

30 June 2020

## Reliance on NZX class waiver

Smartshares Limited (**Smartshares**) is the manager of the Smartshares Exchange Traded Funds (**Scheme**).

Smartshares advises that it intends to rely on the class waiver granted by NZX dated 3 April 2020 providing an extension to the periodic reporting deadline in Listing Rule 3.12.1 for the Scheme's annual report. Smartshares intends to provide the Scheme's annual report no later than 31 August 2020, although Smartshares will release the annual report earlier if circumstances allow.

## About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 18,000 unit holders and more than NZD \$3.4 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement for each of the ETFs please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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