

Monday, 23 November 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 20 November 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.16484	53,945,726	-
ASD	Smartshares Australian Dividend ETF	1.49983	14,679,541	-
ASF	Smartshares Australian Financials ETF	6.48872	3,415,468	-
ASP	Smartshares Australian Property ETF	1.45734	36,922,531	-
ASR	Smartshares Australian Resources ETF	4.84852	5,332,633	-
DIV	Smartshares NZ Dividend ETF	1.25018	73,657,211	375,000
EMF	Smartshares Emerging Markets ETF	1.38344	92,584,155	-
EUF	Smartshares Europe ETF	1.67687	100,886,036	-
FNZ	Smartshares NZ Top 50 ETF	3.29378	239,351,179	100,000
GBF	Smartshares Global Bond ETF	3.36795	68,044,618	480,000
MDZ	Smartshares NZ Mid Cap ETF	6.04975	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	8.15879	18,857,274	-
NPF	Smartshares NZ Property ETF	1.53650	95,011,748	1,000,000
NZB	Smartshares NZ Bond ETF	3.16209	112,701,618	620,000
NZC	Smartshares NZ Cash ETF	2.95833	79,603,712	30,000
OZY	Smartshares Australian Top 20 ETF	3.76321	39,831,267	75,000
TNZ	Smartshares NZ Top 10 ETF	2.18451	72,881,573	250,000
TWF	Smartshares Total World ETF	2.54948	30,527,555	-
USF	Smartshares US 500 ETF	9.53834	30,881,994	-
USG	Smartshares US Large Growth ETF	6.75605	13,548,866	-
USM	Smartshares US Mid Cap ETF	5.64895	14,722,745	-
USS	Smartshares US Small Cap ETF	5.13983	14,473,711	-
USV	Smartshares US Large Value ETF	3.33541	24,553,176	-
AGG	Smartshares Global Aggregate Bond ETF	1.36131	36,994,630	380,000
BOT	Smartshares Automation and Robotics ETF	3.80099	6,125,891	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.29654	11,922,676	210,000
ESG	Smartshares Global Equities ESG ETF	2.26905	4,285,216	40,000
EUG	Smartshares Europe Equities ESG ETF	2.38500	13,297,921	90,000
JPN	Smartshares Japan Equities ESG ETF	2.06438	10,699,840	170,000
LIV	Smartshares Healthcare Innovation ETF	3.22336	6,064,680	35,000
USA	Smartshares US Equities ESG ETF	2.43322	15,396,766	380,000
NZG	Smartshares S&P/NZX 50 ETF	2.70212	13,199,842	100,000
AUS	Smartshares S&P/ASX 200 ETF	2.73321	6,312,708	300,000
TWH	Smartshares Total World (NZD Hedged) ETF	2.77917	4,484,829	(30,000)
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.51289	11,801,427	200,000

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$4.0 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

For further information please contact:

Smartshares Limited

0800 80 87 80

[smartshares@smartshares.co.nz](mailto:smartshares@smartshares.co.nz)