

Friday, 22 January 2021

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 21 January 2021.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.32279	55,455,726	-
ASD	Smartshares Australian Dividend ETF	1.69105	15,554,541	-
ASF	Smartshares Australian Financials ETF	7.00863	3,490,468	-
ASP	Smartshares Australian Property ETF	1.44252	37,922,531	-
ASR	Smartshares Australian Resources ETF	5.97102	5,482,633	-
DIV	Smartshares NZ Dividend ETF	1.38172	76,282,211	-
EMF	Smartshares Emerging Markets ETF	1.52756	97,109,155	40,000
EUF	Smartshares Europe ETF	1.74812	102,616,036	-
FNZ	Smartshares NZ Top 50 ETF	3.51498	253,351,179	400,000
GBF	Smartshares Global Bond ETF	3.37388	70,454,618	-
MDZ	Smartshares NZ Mid Cap ETF	6.32985	28,640,674	-
MZY	Smartshares Australian Mid Cap ETF	8.69092	18,932,274	-
NPF	Smartshares NZ Property ETF	1.52415	99,761,748	-
NZB	Smartshares NZ Bond ETF	3.14313	115,591,618	-
NZC	Smartshares NZ Cash ETF	2.95410	75,633,712	-
OZY	Smartshares Australian Top 20 ETF	4.11394	39,981,267	-
TNZ	Smartshares NZ Top 10 ETF	2.28242	82,881,573	-
TWF	Smartshares Total World ETF	2.69883	32,192,555	-
USF	Smartshares US 500 ETF	9.86401	32,336,994	-
USG	Smartshares US Large Growth ETF	7.03499	14,513,866	(20,000)
USM	Smartshares US Mid Cap ETF	5.99682	15,077,745	-
USS	Smartshares US Small Cap ETF	5.78554	14,473,711	-
USV	Smartshares US Large Value ETF	3.45968	25,478,176	15,000
AGG	Smartshares Global Aggregate Bond ETF	1.35184	41,334,630	-
BOT	Smartshares Automation and Robotics ETF	4.26652	7,815,891	70,000
EMG	Smartshares Emerging Markets Equities ESG ETF	2.56730	13,362,676	20,000
ESG	Smartshares Global Equities ESG ETF	2.37319	4,930,216	-
EUG	Smartshares Europe Equities ESG ETF	2.47509	13,937,921	-
JPN	Smartshares Japan Equities ESG ETF	2.15690	11,124,840	-
LIV	Smartshares Healthcare Innovation ETF	3.71828	7,929,680	30,000
USA	Smartshares US Equities ESG ETF	2.55130	16,261,766	80,000
NZG	Smartshares S&P/NZX 50 ETF	2.83279	37,599,842	1,800,000
AUS	Smartshares S&P/ASX 200 ETF	2.89812	7,412,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	3.00594	5,679,829	80,000
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.48257	13,201,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$4.2 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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