

Monday, 14 September 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 11 September 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.01125	52,355,625	100,000
ASD	Smartshares Australian Dividend ETF	1.47315	12,554,541	250,000
ASF	Smartshares Australian Financials ETF	5.60313	3,315,468	-
ASP	Smartshares Australian Property ETF	1.32721	36,297,531	-
ASR	Smartshares Australian Resources ETF	4.89746	4,807,633	-
DIV	Smartshares NZ Dividend ETF	1.14276	70,157,211	-
EMF	Smartshares Emerging Markets ETF	1.28989	88,815,762	65,261
EUF	Smartshares Europe ETF	1.61230	94,781,076	-
FNZ	Smartshares NZ Top 50 ETF	3.00926	235,751,179	-
GBF	Smartshares Global Bond ETF	3.33859	66,764,618	40,000
MDZ	Smartshares NZ Mid Cap ETF	5.63324	28,440,674	-
MZY	Smartshares Australian Mid Cap ETF	7.31675	18,932,274	-
NPF	Smartshares NZ Property ETF	1.42418	80,011,748	2,000,000
NZB	Smartshares NZ Bond ETF	3.17395	110,146,618	70,000
NZC	Smartshares NZ Cash ETF	2.95468	83,193,712	30,000
OZY	Smartshares Australian Top 20 ETF	3.49784	39,381,267	-
TNZ	Smartshares NZ Top 10 ETF	2.08669	69,881,573	-
TWF	Smartshares Total World ETF	2.41565	28,472,530	-
USF	Smartshares US 500 ETF	9.19608	29,096,969	-
USG	Smartshares US Large Growth ETF	6.53799	11,592,840	50,000
USM	Smartshares US Mid Cap ETF	5.17256	14,412,745	50,000
USS	Smartshares US Small Cap ETF	4.53162	14,143,711	-
USV	Smartshares US Large Value ETF	3.16428	23,303,176	-
AGG	Smartshares Global Aggregate Bond ETF	1.35545	33,958,365	-
BOT	Smartshares Automation and Robotics ETF	3.48965	5,197,227	50,000
EMG	Smartshares Emerging Markets Equities ESG ETF	2.15510	11,322,676	50,000
ESG	Smartshares Global Equities ESG ETF	2.22040	3,945,216	-
EUG	Smartshares Europe Equities ESG ETF	2.34703	12,240,192	-
JPN	Smartshares Japan Equities ESG ETF	1.94255	10,171,200	-
LIV	Smartshares Healthcare Innovation ETF	2.98723	4,728,771	74,542
USA	Smartshares US Equities ESG ETF	2.39277	14,367,196	-
NZG	Smartshares S&P/NZX 50 ETF	2.55350	10,299,842	200,000
AUS	Smartshares S&P/ASX 200 ETF	2.61074	3,512,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	2.58577	3,954,829	50,000
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.53572	6,901,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$3.7 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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