

Wednesday, 14 October 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 13 October 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.09643	52,825,726	170,000
ASD	Smartshares Australian Dividend ETF	1.49905	13,679,541	250,000
ASF	Smartshares Australian Financials ETF	5.98915	3,465,468	(25,000)
ASP	Smartshares Australian Property ETF	1.39331	36,422,531	125,000
ASR	Smartshares Australian Resources ETF	4.91186	4,957,633	-
DIV	Smartshares NZ Dividend ETF	1.23487	70,907,211	-
EMF	Smartshares Emerging Markets ETF	1.37077	90,394,155	270,000
EUF	Smartshares Europe ETF	1.66050	97,626,036	510,000
FNZ	Smartshares NZ Top 50 ETF	3.23108	236,651,179	100,000
GBF	Smartshares Global Bond ETF	3.35026	67,014,618	50,000
MDZ	Smartshares NZ Mid Cap ETF	6.00088	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	8.09390	18,932,274	-
NPF	Smartshares NZ Property ETF	1.49045	92,011,748	125,000
NZB	Smartshares NZ Bond ETF	3.17954	111,886,618	70,000
NZC	Smartshares NZ Cash ETF	2.95625	82,483,712	80,000
OZY	Smartshares Australian Top 20 ETF	3.64387	39,681,267	75,000
TNZ	Smartshares NZ Top 10 ETF	2.20251	72,631,573	500,000
TWF	Smartshares Total World ETF	2.55461	29,247,555	180,000
USF	Smartshares US 500 ETF	9.76842	29,806,994	75,000
USG	Smartshares US Large Growth ETF	7.07186	12,628,866	180,000
USM	Smartshares US Mid Cap ETF	5.60893	14,587,745	-
USS	Smartshares US Small Cap ETF	4.98291	14,443,711	-
USV	Smartshares US Large Value ETF	3.31443	23,908,176	80,000
AGG	Smartshares Global Aggregate Bond ETF	1.35787	35,614,630	70,000
BOT	Smartshares Automation and Robotics ETF	3.75385	5,470,891	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.26625	11,442,676	50,000
ESG	Smartshares Global Equities ESG ETF	2.30137	4,080,216	20,000
EUG	Smartshares Europe Equities ESG ETF	2.38547	12,722,921	180,000
JPN	Smartshares Japan Equities ESG ETF	1.99978	10,309,840	85,000
LIV	Smartshares Healthcare Innovation ETF	3.33337	5,074,680	80,000
USA	Smartshares US Equities ESG ETF	2.50243	14,706,766	100,000
NZG	Smartshares S&P/NZX 50 ETF	2.70572	11,899,842	100,000
AUS	Smartshares S&P/ASX 200 ETF	2.66698	4,412,708	100,000
TWH	Smartshares Total World (NZD Hedged) ETF	2.71977	4,284,829	80,000
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.53788	7,301,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$3.9 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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