

Tuesday, 17 March 2020

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 16 March 2020.

APA         Smartshares Asia Pacific ETF         1.79600         55,616,137         (173,611)           ASD         Smartshares Australian Dividend ETF         1.17496         17,304,541         -           ASF         Smartshares Australian Financials ETF         4.80944         3,665,468         -           ASP         Smartshares Australian Property ETF         1.22548         28,297,531         -           ASR         Smartshares Australian Resources ETF         3,37623         6,757,633         -           DIV         Smartshares Australian Resources ETF         1.01691         63,407,211         -           EMF         Smartshares RD Dividend ETF         1.01691         63,407,211         -           EMF         Smartshares RD Dividend ETF         1.01691         63,407,211         -           EUF         Smartshares RD Dividend ETF         1.01691         63,479,211         -           EUF         Smartshares NZ Top ETF         1.44288         98,545,313         (75,000)           GBF         Smartshares NZ Top 50 ETF         2.47095         227,251,179         (500,000)           GBF         Smartshares NZ Mid Cap ETF         3.08311         16,682,274         -           MZY         Smartshares NZ Property ETF         1.24212	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         4.80944         3,665,468         -           ASP         Smartshares Australian Property ETF         1.22548         28,297,531         -           ASR         Smartshares Australian Resources ETF         3.37623         6,757,633         -           DIV         Smartshares Polividend ETF         1.01691         63,407,211         -           EMF         Smartshares Europe ETF         1.19505         88,748,641         (198,807)           EUF         Smartshares Europe ETF         1.44288         98,545,313         (75,000)           FNZ         Smartshares NZ Top 50 ETF         2.47095         227,251,179         (500,000)           GBF         Smartshares NZ Top 50 ETF         2.47095         227,251,179         (500,000)           GBF         Smartshares NZ MIC Cap ETF         4.60006         27,940,674         -           MDZ         Smartshares NZ Mustralian Mid Cap ETF         5.08531         16,682,274         -           NPE         Smartshares NZ Property ETF         1.24212         82,636,748         -           NZC         Smartshares NZ Bond ETF         3.08871         119,261,618         -           NZC         Smartshares NZ Cash ETF         2.96259         63,	APA	Smartshares Asia Pacific ETF	1.79600	55,616,137	(173,611)
ASP         Smartshares Australian Property ETF         1.22548         28,297,531         -           ASR         Smartshares Australian Resources ETF         3.37623         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.01691         63,407,211         -           EMF         Smartshares Emerging Markets ETF         1.19505         88,748,641         (198,807)           EUF         Smartshares Europe ETF         1.44288         98,545,313         (75,000)           FNZ         Smartshares NZ Top 50 ETF         2.47095         227,251,179         (500,000)           GBF         Smartshares NZ Top 50 ETF         2.47095         227,251,179         (500,000)           GBF         Smartshares NZ Top 50 ETF         2.47095         227,251,179         (500,000)           GBF         Smartshares NZ Top 50 ETF         2.47095         227,251,179         (500,000)           GBF         Smartshares NZ Top 50 ETF         4.60006         27,940,674         -           MZY         Smartshares NZ Top 40 ETF         5.08531         16,682,274         -           NZB         Smartshares NZ Top 60 ETF         1.24212         82,636,748         -           NZC         Smartshares NZ Cash ETF         2.96259 <th< td=""><td>ASD</td><td>Smartshares Australian Dividend ETF</td><td>1.17436</td><td>17,304,541</td><td>-</td></th<>	ASD	Smartshares Australian Dividend ETF	1.17436	17,304,541	-
ASR Smartshares Australian Resources ETF	ASF	Smartshares Australian Financials ETF	4.80944	3,665,468	-
DIV         Smartshares NZ Dividend ETF         1.01691         63,407,211         -           EMF         Smartshares Emerging Markets ETF         1.19505         88,748,641         (198,807)           EUF         Smartshares Europe ETF         1.44288         98,545,313         (75,000)           FNZ         Smartshares NZ Top 50 ETF         2.47095         227,251,179         (500,000)           GBF         Smartshares Global Bond ETF         3.23511         69,799,618         -           MDZ         Smartshares NZ Mid Cap ETF         4.60006         27,940,674         -           MZY         Smartshares Australian Mid Cap ETF         5.08531         16,682,274         -           NZB         Smartshares NZ Property ETF         1.24212         82,636,748         -           NZB         Smartshares NZ Bond ETF         3.08871         119,261,618         -           NZC         Smartshares NZ Bond ETF         2.96259         63,753,712         1,570,000           OZY         Smartshares Australian Top 20 ETF         2.91093         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.70286         64,881,573         -           USF         Smartshares SToal World ETF         2.15964         25,228,250	ASP	Smartshares Australian Property ETF	1.22548	28,297,531	-
EMF         Smartshares Emerging Markets ETF         1.19505         88,748,641         (198,807)           EUF         Smartshares Europe ETF         1.44288         98,545,313         (75,000)           FNZ         Smartshares NZ Top 50 ETF         2.47095         227,251,179         (500,000)           GBF         Smartshares Global Bond ETF         3.23511         69,799,618         -           MDZ         Smartshares NZ Mid Cap ETF         4.60006         27,940,674         -           MZY         Smartshares Australian Mid Cap ETF         5.08531         16,682,274         -           NPF         Smartshares NZ Property ETF         1.24212         82,636,748         -           NZB         Smartshares NZ Bond ETF         3.08871         119,261,618         -           NZC         Smartshares NZ Cash ETF         2.96259         63,753,712         1,570,000           OZY         Smartshares Australian Top 20 ETF         2.91093         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.70286         64,881,573         -           TWF         Smartshares Total World ETF         2.15964         25,228,250         14,985           USF         Smartshares US Garge Growth ETF         5.27156         9,101,	ASR	Smartshares Australian Resources ETF	3.37623	6,757,633	-
EUF Smartshares Europe ETF 1.44288 98,545,313 (75,000)  FNZ Smartshares NZ Top 50 ETF 2.47095 227,251,179 (500,000)  GBF Smartshares Global Bond ETF 3.23511 69,799,618 -  MDZ Smartshares NZ Mid Cap ETF 4.60006 27,940,674 -  MZY Smartshares Australian Mid Cap ETF 5.08531 16,682,274 -  NPF Smartshares NZ Property ETF 1.24212 82,636,748 -  NZB Smartshares NZ Bond ETF 3.08871 119,261,618 -  NZC Smartshares NZ Cash ETF 2.96259 63,753,712 1,570,000  CZY Smartshares Australian Top 20 ETF 2.91093 34,356,267 -  TNZ Smartshares NZ Top 10 ETF 1.70286 64,881,573 -  TWF Smartshares NZ Top 10 ETF 2.15964 25,228,250 14,985  USF Smartshares US 500 ETF 8.2291 30,215,741 (105,105)  USG Smartshares US FOR ETF 4.60318 14,768,104 -  USG Smartshares US Small Cap ETF 4.03934 13,746,037 -  USW Smartshares US Small Cap ETF 4.03934 13,746,037 -  USV Smartshares US FOR ETF 1.33234 26,477,831 28,693  BOT Smartshares Us Large Value ETF 1.33234 26,477,831 28,693  BOT Smartshares Automation and Robotics ETF 2.50452 3,359,384 -  EMG Smartshares Automation and Robotics ETF 1.88897 8,822,649 -  EMG Smartshares Europe Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Europe Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Lurope Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Lurope Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Lurope Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Lurope Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Lurope Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Lurope Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Lurope Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Lurope Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Lurope Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Lurope Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Large Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Large Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Large Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Large Equities ESG ETF 1.80476 2,963,042	DIV	Smartshares NZ Dividend ETF	1.01691	63,407,211	-
FNZ         Smartshares NZ Top 50 ETF         2.47095         227,251,179         (500,000)           GBF         Smartshares Global Bond ETF         3.23511         69,799,618         -           MDZ         Smartshares NZ Mid Cap ETF         4.60006         27,940,674         -           MZY         Smartshares Australian Mid Cap ETF         5.08531         16,682,274         -           NPF         Smartshares NZ Property ETF         1.24212         82,636,748         -           NZB         Smartshares NZ Bond ETF         3.08871         119,261,618         -           NZC         Smartshares NZ Cash ETF         2.96259         63,753,712         1,570,000           OZY         Smartshares NZ Top 10 ETF         2.91093         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.70286         64,881,573         -           TWF         Smartshares NZ Top 10 ETF         2.15964         25,228,250         14,985           USF         Smartshares US 500 ETF         8.22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5.27156         9,101,373         -           USM         Smartshares US Small Cap ETF         4.60318         14,768,104	EMF	Smartshares Emerging Markets ETF	1.19505	88,748,641	(198,807)
GBF         Smartshares Global Bond ETF         3.23511         69,799,618         -           MDZ         Smartshares NZ Mid Cap ETF         4.60006         27,940,674         -           MZY         Smartshares Australian Mid Cap ETF         5.08531         16,682,274         -           NPF         Smartshares NZ Property ETF         1.24212         82,636,748         -           NZB         Smartshares NZ Bond ETF         3.08871         119,261,618         -           NZC         Smartshares NZ Cash ETF         2.96259         63,753,712         1,570,000           OZY         Smartshares Australian Top 20 ETF         2.91093         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1,70286         64,881,573         -           TWF         Smartshares Total World ETF         2,15964         25,228,250         14,985           USF         Smartshares US So0 ETF         8,22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5,27156         9,101,373         -           USM         Smartshares US Small Cap ETF         4,60318         14,768,104         -           USS         Smartshares US Large Value ETF         4,03934         13,746,037	EUF	Smartshares Europe ETF	1.44288	98,545,313	(75,000)
MDZ         Smartshares NZ Mid Cap ETF         4.60006         27,940,674         -           MZY         Smartshares Australian Mid Cap ETF         5.08531         16,682,274         -           NPF         Smartshares NZ Property ETF         1.24212         82,636,748         -           NZB         Smartshares NZ Bond ETF         3.08871         119,261,618         -           NZC         Smartshares NZ Cash ETF         2.96259         63,753,712         1,570,000           OZY         Smartshares Australian Top 20 ETF         2.91093         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1,70286         64,881,573         -           TWF         Smartshares NZ Top 10 ETF         2,15964         25,228,250         14,985           USF         Smartshares US Soo ETF         8,22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5,27156         9,101,373         -           USM         Smartshares US Small Cap ETF         4,60318         14,768,104         -           USS         Smartshares US Small Cap ETF         4,03934         13,746,037         -           USV         Smartshares Global Aggregate Bond ETF         1,34871         21,677,440	FNZ	Smartshares NZ Top 50 ETF	2.47095	227,251,179	(500,000)
MZY         Smartshares Australian Mid Cap ETF         5.08531         16,682,274         -           NPF         Smartshares NZ Property ETF         1.24212         82,636,748         -           NZB         Smartshares NZ Bond ETF         3.08871         119,261,618         -           NZC         Smartshares NZ Cash ETF         2.96259         63,753,712         1,570,000           OZY         Smartshares Australian Top 20 ETF         2.91093         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.70286         64,881,573         -           TWF         Smartshares NZ Top 10 ETF         2.15964         25,228,250         14,985           USF         Smartshares US 500 ETF         8.22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5.27156         9,101,373         -           USM         Smartshares US Small Cap ETF         4.60318         14,768,104         -           USS         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Automation and Robotics ETF         2.50452	GBF	Smartshares Global Bond ETF	3.23511	69,799,618	-
NPF         Smartshares NZ Property ETF         1.24212         82,636,748         -           NZB         Smartshares NZ Bond ETF         3.08871         119,261,618         -           NZC         Smartshares NZ Cash ETF         2.96259         63,753,712         1,570,000           OZY         Smartshares Australian Top 20 ETF         2.91093         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.70286         64,881,573         -           TWF         Smartshares Total World ETF         2.15964         25,228,250         14,985           USF         Smartshares US 500 ETF         8.22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5.27156         9,101,373         -           USM         Smartshares US Mid Cap ETF         4.60318         14,768,104         -           USS         Smartshares US Small Cap ETF         4.03934         13,746,037         -           USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Automation and Robotics ETF         2.50452         3,359,384         -           EMG         Smartshares Emerging Markets Equities ESG ETF         1.86897	MDZ	Smartshares NZ Mid Cap ETF	4.60006	27,940,674	-
NZB         Smartshares NZ Bond ETF         3.08871         119,261,618         -           NZC         Smartshares NZ Cash ETF         2.96259         63,753,712         1,570,000           OZY         Smartshares Australian Top 20 ETF         2.91093         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.70286         64,881,573         -           TWF         Smartshares Total World ETF         2.15964         25,228,250         14,985           USF         Smartshares US 500 ETF         8.22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5.27156         9,101,373         -           USM         Smartshares US Mid Cap ETF         4.60318         14,768,104         -           USS         Smartshares US Small Cap ETF         4.03934         13,746,037         -           USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Automation and Robotics ETF         2.50452         3,359,384         -           EMG         Smartshares Emerging Markets Equities ESG ETF         1.8	MZY	Smartshares Australian Mid Cap ETF	5.08531	16,682,274	-
NZC         Smartshares NZ Cash ETF         2.96259         63,753,712         1,570,000           OZY         Smartshares Australian Top 20 ETF         2.91093         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.70286         64,881,573         -           TWF         Smartshares Total World ETF         2.15964         25,228,250         14,985           USF         Smartshares US 500 ETF         8.22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5.27156         9,101,373         -           USM         Smartshares US Mid Cap ETF         4.60318         14,768,104         -           USS         Smartshares US Small Cap ETF         4.03934         13,746,037         -           USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Emerging Markets Equities ESG ETF         1.86897         8,822,649         -           EMG         Smartshares Global Equities ESG ETF         1.80476         2,963,042         -           EUG         Smartshares Lquities ESG ETF         1.63	NPF	Smartshares NZ Property ETF	1.24212	82,636,748	-
OZY         Smartshares Australian Top 20 ETF         2.91093         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.70286         64,881,573         -           TWF         Smartshares Total World ETF         2.15964         25,228,250         14,985           USF         Smartshares US 500 ETF         8.22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5.27156         9,101,373         -           USM         Smartshares US Mid Cap ETF         4.60318         14,768,104         -           USS         Smartshares US Small Cap ETF         4.03934         13,746,037         -           USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Automation and Robotics ETF         2.50452         3,359,384         -           EMG         Smartshares Emerging Markets Equities ESG ETF         1.86897         8,822,649         -           ESG         Smartshares Global Equities ESG ETF         1.80476         2,963,042         -           EUG         Smartshares Japan Equities ESG ETF	NZB	Smartshares NZ Bond ETF	3.08871	119,261,618	-
TNZ         Smartshares NZ Top 10 ETF         1.70286         64,881,573         -           TWF         Smartshares Total World ETF         2.15964         25,228,250         14,985           USF         Smartshares US 500 ETF         8.22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5.27156         9,101,373         -           USM         Smartshares US Mid Cap ETF         4.60318         14,768,104         -           USS         Smartshares US Small Cap ETF         4.03934         13,746,037         -           USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Automation and Robotics ETF         2.50452         3,359,384         -           EMG         Smartshares Emerging Markets Equities ESG ETF         1.86897         8,822,649         -           ESG         Smartshares Europe Equities ESG ETF         1.96894         7,185,292         -           JPN         Smartshares Japan Equities ESG ETF         1.63795         6,471,254         -           LIV         Smartshares Healthcare Innovation ETF	NZC	Smartshares NZ Cash ETF	2.96259	63,753,712	1,570,000
TWF         Smartshares Total World ETF         2.15964         25,228,250         14,985           USF         Smartshares US 500 ETF         8.22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5.27156         9,101,373         -           USM         Smartshares US Mid Cap ETF         4.60318         14,768,104         -           USS         Smartshares US Small Cap ETF         4.03934         13,746,037         -           USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Automation and Robotics ETF         2.50452         3,359,384         -           EMG         Smartshares Emerging Markets Equities ESG ETF         1.86897         8,822,649         -           ESG         Smartshares Global Equities ESG ETF         1.96894         7,185,292         -           EUG         Smartshares Japan Equities ESG ETF         1.63795         6,471,254         -           LIV         Smartshares Healthcare Innovation ETF         2.13658         2,204,196         12,178	OZY	Smartshares Australian Top 20 ETF	2.91093	34,356,267	-
USF         Smartshares US 500 ETF         8.22291         30,215,741         (105,105)           USG         Smartshares US Large Growth ETF         5.27156         9,101,373         -           USM         Smartshares US Mid Cap ETF         4.60318         14,768,104         -           USS         Smartshares US Small Cap ETF         4.03934         13,746,037         -           USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Automation and Robotics ETF         2.50452         3,359,384         -           EMG         Smartshares Emerging Markets Equities ESG ETF         1.86897         8,822,649         -           ESG         Smartshares Global Equities ESG ETF         1.80476         2,963,042         -           EUG         Smartshares Europe Equities ESG ETF         1.96894         7,185,292         -           JPN         Smartshares Japan Equities ESG ETF         1.63795         6,471,254         -           LIV         Smartshares Healthcare Innovation ETF         2.13658         2,204,196         12,178	TNZ	Smartshares NZ Top 10 ETF	1.70286	64,881,573	-
USG         Smartshares US Large Growth ETF         5.27156         9,101,373         -           USM         Smartshares US Mid Cap ETF         4.60318         14,768,104         -           USS         Smartshares US Small Cap ETF         4.03934         13,746,037         -           USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Automation and Robotics ETF         2.50452         3,359,384         -           EMG         Smartshares Emerging Markets Equities ESG ETF         1.86897         8,822,649         -           ESG         Smartshares Global Equities ESG ETF         1.80476         2,963,042         -           EUG         Smartshares Europe Equities ESG ETF         1.96894         7,185,292         -           JPN         Smartshares Japan Equities ESG ETF         1.63795         6,471,254         -           LIV         Smartshares Healthcare Innovation ETF         2.13658         2,204,196         12,178	TWF	Smartshares Total World ETF	2.15964	25,228,250	14,985
USM         Smartshares US Mid Cap ETF         4.60318         14,768,104         -           USS         Smartshares US Small Cap ETF         4.03934         13,746,037         -           USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Automation and Robotics ETF         2.50452         3,359,384         -           EMG         Smartshares Emerging Markets Equities ESG ETF         1.86897         8,822,649         -           ESG         Smartshares Global Equities ESG ETF         1.80476         2,963,042         -           EUG         Smartshares Europe Equities ESG ETF         1.96894         7,185,292         -           JPN         Smartshares Japan Equities ESG ETF         1.63795         6,471,254         -           LIV         Smartshares Healthcare Innovation ETF         2.13658         2,204,196         12,178	USF	Smartshares US 500 ETF	8.22291	30,215,741	(105,105)
USS         Smartshares US Small Cap ETF         4.03934         13,746,037         -           USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Automation and Robotics ETF         2.50452         3,359,384         -           EMG         Smartshares Emerging Markets Equities ESG ETF         1.86897         8,822,649         -           ESG         Smartshares Global Equities ESG ETF         1.80476         2,963,042         -           EUG         Smartshares Europe Equities ESG ETF         1.96894         7,185,292         -           JPN         Smartshares Japan Equities ESG ETF         1.63795         6,471,254         -           LIV         Smartshares Healthcare Innovation ETF         2.13658         2,204,196         12,178	USG	Smartshares US Large Growth ETF	5.27156	9,101,373	-
USV         Smartshares US Large Value ETF         3.14871         21,677,440         (54,945)           AGG         Smartshares Global Aggregate Bond ETF         1.33234         26,477,831         28,693           BOT         Smartshares Automation and Robotics ETF         2.50452         3,359,384         -           EMG         Smartshares Emerging Markets Equities ESG ETF         1.86897         8,822,649         -           ESG         Smartshares Global Equities ESG ETF         1.80476         2,963,042         -           EUG         Smartshares Europe Equities ESG ETF         1.96894         7,185,292         -           JPN         Smartshares Japan Equities ESG ETF         1.63795         6,471,254         -           LIV         Smartshares Healthcare Innovation ETF         2.13658         2,204,196         12,178	USM	Smartshares US Mid Cap ETF	4.60318	14,768,104	-
AGG       Smartshares Global Aggregate Bond ETF       1.33234       26,477,831       28,693         BOT       Smartshares Automation and Robotics ETF       2.50452       3,359,384       -         EMG       Smartshares Emerging Markets Equities ESG ETF       1.86897       8,822,649       -         ESG       Smartshares Global Equities ESG ETF       1.80476       2,963,042       -         EUG       Smartshares Europe Equities ESG ETF       1.96894       7,185,292       -         JPN       Smartshares Japan Equities ESG ETF       1.63795       6,471,254       -         LIV       Smartshares Healthcare Innovation ETF       2.13658       2,204,196       12,178	USS	Smartshares US Small Cap ETF	4.03934	13,746,037	-
BOT Smartshares Automation and Robotics ETF 2.50452 3,359,384 -  EMG Smartshares Emerging Markets Equities ESG ETF 1.86897 8,822,649 -  ESG Smartshares Global Equities ESG ETF 1.80476 2,963,042 -  EUG Smartshares Europe Equities ESG ETF 1.96894 7,185,292 -  JPN Smartshares Japan Equities ESG ETF 1.63795 6,471,254 -  LIV Smartshares Healthcare Innovation ETF 2.13658 2,204,196 12,178	USV	Smartshares US Large Value ETF	3.14871	21,677,440	(54,945)
EMG Smartshares Emerging Markets Equities ESG ETF 1.86897 8,822,649 - ESG Smartshares Global Equities ESG ETF 1.80476 2,963,042 - EUG Smartshares Europe Equities ESG ETF 1.96894 7,185,292 - JPN Smartshares Japan Equities ESG ETF 1.63795 6,471,254 - LIV Smartshares Healthcare Innovation ETF 2.13658 2,204,196 12,178	AGG	Smartshares Global Aggregate Bond ETF	1.33234	26,477,831	28,693
ESG         Smartshares Global Equities ESG ETF         1.80476         2,963,042         -           EUG         Smartshares Europe Equities ESG ETF         1.96894         7,185,292         -           JPN         Smartshares Japan Equities ESG ETF         1.63795         6,471,254         -           LIV         Smartshares Healthcare Innovation ETF         2.13658         2,204,196         12,178	ВОТ	Smartshares Automation and Robotics ETF	2.50452	3,359,384	-
EUG Smartshares Europe Equities ESG ETF 1.96894 7,185,292 -  JPN Smartshares Japan Equities ESG ETF 1.63795 6,471,254 -  LIV Smartshares Healthcare Innovation ETF 2.13658 2,204,196 12,178	EMG	Smartshares Emerging Markets Equities ESG ETF	1.86897	8,822,649	-
JPN Smartshares Japan Equities ESG ETF 1.63795 6,471,254 - LIV Smartshares Healthcare Innovation ETF 2.13658 2,204,196 12,178	ESG	Smartshares Global Equities ESG ETF	1.80476	2,963,042	-
LIV Smartshares Healthcare Innovation ETF 2.13658 2,204,196 12,178	EUG	Smartshares Europe Equities ESG ETF	1.96894	7,185,292	-
	JPN	Smartshares Japan Equities ESG ETF	1.63795	6,471,254	-
USA Smartshares US Equities ESG ETF 1.91461 9,580,415 -	LIV	Smartshares Healthcare Innovation ETF	2.13658	2,204,196	12,178
	USA	Smartshares US Equities ESG ETF	1.91461	9,580,415	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.0 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>