

Wednesday, 28 October 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 27 October 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.04926	53,185,726	20,000
ASD	Smartshares Australian Dividend ETF	1.44008	13,679,541	-
ASF	Smartshares Australian Financials ETF	5.79259	3,465,468	-
ASP	Smartshares Australian Property ETF	1.32854	36,672,531	-
ASR	Smartshares Australian Resources ETF	4.66429	5,032,633	-
DIV	Smartshares NZ Dividend ETF	1.22813	71,407,211	-
EMF	Smartshares Emerging Markets ETF	1.34565	90,874,155	50,000
EUF	Smartshares Europe ETF	1.57106	98,061,036	15,000
FNZ	Smartshares NZ Top 50 ETF	3.20173	237,151,179	200,000
GBF	Smartshares Global Bond ETF	3.35205	67,154,618	-
MDZ	Smartshares NZ Mid Cap ETF	5.98737	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	7.79503	18,857,274	-
NPF	Smartshares NZ Property ETF	1.52529	93,011,748	-
NZB	Smartshares NZ Bond ETF	3.18247	112,281,618	60,000
NZC	Smartshares NZ Cash ETF	2.95689	81,483,712	(400,000)
OZY	Smartshares Australian Top 20 ETF	3.50082	39,681,267	-
TNZ	Smartshares NZ Top 10 ETF	2.14869	72,881,573	250,000
TWF	Smartshares Total World ETF	2.45868	29,407,555	130,000
USF	Smartshares US 500 ETF	9.35059	29,956,994	15,000
USG	Smartshares US Large Growth ETF	6.72417	12,848,866	15,000
USM	Smartshares US Mid Cap ETF	5.45827	14,587,745	-
USS	Smartshares US Small Cap ETF	4.83642	14,428,711	-
USV	Smartshares US Large Value ETF	3.20035	23,943,176	-
AGG	Smartshares Global Aggregate Bond ETF	1.35745	35,944,630	200,000
BOT	Smartshares Automation and Robotics ETF	3.63086	5,745,891	20,000
EMG	Smartshares Emerging Markets Equities ESG ETF	2.21634	11,552,676	-
ESG	Smartshares Global Equities ESG ETF	2.19722	4,100,216	-
EUG	Smartshares Europe Equities ESG ETF	2.26807	12,862,921	-
JPN	Smartshares Japan Equities ESG ETF	1.95737	10,429,840	-
LIV	Smartshares Healthcare Innovation ETF	3.16120	5,469,680	30,000
USA	Smartshares US Equities ESG ETF	2.38460	14,746,766	20,000
NZG	Smartshares S&P/NZX 50 ETF	2.66182	12,399,842	-
AUS	Smartshares S&P/ASX 200 ETF	2.55768	4,812,708	200,000
TWH	Smartshares Total World (NZD Hedged) ETF	2.63034	4,284,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.54144	9,001,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$3.9 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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