

Friday, 28 February 2020

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 27 February 2020.

APA         Smartshares Asia Pacific ETF         2.05419         57,302,644         -           ASD         Smartshares Australian Dividend ETF         1.58760         17,179,541         -           ASF         Smartshares Australian Property ETF         1.66304         28,547,531         -           ASR         Smartshares Australian Resources ETF         4.67389         6,982,633         -           DIV         Smartshares Australian Resources ETF         4.67389         6,982,633         -           EMF         Smartshares Australian Resources ETF         1.25523         63,657,211         (500,000)           EMF         Smartshares Emerging Markets ETF         1.33241         92,347,049         -           EUF         Smartshares Europe ETF         1.72750         100,830,313         -           FNZ         Smartshares NZ Top 50 ETF         3.03550         229,551,179         -           GBF         Smartshares NZ Top 50 ETF         3.00667         68,169,618         60,000           MDZ         Smartshares NZ MID Cap ETF         5.77399         28,640,674         -           MZY         Smartshares NZ Property ETF         1.51549         83,761,748         -           NPF         Smartshares NZ Property ETF         1.51549	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.13021         3,765,468         -           ASP         Smartshares Australian Property ETF         1.66304         28,547,531         -           ASR         Smartshares Australian Resources ETF         4.67389         6,982,633         -           DIV         Smartshares Polyidend ETF         1.25523         63,657,211         (500,000)           EMF         Smartshares Europe ETF         1.3241         92,347,049         -           EUF         Smartshares Europe ETF         1.72750         100,830,313         -           FNZ         Smartshares NZ Top 50 ETF         3.05350         229,551,179         -           GBF         Smartshares NZ Morb Cap ETF         3.30867         68,169,618         60,000           MDZ         Smartshares NZ Mol Cap ETF         5.77399         28,640,674         -           MZY         Smartshares NZ Broperty ETF         1.51549         83,761,748         -           NZB         Smartshares NZ Property ETF         1.51549         83,761,748         -           NZC         Smartshares NZ Bond ETF         3.10245         115,841,618         100,000           NZC         Smartshares NZ Cash ETF         2.96399         56,743,712         <	APA	Smartshares Asia Pacific ETF	2.05419	57,302,644	-
ASP         Smartshares Australian Property ETF         1.66304         28,547,531         -           ASR         Smartshares Australian Resources ETF         4.67389         6,982,633         -           DIV         Smartshares NZ Dividend ETF         1.25523         63,657,211         (500,000)           EMF         Smartshares Emerging Markets ETF         1.33241         92,347,049         -           EUF         Smartshares Europe ETF         1.72750         100,830,313         -           FNZ         Smartshares NZ Top 50 ETF         3.05350         229,551,179         -           GBF         Smartshares NZ Top 50 ETF         3.05350         229,551,179         -           GBF         Smartshares NZ Mid Cap ETF         3.0867         68,169,618         60,000           MDZ         Smartshares NZ Mid Cap ETF         5.77399         28,640,674         -           MZY         Smartshares NZ Froperty ETF         1.51549         83,761,748         -           NZB         Smartshares NZ Property ETF         1.51549         83,761,748         -           NZB         Smartshares NZ Froperty ETF         1.51549         83,761,748         -           NZC         Smartshares NZ Expl ETF         2.96399         56,743,712 <th< td=""><td>ASD</td><td>Smartshares Australian Dividend ETF</td><td>1.58760</td><td>17,179,541</td><td>-</td></th<>	ASD	Smartshares Australian Dividend ETF	1.58760	17,179,541	-
ASR Smartshares Australian Resources ETF	ASF	Smartshares Australian Financials ETF	7.13021	3,765,468	-
DIV         Smartshares NZ Dividend ETF         1.25523         63,657,211         (500,000)           EMF         Smartshares Emerging Markets ETF         1.33241         92,347,049         -           EUF         Smartshares Europe ETF         1.72750         100,830,313         -           FNZ         Smartshares NZ Top 50 ETF         3.05350         229,551,179         -           GBF         Smartshares Global Bond ETF         3.30867         68,169,618         60,000           MDZ         Smartshares NZ Mid Cap ETF         5.77399         28,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.02438         16,757,274         -           NZB         Smartshares NZ Property ETF         1.51549         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.10245         115,841,618         100,000           NZC         Smartshares NZ Cash ETF         2.96399         56,743,712         -           OZY         Smartshares Australian Top 20 ETF         3.91928         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         1.99752         65,881,573         -           USF         Smartshares STotal World ETF         2.45398         25,103,375         <	ASP	Smartshares Australian Property ETF	1.66304	28,547,531	-
EMF Smartshares Emerging Markets ETF 1.33241 92,347,049 - EUF Smartshares Europe ETF 1.72750 100,830,313 - FNZ Smartshares NZ Top 50 ETF 3.05350 229,551,179 - GBF Smartshares Global Bond ETF 3.30867 68,169,618 60,000 MDZ Smartshares NZ Mid Cap ETF 5.77399 28,640,674 - MZY Smartshares Australian Mid Cap ETF 7.02438 16,757,274 - NPF Smartshares NZ Property ETF 1.51549 83,761,748 - NZB Smartshares NZ Bond ETF 3.10245 115,841,618 100,000 NZC Smartshares NZ Cash ETF 2.96399 56,743,712 - OZY Smartshares Australian Top 20 ETF 3.91928 34,431,267 - TNZ Smartshares NZ Top 10 ETF 1.99752 65,881,573 - TWF Smartshares Total World ETF 2.45398 25,103,375 - USF Smartshares US 500 ETF 9.08973 30,476,001 (15,000) USG Smartshares US Large Growth ETF 5.74891 9,223,947 (20,387) USM Smartshares US Mid Cap ETF 4.91771 13,907,491 15,136 USV Smartshares US Small Cap ETF 4.91771 13,907,491 15,136 USV Smartshares US Large Value ETF 3.55846 21,782,335 - AGG Smartshares Global Aggregate Bond ETF 1.35905 26,544,931 - BOT Smartshares Automation and Robotics ETF 3.16440 3,355,333 68,776 EMG Smartshares Emerging Markets Equities ESG ETF 2.16280 8,846,996 - EGG Smartshares Emerging Markets Equities ESG ETF 2.20511 2,930,590 - EUG Smartshares Lorope Equities ESG ETF 2.20511 2,930,590 - EUG Smartshares Lorope Equities ESG ETF 2.47663 7,169,065 - IPN Smartshares Japan Equities ESG ETF 1.94921 6,442,864 - EUV Smartshares Large Innovation ETF 2.59870 2,106,771 -	ASR	Smartshares Australian Resources ETF	4.67389	6,982,633	-
EUF Smartshares Europe ETF 1.72750 100,830,313 - FNZ Smartshares NZ Top 50 ETF 3.05350 229,551,179 - GBF Smartshares Global Bond ETF 3.30867 68,169,618 60,000 MDZ Smartshares NZ Mid Cap ETF 5.77399 28,640,674 - MZY Smartshares Australian Mid Cap ETF 7.02438 16,757,274 - NPF Smartshares NZ Property ETF 1.51549 83,761,748 - NZB Smartshares NZ Property ETF 1.51549 83,761,748 100,000 NZC Smartshares NZ Bond ETF 2.96399 56,743,712 - OZY Smartshares NZ Cash ETF 2.96399 56,743,712 - OZY Smartshares Australian Top 20 ETF 3.91928 34,431,267 - TNZ Smartshares NZ Top 10 ETF 1.99752 65,881,573 - TWF Smartshares NZ Top 10 ETF 1.99752 65,881,573 - USF Smartshares Total World ETF 2.45398 25,103,375 - USF Smartshares US 500 ETF 9.08973 30,476,001 (15,000) USG Smartshares US arge Growth ETF 5.74891 9,223,947 (20,387) USM Smartshares US Small Cap ETF 5.40367 14,964,478 - USS Smartshares US Small Cap ETF 4.91771 13,907,491 15,136 USV Smartshares US Large Value ETF 3.55846 21,782,335 - AGG Smartshares US Large Value ETF 3.55846 21,782,335 - AGG Smartshares Automation and Robotics ETF 3.16440 3,355,333 68,776 EMG Smartshares Energing Markets Equities ESG ETF 2.16280 8,846,996 - EMG Smartshares Energing Markets Equities ESG ETF 2.20511 2,930,590 - EUG Smartshares Europe Equities ESG ETF 2.47063 7,169,065 - UFN Smartshares Lurope Iquities ESG ETF 2.47063 7,169,065 - UFN Smartshares Japan Equities ESG ETF 1.94921 6,442,864 - EUV Smartshares Lapen Equities ESG ETF 1.94921 6,442,864 -	DIV	Smartshares NZ Dividend ETF	1.25523	63,657,211	(500,000)
FNZ         Smartshares NZ Top 50 ETF         3.05350         229,551,179         -           GBF         Smartshares Global Bond ETF         3.30867         68,169,618         60,000           MDZ         Smartshares NZ Mid Cap ETF         5.77399         28,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.02438         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51549         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.10245         115,841,618         100,000           NZC         Smartshares NZ Cash ETF         2.96399         56,743,712         -           OZY         Smartshares NZ Top 10 ETF         3.91928         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         1.99752         65,881,573         -           TWF         Smartshares NZ Top 10 ETF         2.45398         25,103,375         -           USF         Smartshares US So0 ETF         9.08973         30,476,001         (15,000)           USG         Smartshares US Large Growth ETF         5.74891         9,223,947         (20,387)           USM         Smartshares US Small Cap ETF         4.91771         13,907,491         15,1	EMF	Smartshares Emerging Markets ETF	1.33241	92,347,049	-
GBF         Smartshares Global Bond ETF         3.30867         68,169,618         60,000           MDZ         Smartshares NZ Mid Cap ETF         5.77399         28,640,674         -           MZY         Smartshares NZ Bond ETF         7.02438         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51549         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.10245         115,841,618         100,000           NZC         Smartshares NZ Cash ETF         2.96399         56,743,712         -           OZY         Smartshares Australian Top 20 ETF         3.91928         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         1.99752         65,881,573         -           USF         Smartshares Total World ETF         2.45398         25,103,375         -           USF         Smartshares US Large Growth ETF         9.08973         30,476,001         (15,000)           USG         Smartshares US Mid Cap ETF         5,40367         14,964,478         -           USS         Smartshares US Small Cap ETF         4,9171         13,907,491         15,136           USV         Smartshares Global Aggregate Bond ETF         3,55846         21,782,335	EUF	Smartshares Europe ETF	1.72750	100,830,313	-
MDZ         Smartshares NZ Mid Cap ETF         5.77399         28,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.02438         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51549         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.10245         115,841,618         100,000           NZC         Smartshares NZ Cash ETF         2.96399         56,743,712         -           OZY         Smartshares Australian Top 20 ETF         3.91928         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         1.99752         65,881,573         -           TWF         Smartshares Total World ETF         2.45398         25,103,375         -           USF         Smartshares US 500 ETF         9.08973         30,476,001         (15,000)           USG         Smartshares US Large Growth ETF         5.74891         9,223,947         (20,387)           USM         Smartshares US Small Cap ETF         5.40367         14,964,478         -           USS         Smartshares US Small Cap ETF         4.91771         13,907,491         15,136           USV         Smartshares Global Aggregate Bond ETF         3.55846         21,782,335	FNZ	Smartshares NZ Top 50 ETF	3.05350	229,551,179	-
MZY         Smartshares Australian Mid Cap ETF         7.02438         16,757,274         -           NPF         Smartshares NZ Property ETF         1.51549         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.10245         115,841,618         100,000           NZC         Smartshares NZ Cash ETF         2.96399         56,743,712         -           OZY         Smartshares Australian Top 20 ETF         3.91928         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         1.99752         65,881,573         -           TWF         Smartshares Total World ETF         2.45398         25,103,375         -           USF         Smartshares US S00 ETF         9.08973         30,476,001         (15,000)           USG         Smartshares US Large Growth ETF         5.74891         9,223,947         (20,387)           USM         Smartshares US Smill Cap ETF         5.40367         14,964,478         -           USS         Smartshares US Small Cap ETF         4.91771         13,907,491         15,136           USV         Smartshares Global Aggregate Bond ETF         3.55846         21,782,335         -           BOT         Smartshares Automation and Robotics ETF         3.16440         <	GBF	Smartshares Global Bond ETF	3.30867	68,169,618	60,000
NPF         Smartshares NZ Property ETF         1.51549         83,761,748         -           NZB         Smartshares NZ Bond ETF         3.10245         115,841,618         100,000           NZC         Smartshares NZ Cash ETF         2.96399         56,743,712         -           OZY         Smartshares Australian Top 20 ETF         3.91928         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         1.99752         65,881,573         -           TWF         Smartshares Total World ETF         2.45398         25,103,375         -           USF         Smartshares US 500 ETF         9.08973         30,476,001         (15,000)           USG         Smartshares US Large Growth ETF         5.74891         9,223,947         (20,387)           USM         Smartshares US Small Cap ETF         5.40367         14,964,478         -           USV         Smartshares US Small Cap ETF         4.91771         13,907,491         15,136           USV         Smartshares Global Aggregate Bond ETF         3.55846         21,782,335         -           BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equitties ESG ETF         2.1628	MDZ	Smartshares NZ Mid Cap ETF	5.77399	28,640,674	-
NZB         Smartshares NZ Bond ETF         3.10245         115,841,618         100,000           NZC         Smartshares NZ Cash ETF         2.96399         56,743,712         -           OZY         Smartshares Australian Top 20 ETF         3.91928         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         1.99752         65,881,573         -           TWF         Smartshares Total World ETF         2.45398         25,103,375         -           USF         Smartshares US 500 ETF         9.08973         30,476,001         (15,000)           USG         Smartshares US Large Growth ETF         5.74891         9,223,947         (20,387)           USM         Smartshares US Mid Cap ETF         5.40367         14,964,478         -           USS         Smartshares US Large Value ETF         3.55846         21,782,335         -           USV         Smartshares Global Aggregate Bond ETF         1.35905         26,544,931         -           BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equities ESG ETF         2.16280         8,846,996         -           ESG         Smartshares Global Equities ESG ETF         2.205	MZY	Smartshares Australian Mid Cap ETF	7.02438	16,757,274	-
NZC       Smartshares NZ Cash ETF       2.96399       56,743,712       -         OZY       Smartshares Australian Top 20 ETF       3.91928       34,431,267       -         TNZ       Smartshares NZ Top 10 ETF       1.99752       65,881,573       -         TWF       Smartshares Total World ETF       2.45398       25,103,375       -         USF       Smartshares US 500 ETF       9.08973       30,476,001       (15,000)         USG       Smartshares US Large Growth ETF       5.74891       9,223,947       (20,387)         USM       Smartshares US Mid Cap ETF       5.40367       14,964,478       -         USS       Smartshares US Small Cap ETF       4.91771       13,907,491       15,136         USV       Smartshares US Large Value ETF       3.55846       21,782,335       -         AGG       Smartshares Global Aggregate Bond ETF       1.35905       26,544,931       -         BOT       Smartshares Automation and Robotics ETF       3.16440       3,355,333       68,776         EMG       Smartshares Emerging Markets Equities ESG ETF       2.16280       8,846,996       -         ESG       Smartshares Global Equities ESG ETF       2.20511       2,930,590       -         EUG       Smartshares Jap	NPF	Smartshares NZ Property ETF	1.51549	83,761,748	-
OZY         Smartshares Australian Top 20 ETF         3.91928         34,431,267         -           TNZ         Smartshares NZ Top 10 ETF         1.99752         65,881,573         -           TWF         Smartshares Total World ETF         2.45398         25,103,375         -           USF         Smartshares US 500 ETF         9.08973         30,476,001         (15,000)           USG         Smartshares US Large Growth ETF         5.74891         9,223,947         (20,387)           USM         Smartshares US Mid Cap ETF         5.40367         14,964,478         -           USS         Smartshares US Small Cap ETF         4.91771         13,907,491         15,136           USV         Smartshares US Large Value ETF         3.55846         21,782,335         -           AGG         Smartshares Global Aggregate Bond ETF         1.35905         26,544,931         -           BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equities ESG ETF         2.16280         8,846,996         -           ESG         Smartshares Europe Equities ESG ETF         2.20511         2,930,590         -           EUG         Smartshares Japan Equities ESG ETF	NZB	Smartshares NZ Bond ETF	3.10245	115,841,618	100,000
TNZ         Smartshares NZ Top 10 ETF         1.99752         65,881,573         -           TWF         Smartshares Total World ETF         2.45398         25,103,375         -           USF         Smartshares US 500 ETF         9.08973         30,476,001         (15,000)           USG         Smartshares US Large Growth ETF         5.74891         9,223,947         (20,387)           USM         Smartshares US Mid Cap ETF         5.40367         14,964,478         -           USS         Smartshares US Small Cap ETF         4.91771         13,907,491         15,136           USV         Smartshares US Large Value ETF         3.55846         21,782,335         -           AGG         Smartshares Global Aggregate Bond ETF         1.35905         26,544,931         -           BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equities ESG ETF         2.16280         8,846,996         -           ESG         Smartshares Global Equities ESG ETF         2.20511         2,930,590         -           EUG         Smartshares Europe Equities ESG ETF         2.47063         7,169,065         -           JPN         Smartshares Innovation ETF <td< td=""><td>NZC</td><td>Smartshares NZ Cash ETF</td><td>2.96399</td><td>56,743,712</td><td>-</td></td<>	NZC	Smartshares NZ Cash ETF	2.96399	56,743,712	-
TWF         Smartshares Total World ETF         2.45398         25,103,375         -           USF         Smartshares US 500 ETF         9.08973         30,476,001         (15,000)           USG         Smartshares US Large Growth ETF         5.74891         9,223,947         (20,387)           USM         Smartshares US Mid Cap ETF         5.40367         14,964,478         -           USS         Smartshares US Small Cap ETF         4.91771         13,907,491         15,136           USV         Smartshares US Large Value ETF         3.55846         21,782,335         -           AGG         Smartshares Global Aggregate Bond ETF         1.35905         26,544,931         -           BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equities ESG ETF         2.16280         8,846,996         -           ESG         Smartshares Global Equities ESG ETF         2.20511         2,930,590         -           EUG         Smartshares Europe Equities ESG ETF         2.47063         7,169,065         -           JPN         Smartshares Japan Equities ESG ETF         1.94921         6,442,864         -           LIV         Smartshares Innovation ETF	OZY	Smartshares Australian Top 20 ETF	3.91928	34,431,267	-
USF         Smartshares US 500 ETF         9.08973         30,476,001         (15,000)           USG         Smartshares US Large Growth ETF         5.74891         9,223,947         (20,387)           USM         Smartshares US Mid Cap ETF         5.40367         14,964,478         -           USS         Smartshares US Small Cap ETF         4.91771         13,907,491         15,136           USV         Smartshares US Large Value ETF         3.55846         21,782,335         -           AGG         Smartshares Global Aggregate Bond ETF         1.35905         26,544,931         -           BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equities ESG ETF         2.16280         8,846,996         -           ESG         Smartshares Global Equities ESG ETF         2.20511         2,930,590         -           EUG         Smartshares Europe Equities ESG ETF         2.47063         7,169,065         -           JPN         Smartshares Japan Equities ESG ETF         1.94921         6,442,864         -           LIV         Smartshares Healthcare Innovation ETF         2.59870         2,106,771         -	TNZ	Smartshares NZ Top 10 ETF	1.99752	65,881,573	-
USG         Smartshares US Large Growth ETF         5.74891         9,223,947         (20,387)           USM         Smartshares US Mid Cap ETF         5.40367         14,964,478         -           USS         Smartshares US Small Cap ETF         4.91771         13,907,491         15,136           USV         Smartshares US Large Value ETF         3.55846         21,782,335         -           AGG         Smartshares Global Aggregate Bond ETF         1.35905         26,544,931         -           BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equities ESG ETF         2.16280         8,846,996         -           ESG         Smartshares Global Equities ESG ETF         2.20511         2,930,590         -           EUG         Smartshares Europe Equities ESG ETF         2.47063         7,169,065         -           JPN         Smartshares Japan Equities ESG ETF         1.94921         6,442,864         -           LIV         Smartshares Healthcare Innovation ETF         2.59870         2,106,771         -	TWF	Smartshares Total World ETF	2.45398	25,103,375	-
USM         Smartshares US Mid Cap ETF         5.40367         14,964,478         -           USS         Smartshares US Small Cap ETF         4.91771         13,907,491         15,136           USV         Smartshares US Large Value ETF         3.55846         21,782,335         -           AGG         Smartshares Global Aggregate Bond ETF         1.35905         26,544,931         -           BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equities ESG ETF         2.16280         8,846,996         -           ESG         Smartshares Global Equities ESG ETF         2.20511         2,930,590         -           EUG         Smartshares Europe Equities ESG ETF         2.47063         7,169,065         -           JPN         Smartshares Japan Equities ESG ETF         1.94921         6,442,864         -           LIV         Smartshares Healthcare Innovation ETF         2.59870         2,106,771         -	USF	Smartshares US 500 ETF	9.08973	30,476,001	(15,000)
USS         Smartshares US Small Cap ETF         4.91771         13,907,491         15,136           USV         Smartshares US Large Value ETF         3.55846         21,782,335         -           AGG         Smartshares Global Aggregate Bond ETF         1.35905         26,544,931         -           BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equities ESG ETF         2.16280         8,846,996         -           ESG         Smartshares Global Equities ESG ETF         2.20511         2,930,590         -           EUG         Smartshares Europe Equities ESG ETF         2.47063         7,169,065         -           JPN         Smartshares Japan Equities ESG ETF         1.94921         6,442,864         -           LIV         Smartshares Healthcare Innovation ETF         2.59870         2,106,771         -	USG	Smartshares US Large Growth ETF	5.74891	9,223,947	(20,387)
USV         Smartshares US Large Value ETF         3.55846         21,782,335         -           AGG         Smartshares Global Aggregate Bond ETF         1.35905         26,544,931         -           BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equities ESG ETF         2.16280         8,846,996         -           ESG         Smartshares Global Equities ESG ETF         2.20511         2,930,590         -           EUG         Smartshares Europe Equities ESG ETF         2.47063         7,169,065         -           JPN         Smartshares Japan Equities ESG ETF         1.94921         6,442,864         -           LIV         Smartshares Healthcare Innovation ETF         2.59870         2,106,771         -	USM	Smartshares US Mid Cap ETF	5.40367	14,964,478	-
AGG Smartshares Global Aggregate Bond ETF 1.35905 26,544,931 - BOT Smartshares Automation and Robotics ETF 3.16440 3,355,333 68,776 EMG Smartshares Emerging Markets Equities ESG ETF 2.16280 8,846,996 - ESG Smartshares Global Equities ESG ETF 2.20511 2,930,590 - EUG Smartshares Europe Equities ESG ETF 2.47063 7,169,065 - JPN Smartshares Japan Equities ESG ETF 1.94921 6,442,864 - LIV Smartshares Healthcare Innovation ETF 2.59870 2,106,771 -	USS	Smartshares US Small Cap ETF	4.91771	13,907,491	15,136
BOT         Smartshares Automation and Robotics ETF         3.16440         3,355,333         68,776           EMG         Smartshares Emerging Markets Equities ESG ETF         2.16280         8,846,996         -           ESG         Smartshares Global Equities ESG ETF         2.20511         2,930,590         -           EUG         Smartshares Europe Equities ESG ETF         2.47063         7,169,065         -           JPN         Smartshares Japan Equities ESG ETF         1.94921         6,442,864         -           LIV         Smartshares Healthcare Innovation ETF         2.59870         2,106,771         -	USV	Smartshares US Large Value ETF	3.55846	21,782,335	-
EMGSmartshares Emerging Markets Equities ESG ETF2.162808,846,996-ESGSmartshares Global Equities ESG ETF2.205112,930,590-EUGSmartshares Europe Equities ESG ETF2.470637,169,065-JPNSmartshares Japan Equities ESG ETF1.949216,442,864-LIVSmartshares Healthcare Innovation ETF2.598702,106,771-	AGG	Smartshares Global Aggregate Bond ETF	1.35905	26,544,931	-
ESG         Smartshares Global Equities ESG ETF         2.20511         2,930,590         -           EUG         Smartshares Europe Equities ESG ETF         2.47063         7,169,065         -           JPN         Smartshares Japan Equities ESG ETF         1.94921         6,442,864         -           LIV         Smartshares Healthcare Innovation ETF         2.59870         2,106,771         -	ВОТ	Smartshares Automation and Robotics ETF	3.16440	3,355,333	68,776
EUG         Smartshares Europe Equities ESG ETF         2.47063         7,169,065         -           JPN         Smartshares Japan Equities ESG ETF         1.94921         6,442,864         -           LIV         Smartshares Healthcare Innovation ETF         2.59870         2,106,771         -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.16280	8,846,996	-
JPN Smartshares Japan Equities ESG ETF 1.94921 6,442,864 - LIV Smartshares Healthcare Innovation ETF 2.59870 2,106,771 -	ESG	Smartshares Global Equities ESG ETF	2.20511	2,930,590	-
LIV Smartshares Healthcare Innovation ETF 2.59870 2,106,771 -	EUG	Smartshares Europe Equities ESG ETF	2.47063	7,169,065	-
	JPN	Smartshares Japan Equities ESG ETF	1.94921	6,442,864	-
USA         Smartshares US Equities ESG ETF         2.31128         9,495,271         -	LIV	Smartshares Healthcare Innovation ETF	2.59870	2,106,771	-
	USA	Smartshares US Equities ESG ETF	2.31128	9,495,271	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.5 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>