

Monday, 24 February 2020

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 21 February 2020.

APA         Smartshares Asia Pacific ETF         2.13746         58,114,525         -           ASD         Smartshares Australian Dividend ETF         1.72165         17,179,541         -           ASF         Smartshares Australian Florengerty ETF         7.76595         3.815,468         -           ASP         Smartshares Australian Property ETF         1.73077         28,797,531         -           ASR         Smartshares Australian Resources ETF         5.05331         6,907,633         -           DIV         Smartshares Nz Dividend ETF         1.34895         64,032,211         -           EMF         Smartshares Europe ETF         1.37778         95,216,959         -           EUF         Smartshares Europe ETF         1.38731         102,975,313         -           FNZ         Smartshares NZ Top 50 ETF         3.23918         228,951,179         -           GBF         Smartshares NZ Top 50 ETF         3.23918         228,951,179         -           MDZ         Smartshares NZ Mid Cap ETF         6.09056         28,640,674         -           MZY         Smartshares NZ Mid Cap ETF         1.54619         38,761,748         -           NZE         Smartshares NZ Enoth ETF         1.54619         38,761,748         -	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.76595         3,815,468         -           ASP         Smartshares Australian Property ETF         1.73077         28,797,531         -           ASR         Smartshares Australian Resources ETF         5.05331         6,907,633         -           DIV         Smartshares Dolvidend ETF         1.34895         64,032,211         -           EMF         Smartshares Europe ETF         1.37778         95,216,959         -           EUF         Smartshares Europe ETF         1.83731         102,975,313         -           FNZ         Smartshares NZ Top 50 ETF         3.23918         228,951,179         -           GBF         Smartshares NZ Morb Cap ETF         3.31187         67,049,618         -           MDZ         Smartshares NZ Morb Cap ETF         6.09056         28,640,674         -           MZY         Smartshares NZ Broperty ETF         1.54619         83,761,748         -           NZE         Smartshares NZ Property ETF         1.54619         83,761,748         -           NZE         Smartshares NZ Property ETF         1.54619         83,761,748         -           NZE         Smartshares NZ Bond ETF         3.11185         112,901,618         -	APA	Smartshares Asia Pacific ETF	2.13746	58,114,525	-
ASP Smartshares Australian Property ETF 1,73077 28,797,531 - ASR Smartshares Australian Resources ETF 5,05331 6,907,633 - DIV Smartshares NZ Dividend ETF 1,34895 64,032,211 - EMF Smartshares Emerging Markets ETF 1,37778 95,216,959 - EUF Smartshares Europe ETF 1,83731 102,975,313 - FNZ Smartshares NZ Top 50 ETF 3,23918 228,951,179 - GBF Smartshares NZ Top 50 ETF 3,23918 228,951,179 - GBF Smartshares NZ Mid Cap ETF 3,31187 67,049,618 - MDZ Smartshares NZ Mid Cap ETF 6,09056 28,640,674 - MZY Smartshares NZ Property ETF 1,54619 83,761,748 - NPF Smartshares NZ Property ETF 1,54619 83,761,748 - NZB Smartshares NZ Bond ETF 3,11185 112,901,618 - NZC Smartshares NZ Cash ETF 2,97402 55,953,712 - OZY Smartshares NZ Cash ETF 2,97402 55,953,712 - OZY Smartshares NZ Top 10 ETF 4,19112 34,431,267 - TNZ Smartshares NZ Top 10 ETF 2,10940 65,631,573 - TWF Smartshares NZ Top 10 ETF 2,60859 25,272,867 - USF Smartshares US Large Growth ETF 2,60859 25,272,867 - USF Smartshares US Large Growth ETF 5,83730 15,256,228 - USS Smartshares US Large Growth ETF 5,83730 15,256,228 - USS Smartshares US Large Growth ETF 5,83730 15,256,228 - USS Smartshares US Large Growth ETF 5,83730 15,256,228 - USS Smartshares US Large Growth ETF 5,83730 15,256,228 - USS Smartshares US Large Growth ETF 5,83730 15,256,228 - USS Smartshares US Large Growth ETF 5,33604 14,123,976 - USS Smartshares US Large Growth ETF 5,33604 14,123,976 - USS Smartshares US Large Growth ETF 5,33604 14,123,976 - USS Smartshares US Large Growth ETF 5,33604 14,123,976 - USS Smartshares US Earge Growth ETF 5,33604 14,123,976 - USS Smartshares US Earge Growth ETF 5,33604 14,123,976 - USS Smartshares Us Earge Growth ETF 5,33604 14,123,976 - USS Smartshares Global Aggregate Bond ETF 5,33604 14,123,976 - USS Smartshares Global Aggregate Bond ETF 5,33604 14,123,976 - USS Smartshares Global Equities ESG ETF 2,20620 9,013,015 - EGG Smartshares Emerging Markets Equities ESG ETF 2,20620 9,013,015 - EGG Smartshares Emerging Markets Equities ESG ETF 2,20620 9,013,015 - EGG Smartshares Emerging	ASD	Smartshares Australian Dividend ETF	1.72165	17,179,541	-
ASR         Smartshares Australian Resources ETF         5.05331         6.907,633         -           DIV         Smartshares NZ Dividend ETF         1.34895         64,032,211         -           EMF         Smartshares Emerging Markets ETF         1.37778         95,216,959         -           EUF         Smartshares Europe ETF         1.83731         102,975,313         -           FNZ         Smartshares NZ Top 50 ETF         3.23918         228,951,179         -           GBF         Smartshares NZ Top 50 ETF         3.31187         67,049,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.09056         28,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.61255         16,757,274         -           NPF         Smartshares NZ Property ETF         1.54619         83,761,748         -           NZC         Smartshares NZ Bond ETF         3.11185         112,901,618         -           NZC         Smartshares NZ Cash ETF         2.97402         55,953,712         -           OZY         Smartshares NZ Cash ETF         2.1940         65,631,573         -           TWE         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -      <	ASF	Smartshares Australian Financials ETF	7.76595	3,815,468	-
DIV         Smartshares NZ Dividend ETF         1.34895         64,032,211         -           EMF         Smartshares Emerging Markets ETF         1.37778         95,216,959         -           EUF         Smartshares Europe ETF         1.83731         102,975,313         -           FNZ         Smartshares NZ Top 50 ETF         3.23918         228,951,179         -           GBF         Smartshares Global Bond ETF         3.31187         67,049,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.09056         28,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.61255         16,757,274         -           NPF         Smartshares Australian Mid Cap ETF         1.54619         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.11185         112,901,618         -           NZC         Smartshares NZ Cash ETF         2.97402         55,953,712         -           OZY         Smartshares Australian Top 20 ETF         4.19112         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -           USF         Smartshares Total World ETF         2.60859         25,272,867         -	ASP	Smartshares Australian Property ETF	1.73077	28,797,531	-
EMF         Smartshares Emerging Markets ETF         1.37778         95,216,959         -           EUF         Smartshares Europe ETF         1.83731         102,975,313         -           FNZ         Smartshares NZ Top 50 ETF         3.23918         228,951,179         -           GBF         Smartshares Global Bond ETF         3.31187         67,049,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.09056         28,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.61255         16,757,274         -           NPF         Smartshares Australian Mid Cap ETF         1.54619         83,761,748         -           NZB         Smartshares NZ Property ETF         1.54619         83,761,748         -           NZC         Smartshares NZ Bond ETF         3.11185         112,901,618         -           NZC         Smartshares NZ Cash ETF         2.97402         55,953,712         -           OZY         Smartshares Australian Top 20 ETF         4.19112         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -           USF         Smartshares US Total World ETF         2.60859         25,272,867         -	ASR	Smartshares Australian Resources ETF	5.05331	6,907,633	-
EUF Smartshares Europe ETF 1.83731 102,975,313 - FNZ Smartshares NZ Top 50 ETF 3.23918 228,951,179 - GBF Smartshares Global Bond ETF 3.31187 67,049,618 - MDZ Smartshares NZ Mid Cap ETF 6.09056 28,640,674 - MZY Smartshares Australian Mid Cap ETF 7.61255 16,757,274 - NPF Smartshares NZ Property ETF 1.54619 83,761,748 - NZB Smartshares NZ Bond ETF 3.1185 112,901,618 - NZC Smartshares NZ Bond ETF 2.97402 55,937,12 - OZY Smartshares NZ Cash ETF 2.97402 55,937,12 - OZY Smartshares Australian Top 20 ETF 4.19112 34,431,267 - TNZ Smartshares NZ Top 10 ETF 2.10940 65,631,573 - TWF Smartshares Total World ETF 2.60859 25,272,867 - USF Smartshares US 500 ETF 9,76443 30,931,001 (15,000) USG Smartshares US Large Growth ETF 5.83730 15,256,228 - USS Smartshares US Small Cap ETF 5.83730 15,256,228 - USS Smartshares US Small Cap ETF 5.33604 14,123,976 - USS Smartshares US Large Value ETF 5.33604 14,123,976 - USV Smartshares US Large Value ETF 5.33604 14,123,976 - USV Smartshares US Large Value ETF 5.33604 14,123,976 - USV Smartshares US Large Value ETF 3.80979 22,051,528 - USS Smartshares US Small Cap ETF 1.35651 25,741,505 20,392 BOT Smartshares Automation and Robotics ETF 3.33003 3,270,374 24,274 EMG Smartshares Automation and Robotics ETF 2.20620 9,013,015 - ESG Smartshares Europe Equities ESG ETF 2.30102 2,914,364 97,355 EUG Smartshares Europe Equities ESG ETF 2.58733 7,306,728 - UPN Smartshares Lappan Equities ESG ETF 2.58733 7,306,728 - USV Smartshares Lappan Equities ESG ETF 2.58733 7,306,728 - USV Smartshares Lappan Equities ESG ETF 2.58733 7,306,728 - USV Smartshares Lappan Equities ESG ETF 2.58733 7,306,728 - USV Smartshares Lappan Equities ESG ETF 2.58733 7,306,728 - USV Smartshares Lappan Equities ESG ETF 2.58733 7,306,728 - USV Smartshares Lappan Equities ESG ETF 2.58731 7,306,728 - USV Smartshares Lappan Equities ESG ETF 2.58733 7,306,728 -	DIV	Smartshares NZ Dividend ETF	1.34895	64,032,211	-
FNZ Smartshares NZ Top 50 ETF 3.23918 228,951,179 - GBF Smartshares Global Bond ETF 3.31187 67,049,618 - MDZ Smartshares NZ Mid Cap ETF 6.09056 28,640,674 - MZY Smartshares Australian Mid Cap ETF 7.61255 16,757,274 - NPF Smartshares NZ Property ETF 1.54619 83,761,748 - NZB Smartshares NZ Bond ETF 3.11185 112,901,618 - NZC Smartshares NZ Cash ETF 2.97402 55,953,712 - OZY Smartshares NZ Cash ETF 2.97402 55,953,712 - TNZ Smartshares NZ Top 10 ETF 4.19112 34,431,267 - TNZ Smartshares NZ Top 10 ETF 2.10940 65,631,573 - TWF Smartshares NZ Top 10 ETF 2.60859 25,272,867 - USF Smartshares US 500 ETF 9,76443 30,931,001 (15,000) USG Smartshares US Large Growth ETF 5.83730 15,256,228 - USS Smartshares US Mid Cap ETF 5.83730 15,256,228 - USS Smartshares US Small Cap ETF 5.33604 14,123,976 - USV Smartshares US Large Value ETF 3.38079 22,051,528 - USV Smartshares US Large Value ETF 3.38079 22,051,528 - AGG Smartshares US Large Value ETF 3.33003 3,270,374 24,274 EMG Smartshares Emerging Markets Equities ESG ETF 2.20620 9,013,015 - ESG Smartshares Emerging Markets Equities ESG ETF 2.30102 2,914,364 97,355 EUG Smartshares Europe Equities ESG ETF 2.58733 7,306,728 - UIV Smartshares Large Equities ESG ETF 2.58733 7,306,728 - UIV Smartshares Healthcare Innovation ETF 2.67019 2,062,117 16,238	EMF	Smartshares Emerging Markets ETF	1.37778	95,216,959	-
GBF         Smartshares Global Bond ETF         3.31187         67,049,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.09056         28,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.61255         16,757,274         -           NPF         Smartshares NZ Property ETF         1.54619         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.11185         112,901,618         -           NZC         Smartshares NZ Cash ETF         2.97402         55,953,712         -           OZY         Smartshares Australian Top 20 ETF         4.19112         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -           TWF         Smartshares Total World ETF         2.60859         25,272,867         -           USF         Smartshares US Large Growth ETF         9.76443         30,931,001         (15,000)           USG         Smartshares US Mid Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505	EUF	Smartshares Europe ETF	1.83731	102,975,313	-
MDZ         Smartshares NZ Mid Cap ETF         6.09056         28,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.61255         16,757,274         -           NPF         Smartshares NZ Property ETF         1.54619         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.11185         112,901,618         -           NZC         Smartshares NZ Cash ETF         2.97402         55,953,712         -           OZY         Smartshares Australian Top 20 ETF         4.19112         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -           TWF         Smartshares NZ Top 10 ETF         2.60859         25,272,867         -           USF         Smartshares US Soo ETF         9.76443         30,931,001         (15,000)           USG         Smartshares US Large Growth ETF         6.19458         9,290,205         20,387           USM         Smartshares US Small Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         <	FNZ	Smartshares NZ Top 50 ETF	3.23918	228,951,179	-
MZY         Smartshares Australian Mid Cap ETF         7.61255         16,757,274         -           NPF         Smartshares NZ Property ETF         1.54619         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.11185         112,901,618         -           NZC         Smartshares NZ Cash ETF         2.97402         55,953,712         -           OZY         Smartshares Australian Top 20 ETF         4.19112         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -           TWF         Smartshares Total World ETF         2.60859         25,272,867         -           USF         Smartshares US 500 ETF         9.76443         30,931,001         (15,000)           USG         Smartshares US Mid Cap ETF         6.19458         9,290,205         20,387           USM         Smartshares US Mid Cap ETF         5.83730         15,256,228         -           USV         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         20,392           BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374 <td>GBF</td> <td>Smartshares Global Bond ETF</td> <td>3.31187</td> <td>67,049,618</td> <td>-</td>	GBF	Smartshares Global Bond ETF	3.31187	67,049,618	-
NPF         Smartshares NZ Property ETF         1.54619         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.11185         112,901,618         -           NZC         Smartshares NZ Cash ETF         2.97402         55,953,712         -           OZY         Smartshares Australian Top 20 ETF         4.19112         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -           TWF         Smartshares Total World ETF         2.60859         25,272,867         -           USF         Smartshares US 500 ETF         9.76443         30,931,001         (15,000)           USG         Smartshares US Large Growth ETF         6.19458         9,290,205         20,387           USM         Smartshares US Mid Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equitties ESG ETF         2.20620         9,0	MDZ	Smartshares NZ Mid Cap ETF	6.09056	28,640,674	-
NZB         Smartshares NZ Bond ETF         3.11185         112,901,618         -           NZC         Smartshares NZ Cash ETF         2.97402         55,953,712         -           OZY         Smartshares Australian Top 20 ETF         4.19112         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -           TWF         Smartshares Total World ETF         2.60859         25,272,867         -           USF         Smartshares US 500 ETF         9.76443         30,931,001         (15,000)           USG         Smartshares US Large Growth ETF         6.19458         9,290,205         20,387           USM         Smartshares US Mild Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.20620         9,013,015         -           ESG         Smartshares Global Equities ESG ETF         2.30102	MZY	Smartshares Australian Mid Cap ETF	7.61255	16,757,274	-
NZC         Smartshares NZ Cash ETF         2.97402         55,953,712         -           OZY         Smartshares Australian Top 20 ETF         4.19112         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -           TWF         Smartshares Total World ETF         2.60859         25,272,867         -           USF         Smartshares US 500 ETF         9.76443         30,931,001         (15,000)           USG         Smartshares US Large Growth ETF         6.19458         9,290,205         20,387           USM         Smartshares US Mid Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         20,392           BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.0620         9,013,015         -           ESG         Smartshares Global Equities ESG ETF         2.587	NPF	Smartshares NZ Property ETF	1.54619	83,761,748	-
OZY         Smartshares Australian Top 20 ETF         4.19112         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -           TWF         Smartshares Total World ETF         2.60859         25,272,867         -           USF         Smartshares US 500 ETF         9.76443         30,931,001         (15,000)           USG         Smartshares US Large Growth ETF         6.19458         9,290,205         20,387           USM         Smartshares US Mid Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         20,392           BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.0620         9,013,015         -           ESG         Smartshares Global Equities ESG ETF         2.30102         2,914,364         97,355           EUG         Smartshares Japan Equities ESG ETF	NZB	Smartshares NZ Bond ETF	3.11185	112,901,618	-
TNZ         Smartshares NZ Top 10 ETF         2.10940         65,631,573         -           TWF         Smartshares Total World ETF         2.60859         25,272,867         -           USF         Smartshares US 500 ETF         9.76443         30,931,001         (15,000)           USG         Smartshares US Large Growth ETF         6.19458         9,290,205         20,387           USM         Smartshares US Mid Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         20,392           BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.20620         9,013,015         -           ESG         Smartshares Europe Equities ESG ETF         2.30102         2,914,364         97,355           EUG         Smartshares Japan Equities ESG ETF         2.58733         7,306,728         -           JPN         Smartshares Healthcare Innovation ETF <td>NZC</td> <td>Smartshares NZ Cash ETF</td> <td>2.97402</td> <td>55,953,712</td> <td>-</td>	NZC	Smartshares NZ Cash ETF	2.97402	55,953,712	-
TWF         Smartshares Total World ETF         2.60859         25,272,867         -           USF         Smartshares US 500 ETF         9.76443         30,931,001         (15,000)           USG         Smartshares US Large Growth ETF         6.19458         9,290,205         20,387           USM         Smartshares US Mid Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         20,392           BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.20620         9,013,015         -           ESG         Smartshares Global Equities ESG ETF         2.30102         2,914,364         97,355           EUG         Smartshares Europe Equities ESG ETF         2.58733         7,306,728         -           JPN         Smartshares Japan Equities ESG ETF         1.98726         6,605,096         -           LIV         Smartshares Healthcare Innovatio	OZY	Smartshares Australian Top 20 ETF	4.19112	34,431,267	-
USF         Smartshares US 500 ETF         9.76443         30,931,001         (15,000)           USG         Smartshares US Large Growth ETF         6.19458         9,290,205         20,387           USM         Smartshares US Mid Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         20,392           BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.20620         9,013,015         -           ESG         Smartshares Global Equities ESG ETF         2.30102         2,914,364         97,355           EUG         Smartshares Europe Equities ESG ETF         2.58733         7,306,728         -           JPN         Smartshares Japan Equities ESG ETF         1.98726         6,605,096         -           LIV         Smartshares Healthcare Innovation ETF         2.67019         2,062,117         16,238	TNZ	Smartshares NZ Top 10 ETF	2.10940	65,631,573	-
USG         Smartshares US Large Growth ETF         6.19458         9,290,205         20,387           USM         Smartshares US Mid Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         20,392           BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.20620         9,013,015         -           ESG         Smartshares Global Equities ESG ETF         2.30102         2,914,364         97,355           EUG         Smartshares Europe Equities ESG ETF         2.58733         7,306,728         -           JPN         Smartshares Japan Equities ESG ETF         1.98726         6,605,096         -           LIV         Smartshares Healthcare Innovation ETF         2.67019         2,062,117         16,238	TWF	Smartshares Total World ETF	2.60859	25,272,867	-
USM         Smartshares US Mid Cap ETF         5.83730         15,256,228         -           USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         20,392           BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.20620         9,013,015         -           ESG         Smartshares Global Equities ESG ETF         2.30102         2,914,364         97,355           EUG         Smartshares Europe Equities ESG ETF         2.58733         7,306,728         -           JPN         Smartshares Japan Equities ESG ETF         1.98726         6,605,096         -           LIV         Smartshares Healthcare Innovation ETF         2.67019         2,062,117         16,238	USF	Smartshares US 500 ETF	9.76443	30,931,001	(15,000)
USS         Smartshares US Small Cap ETF         5.33604         14,123,976         -           USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         20,392           BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.20620         9,013,015         -           ESG         Smartshares Global Equities ESG ETF         2.30102         2,914,364         97,355           EUG         Smartshares Europe Equities ESG ETF         2.58733         7,306,728         -           JPN         Smartshares Japan Equities ESG ETF         1.98726         6,605,096         -           LIV         Smartshares Healthcare Innovation ETF         2.67019         2,062,117         16,238	USG	Smartshares US Large Growth ETF	6.19458	9,290,205	20,387
USV         Smartshares US Large Value ETF         3.80979         22,051,528         -           AGG         Smartshares Global Aggregate Bond ETF         1.35651         25,741,505         20,392           BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.20620         9,013,015         -           ESG         Smartshares Global Equities ESG ETF         2.30102         2,914,364         97,355           EUG         Smartshares Europe Equities ESG ETF         2.58733         7,306,728         -           JPN         Smartshares Japan Equities ESG ETF         1.98726         6,605,096         -           LIV         Smartshares Healthcare Innovation ETF         2.67019         2,062,117         16,238	USM	Smartshares US Mid Cap ETF	5.83730	15,256,228	-
AGG Smartshares Global Aggregate Bond ETF 1.35651 25,741,505 20,392  BOT Smartshares Automation and Robotics ETF 3.33003 3,270,374 24,274  EMG Smartshares Emerging Markets Equities ESG ETF 2.20620 9,013,015 -  ESG Smartshares Global Equities ESG ETF 2.30102 2,914,364 97,355  EUG Smartshares Europe Equities ESG ETF 2.58733 7,306,728 -  JPN Smartshares Japan Equities ESG ETF 1.98726 6,605,096 -  LIV Smartshares Healthcare Innovation ETF 2.67019 2,062,117 16,238	USS	Smartshares US Small Cap ETF	5.33604	14,123,976	-
BOT         Smartshares Automation and Robotics ETF         3.33003         3,270,374         24,274           EMG         Smartshares Emerging Markets Equities ESG ETF         2.20620         9,013,015         -           ESG         Smartshares Global Equities ESG ETF         2.30102         2,914,364         97,355           EUG         Smartshares Europe Equities ESG ETF         2.58733         7,306,728         -           JPN         Smartshares Japan Equities ESG ETF         1.98726         6,605,096         -           LIV         Smartshares Healthcare Innovation ETF         2.67019         2,062,117         16,238	USV	Smartshares US Large Value ETF	3.80979	22,051,528	-
EMGSmartshares Emerging Markets Equities ESG ETF2.206209,013,015-ESGSmartshares Global Equities ESG ETF2.301022,914,36497,355EUGSmartshares Europe Equities ESG ETF2.587337,306,728-JPNSmartshares Japan Equities ESG ETF1.987266,605,096-LIVSmartshares Healthcare Innovation ETF2.670192,062,11716,238	AGG	Smartshares Global Aggregate Bond ETF	1.35651	25,741,505	20,392
ESG         Smartshares Global Equities ESG ETF         2.30102         2,914,364         97,355           EUG         Smartshares Europe Equities ESG ETF         2.58733         7,306,728         -           JPN         Smartshares Japan Equities ESG ETF         1.98726         6,605,096         -           LIV         Smartshares Healthcare Innovation ETF         2.67019         2,062,117         16,238	ВОТ	Smartshares Automation and Robotics ETF	3.33003	3,270,374	24,274
EUG         Smartshares Europe Equities ESG ETF         2.58733         7,306,728         -           JPN         Smartshares Japan Equities ESG ETF         1.98726         6,605,096         -           LIV         Smartshares Healthcare Innovation ETF         2.67019         2,062,117         16,238	EMG	Smartshares Emerging Markets Equities ESG ETF	2.20620	9,013,015	-
JPN Smartshares Japan Equities ESG ETF 1.98726 6,605,096 - LIV Smartshares Healthcare Innovation ETF 2.67019 2,062,117 16,238	ESG	Smartshares Global Equities ESG ETF	2.30102	2,914,364	97,355
LIV Smartshares Healthcare Innovation ETF 2.67019 2,062,117 16,238	EUG	Smartshares Europe Equities ESG ETF	2.58733	7,306,728	-
	JPN	Smartshares Japan Equities ESG ETF	1.98726	6,605,096	-
USA         Smartshares US Equities ESG ETF         2.41965         9,669,261         93,065	LIV	Smartshares Healthcare Innovation ETF	2.67019	2,062,117	16,238
	USA	Smartshares US Equities ESG ETF	2.41965	9,669,261	93,065

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.6 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>