

Tuesday, 29 September 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 28 September 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.06546	52,725,726	-
ASD	Smartshares Australian Dividend ETF	1.43997	12,804,541	125,000
ASF	Smartshares Australian Financials ETF	5.52892	3,390,468	-
ASP	Smartshares Australian Property ETF	1.34555	36,297,531	-
ASR	Smartshares Australian Resources ETF	4.79968	4,882,633	-
DIV	Smartshares NZ Dividend ETF	1.15133	70,282,211	(250,000)
EMF	Smartshares Emerging Markets ETF	1.29747	89,114,155	-
EUF	Smartshares Europe ETF	1.59194	95,886,036	-
FNZ	Smartshares NZ Top 50 ETF	3.03619	236,151,179	200,000
GBF	Smartshares Global Bond ETF	3.34143	66,764,618	-
MDZ	Smartshares NZ Mid Cap ETF	5.68964	28,440,674	-
MZY	Smartshares Australian Mid Cap ETF	7.37591	18,857,274	-
NPF	Smartshares NZ Property ETF	1.41363	81,261,748	-
NZB	Smartshares NZ Bond ETF	3.18012	110,806,618	-
NZC	Smartshares NZ Cash ETF	2.95552	82,813,712	-
OZY	Smartshares Australian Top 20 ETF	3.49245	39,531,267	-
TNZ	Smartshares NZ Top 10 ETF	2.08925	71,381,573	250,000
TWF	Smartshares Total World ETF	2.43563	28,612,555	45,000
USF	Smartshares US 500 ETF	9.27417	29,376,994	-
USG	Smartshares US Large Growth ETF	6.65858	12,073,866	(30,000)
USM	Smartshares US Mid Cap ETF	5.27740	14,537,745	-
USS	Smartshares US Small Cap ETF	4.58288	14,313,711	-
USV	Smartshares US Large Value ETF	3.17588	23,523,176	-
AGG	Smartshares Global Aggregate Bond ETF	1.35906	34,239,630	-
BOT	Smartshares Automation and Robotics ETF	3.46589	5,340,891	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.11579	11,352,676	-
ESG	Smartshares Global Equities ESG ETF	2.17865	3,965,216	-
EUG	Smartshares Europe Equities ESG ETF	2.26989	12,292,921	-
JPN	Smartshares Japan Equities ESG ETF	1.98958	10,224,840	-
LIV	Smartshares Healthcare Innovation ETF	3.09789	4,864,680	-
USA	Smartshares US Equities ESG ETF	2.34942	14,486,766	-
NZG	Smartshares S&P/NZX 50 ETF	2.56467	11,099,842	-
AUS	Smartshares S&P/ASX 200 ETF	2.53794	3,812,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	2.57637	4,104,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.55285	7,101,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$3.7 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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