3.29633         66,359,618         80,000           MDZ         Smartshares NZ Mid Cap ETF         6.09077         28,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.36803         16,757,274         -           NPF         Smartshares NZ Property ETF         1.52261         81,261,748         -           NZB         Smartshares NZ Bond ETF         3.10137         111,161,618         -           NZC         Smartshares NZ Cash ETF         2.97147         54,578,712         -           OZY         Smartshares Australian Top 20 ETF         4.00811         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00126         65,381,573         250,000           TWF         Smartshares US 500 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Mid Cap ETF         5.62547         8,806,004	ASR	Smartshares Australian Resources ETF	5.13680	6,907,633	-
EUF Smartshares Europe ETF 1.74619 102,250,313 25,000 FNZ Smartshares NZ Top 50 ETF 3.17837 226,951,179 100,000 GBF Smartshares NG Top 50 ETF 3.29633 66,359,618 80,000 MDZ Smartshares NZ Mid Cap ETF 6.09077 28,440,674 - MZY Smartshares Australian Mid Cap ETF 7.36803 16,757,274 - NPF Smartshares NZ Property ETF 1.52261 81,261,748 - NZB Smartshares NZ Property ETF 1.52261 81,261,748 - NZB Smartshares NZ Bond ETF 3.10137 111,161,618 - NZC Smartshares NZ Cash ETF 2.97147 54,578,712 - OZY Smartshares NZ Cash ETF 2.97147 54,578,712 - TNZ Smartshares NZ Top 10 ETF 4.00811 34,281,267 - TNZ Smartshares NZ Top 10 ETF 2.00126 65,381,573 250,000 TWF Smartshares NZ Top 10 ETF 2.46497 24,804,273 - USF Smartshares US 500 ETF 9.09143 30,551,001 25,000 USG Smartshares US Smortshares US FFF 5.62547 8,806,004 - USM Smartshares US Smartshares US FFF 5.45674 15,180,776 - USS Smartshares US Small Cap ETF 5.45674 15,180,776 - USS Smartshares US Small Cap ETF 4.99102 13,952,777 - USV Smartshares US Large Value ETF 5.45674 15,180,776 - USS Smartshares US Large Value ETF 3.62210 21,727,500 - AGG Smartshares US Large Value ETF 3.5295 24,171,358 - USV Smartshares Us Large Value ETF 3.5295 24,171,358 - USV Smartshares Us Large Value ETF 3.21705 2,970,997 28,319 EMG Smartshares Automation and Robotics ETF 3.21705 2,970,997 28,319 EMG Smartshares Europe Equities ESG ETF 2.13164 7,976,410 - ESG Smartshares Europe Equities ESG ETF 2.13164 7,976,410 - ESG Smartshares Europe Equities ESG ETF 2.18014 2,606,080 - EUG Smartshares Lurope Equities ESG ETF 2.18014 2,606,080 - EUG Smartshares Lurope Equities ESG ETF 2.46202 6,440,261 - IPM Smartshares Lurope Equities ESG ETF 2.46202 6,440,261 - IPM Smartshares Lurope Equities ESG ETF 2.46202 6,440,261 - IPM Smartshares Lurope Equities ESG ETF 2.51610 1,895,680 16,238	DIV	Smartshares NZ Dividend ETF	1.33065	51,782,211	-
FNZ         Smartshares NZ Top 50 ETF         3.17837         226,951,179         100,000           GBF         Smartshares Global Bond ETF         3.29633         66,359,618         80,000           MDZ         Smartshares NZ Mid Cap ETF         6.09077         28,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.36803         16,757,274         -           NPF         Smartshares NZ Property ETF         1.52261         81,261,748         -           NZB         Smartshares NZ Bond ETF         3.10137         111,161,618         -           NZC         Smartshares NZ Cash ETF         2.97147         54,578,712         -           OZY         Smartshares NZ Top 10 ETF         4.00811         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00126         65,381,573         250,000           TWF         Smartshares NZ Top 10 ETF         2.46497         24,804,273         -           USF         Smartshares US So0 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Small Cap ETF         4.99102         13,952,777         -	EMF	Smartshares Emerging Markets ETF	1.31885	94,516,861	19,861
GBF         Smartshares Global Bond ETF         3.29633         66,359,618         80,000           MDZ         Smartshares NZ Mid Cap ETF         6.09077         28,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.36803         16,757,274         -           NPF         Smartshares NZ Property ETF         1.52261         81,261,748         -           NZB         Smartshares NZ Bond ETF         3.10137         111,161,618         -           NZC         Smartshares NZ Cash ETF         2.97147         54,578,712         -           OZY         Smartshares Australian Top 20 ETF         4.00811         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00126         65,381,573         250,000           TWF         Smartshares Total World ETF         2.46497         24,804,273         -           USF         Smartshares US So0 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USS         Smartshares US Large Value ETF         3.62210         21,727,500 <td< td=""><td>EUF</td><td>Smartshares Europe ETF</td><td>1.74619</td><td>102,250,313</td><td>25,000</td></td<>	EUF	Smartshares Europe ETF	1.74619	102,250,313	25,000
MDZ         Smartshares NZ Mid Cap ETF         6.09077         28,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.36803         16,757,274         -           NPF         Smartshares NZ Property ETF         1.52261         81,261,748         -           NZB         Smartshares NZ Bond ETF         3.10137         111,161,618         -           NZC         Smartshares NZ Cash ETF         2.97147         54,578,712         -           OZY         Smartshares Australian Top 20 ETF         4.00811         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00126         65,381,573         250,000           TWF         Smartshares Total World ETF         2.46497         24,804,273         -           USF         Smartshares US 500 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Small Cap ETF         4,99102         13,952,777         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358	FNZ	Smartshares NZ Top 50 ETF	3.17837	226,951,179	100,000
MZY         Smartshares Australian Mid Cap ETF         7.36803         16,757,274         -           NPF         Smartshares NZ Property ETF         1.52261         81,261,748         -           NZB         Smartshares NZ Bond ETF         3.10137         111,161,618         -           NZC         Smartshares NZ Cash ETF         2.97147         54,578,712         -           OZY         Smartshares Australian Top 20 ETF         4.00811         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00126         65,381,573         250,000           TWF         Smartshares NZ Top 10 ETF         2.46497         24,804,273         -           USF         Smartshares US S00 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Mid Cap ETF         5.62547         8,806,004         -           USM         Smartshares US Smill Cap ETF         5.45674         15,180,776         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           USV         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         2.13164         7,976,410	GBF	Smartshares Global Bond ETF	3.29633	66,359,618	80,000
NPF         Smartshares NZ Property ETF         1.52261         81,261,748         -           NZB         Smartshares NZ Bond ETF         3.10137         111,161,618         -           NZC         Smartshares NZ Cash ETF         2.97147         54,578,712         -           OZY         Smartshares Australian Top 20 ETF         4.00811         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00126         65,381,573         250,000           TWF         Smartshares Total World ETF         2.46497         24,804,273         -           USF         Smartshares US 500 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USS         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USV         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equitties ESG ETF         2.13164	MDZ	Smartshares NZ Mid Cap ETF	6.09077	28,440,674	-
NZB         Smartshares NZ Bond ETF         3.10137         111,161,618         -           NZC         Smartshares NZ Cash ETF         2.97147         54,578,712         -           OZY         Smartshares Australian Top 20 ETF         4.00811         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00126         65,381,573         250,000           TWF         Smartshares Total World ETF         2.46497         24,804,273         -           USF         Smartshares US 500 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Mid Cap ETF         5.45674         15,180,776         -           USS         Smartshares US Large Value ETF         4.99102         13,952,777         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Global Equities ESG ETF         2.18014	MZY	Smartshares Australian Mid Cap ETF	7.36803	16,757,274	-
NZC         Smartshares NZ Cash ETF         2.97147         54,578,712         -           OZY         Smartshares Australian Top 20 ETF         4.00811         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00126         65,381,573         250,000           TWF         Smartshares Total World ETF         2.46497         24,804,273         -           USF         Smartshares US 500 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Mid Cap ETF         5.45674         15,180,776         -           USS         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         2.13164         7,976,410         -           ESG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Global Equities ESG ETF         2.46202	NPF	Smartshares NZ Property ETF	1.52261	81,261,748	-
OZY         Smartshares Australian Top 20 ETF         4.00811         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         2.00126         65,381,573         250,000           TWF         Smartshares Total World ETF         2.46497         24,804,273         -           USF         Smartshares US 500 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Mid Cap ETF         5.45674         15,180,776         -           USS         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Europe Equities ESG ETF         2.18014         2,606,080         -           EUG         Smartshares Lurope Equities ESG ETF <td< td=""><td>NZB</td><td>Smartshares NZ Bond ETF</td><td>3.10137</td><td>111,161,618</td><td>-</td></td<>	NZB	Smartshares NZ Bond ETF	3.10137	111,161,618	-
TNZ         Smartshares NZ Top 10 ETF         2.00126         65,381,573         250,000           TWF         Smartshares Total World ETF         2.46497         24,804,273         -           USF         Smartshares US 500 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Mid Cap ETF         5.45674         15,180,776         -           USS         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Global Equities ESG ETF         2.18014         2,606,080         -           EUG         Smartshares Europe Equities ESG ETF         2.46202         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF <td< td=""><td>NZC</td><td>Smartshares NZ Cash ETF</td><td>2.97147</td><td>54,578,712</td><td>-</td></td<>	NZC	Smartshares NZ Cash ETF	2.97147	54,578,712	-
TWF         Smartshares Total World ETF         2.46497         24,804,273         -           USF         Smartshares US 500 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Mid Cap ETF         5.45674         15,180,776         -           USS         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Global Equities ESG ETF         2.18014         2,606,080         -           EUG         Smartshares Europe Equities ESG ETF         2.46202         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00004         5,964,278         -           LIV         Smartshares Healthcare Innovation ETF	OZY	Smartshares Australian Top 20 ETF	4.00811	34,281,267	-
USF         Smartshares US 500 ETF         9.09143         30,551,001         25,000           USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Mid Cap ETF         5.45674         15,180,776         -           USS         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Global Equities ESG ETF         2.18014         2,606,080         -           EUG         Smartshares Europe Equities ESG ETF         2.46202         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00004         5,964,278         -           LIV         Smartshares Healthcare Innovation ETF         2.51610         1,895,680         16,238	TNZ	Smartshares NZ Top 10 ETF	2.00126	65,381,573	250,000
USG         Smartshares US Large Growth ETF         5.62547         8,806,004         -           USM         Smartshares US Mid Cap ETF         5.45674         15,180,776         -           USS         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Global Equities ESG ETF         2.18014         2,606,080         -           EUG         Smartshares Europe Equities ESG ETF         2.46202         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00004         5,964,278         -           LIV         Smartshares Healthcare Innovation ETF         2.51610         1,895,680         16,238	TWF	Smartshares Total World ETF	2.46497	24,804,273	-
USM         Smartshares US Mid Cap ETF         5.45674         15,180,776         -           USS         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Global Equities ESG ETF         2.18014         2,606,080         -           EUG         Smartshares Europe Equities ESG ETF         2.46202         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00004         5,964,278         -           LIV         Smartshares Healthcare Innovation ETF         2.51610         1,895,680         16,238	USF	Smartshares US 500 ETF	9.09143	30,551,001	25,000
USS         Smartshares US Small Cap ETF         4.99102         13,952,777         -           USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Global Equities ESG ETF         2.18014         2,606,080         -           EUG         Smartshares Europe Equities ESG ETF         2.46202         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00004         5,964,278         -           LIV         Smartshares Healthcare Innovation ETF         2.51610         1,895,680         16,238	USG	Smartshares US Large Growth ETF	5.62547	8,806,004	-
USV         Smartshares US Large Value ETF         3.62210         21,727,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35295         24,171,358         -           BOT         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Global Equities ESG ETF         2.18014         2,606,080         -           EUG         Smartshares Europe Equities ESG ETF         2.46202         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00004         5,964,278         -           LIV         Smartshares Healthcare Innovation ETF         2.51610         1,895,680         16,238	USM	Smartshares US Mid Cap ETF	5.45674	15,180,776	-
AGG Smartshares Global Aggregate Bond ETF 1.35295 24,171,358 - BOT Smartshares Automation and Robotics ETF 3.21705 2,970,997 28,319 EMG Smartshares Emerging Markets Equities ESG ETF 2.13164 7,976,410 - ESG Smartshares Global Equities ESG ETF 2.18014 2,606,080 - EUG Smartshares Europe Equities ESG ETF 2.46202 6,440,261 - JPN Smartshares Japan Equities ESG ETF 2.00004 5,964,278 -	USS	Smartshares US Small Cap ETF	4.99102	13,952,777	-
BOT         Smartshares Automation and Robotics ETF         3.21705         2,970,997         28,319           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13164         7,976,410         -           ESG         Smartshares Global Equities ESG ETF         2.18014         2,606,080         -           EUG         Smartshares Europe Equities ESG ETF         2.46202         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00004         5,964,278         -           LIV         Smartshares Healthcare Innovation ETF         2.51610         1,895,680         16,238	USV	Smartshares US Large Value ETF	3.62210	21,727,500	-
EMG Smartshares Emerging Markets Equities ESG ETF 2.13164 7,976,410 - ESG Smartshares Global Equities ESG ETF 2.18014 2,606,080 - EUG Smartshares Europe Equities ESG ETF 2.46202 6,440,261 -  JPN Smartshares Japan Equities ESG ETF 2.00004 5,964,278 -  LIV Smartshares Healthcare Innovation ETF 2.51610 1,895,680 16,238	AGG	Smartshares Global Aggregate Bond ETF	1.35295	24,171,358	-
ESG         Smartshares Global Equities ESG ETF         2.18014         2,606,080         -           EUG         Smartshares Europe Equities ESG ETF         2.46202         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00004         5,964,278         -           LIV         Smartshares Healthcare Innovation ETF         2.51610         1,895,680         16,238	ВОТ	Smartshares Automation and Robotics ETF	3.21705	2,970,997	28,319
EUG         Smartshares Europe Equities ESG ETF         2.46202         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00004         5,964,278         -           LIV         Smartshares Healthcare Innovation ETF         2.51610         1,895,680         16,238	EMG	Smartshares Emerging Markets Equities ESG ETF	2.13164	7,976,410	-
JPN         Smartshares Japan Equities ESG ETF         2.00004         5,964,278         -           LIV         Smartshares Healthcare Innovation ETF         2.51610         1,895,680         16,238	ESG	Smartshares Global Equities ESG ETF	2.18014	2,606,080	-
LIV Smartshares Healthcare Innovation ETF 2.51610 1,895,680 16,238	EUG	Smartshares Europe Equities ESG ETF	2.46202	6,440,261	-
	JPN	Smartshares Japan Equities ESG ETF	2.00004	5,964,278	-
USA Smartshares US Equities ESG ETF 2.27625 8,572,717 -	LIV	Smartshares Healthcare Innovation ETF	2.51610	1,895,680	16,238
	USA	Smartshares US Equities ESG ETF	2.27625	8,572,717	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.4 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>