

Wednesday, 4 November 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 3 November 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.04942	53,450,726	40,000
ASD	Smartshares Australian Dividend ETF	1.45048	13,929,541	-
ASF	Smartshares Australian Financials ETF	5.75689	3,465,468	-
ASP	Smartshares Australian Property ETF	1.34846	36,922,531	250,000
ASR	Smartshares Australian Resources ETF	4.68756	5,032,633	-
DIV	Smartshares NZ Dividend ETF	1.21075	72,157,211	500,000
EMF	Smartshares Emerging Markets ETF	1.34648	90,999,155	25,000
EUF	Smartshares Europe ETF	1.52734	100,561,036	1,260,000
FNZ	Smartshares NZ Top 50 ETF	3.15979	237,751,179	400,000
GBF	Smartshares Global Bond ETF	3.35092	67,404,618	-
MDZ	Smartshares NZ Mid Cap ETF	5.88097	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	7.85013	18,857,274	-
NPF	Smartshares NZ Property ETF	1.50001	93,761,748	500,000
NZB	Smartshares NZ Bond ETF	3.18245	111,681,618	(590,000)
NZC	Smartshares NZ Cash ETF	2.95747	81,333,712	(150,000)
OZY	Smartshares Australian Top 20 ETF	3.50221	39,756,267	-
TNZ	Smartshares NZ Top 10 ETF	2.13745	72,881,573	-
TWF	Smartshares Total World ETF	2.42190	29,967,555	300,000
USF	Smartshares US 500 ETF	9.18397	30,311,994	155,000
USG	Smartshares US Large Growth ETF	6.53098	13,018,866	140,000
USM	Smartshares US Mid Cap ETF	5.38939	14,602,745	15,000
USS	Smartshares US Small Cap ETF	4.78094	14,398,711	(30,000)
USV	Smartshares US Large Value ETF	3.17890	24,313,176	225,000
AGG	Smartshares Global Aggregate Bond ETF	1.35710	36,354,630	-
BOT	Smartshares Automation and Robotics ETF	3.60684	5,895,891	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.22002	11,622,676	-
ESG	Smartshares Global Equities ESG ETF	2.16643	4,225,216	30,000
EUG	Smartshares Europe Equities ESG ETF	2.20386	13,212,921	270,000
JPN	Smartshares Japan Equities ESG ETF	1.98361	10,509,840	-
LIV	Smartshares Healthcare Innovation ETF	3.13919	5,704,680	35,000
USA	Smartshares US Equities ESG ETF	2.34971	14,946,766	100,000
NZG	Smartshares S&P/NZX 50 ETF	2.63540	12,899,842	300,000
AUS	Smartshares S&P/ASX 200 ETF	2.55965	5,812,708	800,000
TWH	Smartshares Total World (NZD Hedged) ETF	2.57693	4,434,829	150,000
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.54209	11,001,427	200,000

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$3.9 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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