




## Ongoing Disclosure Notice

### Disclosure of Directors and Senior Managers Relevant Interests

Sections 297(2) and 298(2), Financial Markets Conduct Act 2013

<b>To NZX Limited; and</b>	
Name of listed issuer:	ANZ Bank New Zealand Limited
Date this disclosure made:	17 June 2021
Date of last disclosure:	23 December 2020
<b>Director or senior manager giving disclosure</b>	
Full name(s):	Shayne Cary Elliott
Name of listed issuer:	ANZ Bank New Zealand Limited
Name of related body corporate (if applicable):	Australia and New Zealand Banking Group Ltd ( <b>ANZ</b> )
Position held in listed issuer:	Director
<b>Summary of acquisition or disposal of relevant interest (excluding specified derivatives)</b>	
Class of affected quoted financial products:	Ordinary shares in ANZ (quoted)
Nature of the affected relevant interest(s):	Direct
<b>For that relevant interest-</b>	
Number held in class before acquisition or disposal:	1. 1,260 Direct shares 2. 358,534 Indirect - Shares 3. 499,749 Options over unissued ordinary shares
Number held in class after acquisition or disposal:	1. 1,260 Direct shares 2. 358,519 Indirect - Shares 3. 499,749 Options over unissued ordinary shares
Current registered holder(s):	Netwealth Investments Limited
Registered holder(s) once transfers are registered:	Unknown
<b>Summary of acquisition or disposal of specified derivatives relevant interest (if applicable)*</b>	
Type of affected derivative:	N/A
Class of underlying financial products:	N/A
<b>Details of affected derivative-</b>	
The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):	N/A
A statement as to whether the derivative is cash settled or physically settled:	N/A
Maturity date of the derivative (if any):	N/A
Expiry date of the derivative(if any):	N/A
The price specified in the terms of the derivative (if any):	N/A
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:	N/A
<i>For that derivative, -</i>	
Parties to the derivative:	N/A
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:	N/A
<b>Details of transactions giving rise to acquisition or disposal</b>	
Total number of transactions to which notice relates:	2
<b>Details of transactions requiring disclosure-</b>	
Date of transaction:	1. 23 March 2021 2. 25 May 2021
Nature of transaction:	Please refer to the attached ASX disclosure regarding the nature of these transactions. 1. Sale of 7 ordinary shares 2. Sale of 8 ordinary shares
Name of any other party or parties to the transaction (if known):	Unknown

The consideration, expressed in New Zealand dollars, paid or received for the acquisition or disposal. If the consideration was not in cash and cannot be readily converted into a cash value, describe the consideration:	1. AUD196.84 2. AUD226.40
Number of financial products to which the transaction related:	1
<i>If the issuer has a financial products trading policy that prohibits directors or senior managers from trading during any period without written clearance (a closed period) include the following details—</i>	
Whether relevant interests were acquired or disposed of during a closed period:	N/A
Whether prior written clearance was provided to allow the acquisition or disposal to proceed during the closed period:	N/A
Date of the prior written clearance (if any):	N/A
<b>Summary of other relevant interests after acquisition or disposal:</b>	
Class of quoted financial products:	N/A
Nature of relevant interest:	N/A
<i>For that relevant interest,-</i>	
Number held in class:	N/A
Current registered holder(s):	N/A
<i>For a derivative relevant interest,-</i>	
Type of derivative:	N/A
<b>Details of derivative,-</b>	
The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):	N/A
A statement as to whether the derivative is cash settled or physically settled:	N/A
Maturity date of the derivative (if any):	N/A
Expiry date of the derivative (if any):	N/A
The price's specified terms (if any):	N/A
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:	N/A
<i>For that derivative relevant interest,-</i>	
Parties to the derivative:	N/A
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:	N/A
<b>Certification</b>	
I certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.	
Signature of director or officer:	
Date of signature:	
or	
Signature of person authorised to sign on behalf of director or officer:	
Date of signature:	17 June 2021
Name and title of authorised person:	Eva Quarrie, Assistant Company Secretary



17 June 2021

Mr James Gerraty  
Manager Issuers, Melbourne  
ASX Limited  
Level 4, North Tower Rialto  
525 Collins Street  
MELBOURNE VIC 3000

Dear Mr Gerraty

## **Appendix 3Y – Mr Shayne Elliott**

The attached Appendix 3Y relates to the sale of 7 shares on 23 March 2021 and 8 shares on 25 May 2021 by a custodian company of Netwealth Investments Limited (Netwealth) in the course of providing services for ANZ Private. ANZ Private manages superannuation and investment holdings for Mr Elliott.

The shares were sold due to an administrative error and the subsequent application of an automated sale process to ensure coverage of Netwealth platform fees. The sales occurred without Mr Elliott's knowledge or approval.

Mr Elliott was not advised of the trades until last week upon their being identified by ANZ Private.

ANZ has procedures in place to meet its obligations under ASX Listing Rule 3.19A and the obligations of its directors under section 205G of the Corporations Act. In the case of Mr Elliott, these procedures have now been supplemented in the case of investments held by him through the Netwealth platform to ensure that ANZ shares can no longer be the subject of an automated sale.

Yours sincerely

**Simon Pordage**  
Company Secretary

*Approved for distribution by ANZ's Continuous Disclosure Committee*

### **Legal & Corporate Governance**

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Phone: +61 3 9273 5555 | Fax: +61 3 8542 5252  
[www.anz.com](http://www.anz.com)  
[Australia and New Zealand Banking Group Limited ABN 11 005 357 522](#)

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	Australia and New Zealand Banking Group Limited
<b>ABN</b>	11 005 357 522

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Shayne Cary Elliott
<b>Date of last notice</b>	23 December 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

#### Ordinary Shares:

Direct or indirect interest	Direct – 1,260 Indirect – 358,534								
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.									
<ul style="list-style-type: none"> <li>• ANZEST Pty Ltd               <ul style="list-style-type: none"> <li>• ANZ Employee Share Acquisition Plan</li> </ul> </li> <li>• Elliott Pty Ltd as trustee for Elliott Family Trust</li> <li>• Elliott No 3 Pty Ltd &lt;S &amp; N Elliott S/F A/C&gt;</li> <li>• Netwealth Investments Limited               <ul style="list-style-type: none"> <li>• Elliott Pty Ltd as trustee for Elliott Family Trust (229,165 shares)</li> <li>• Elliott No 3 Pty Ltd &lt;S &amp; N Elliott S/F A/C&gt; (58,487 shares)</li> </ul> </li> </ul>	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Ordinary Shares</td> <td style="text-align: right;">70,882</td> </tr> <tr> <td>Ordinary Shares</td> <td style="text-align: right;">0</td> </tr> <tr> <td>Ordinary Shares</td> <td style="text-align: right;">0</td> </tr> <tr> <td>Ordinary Shares</td> <td style="text-align: right;">287,652</td> </tr> </table>	Ordinary Shares	70,882	Ordinary Shares	0	Ordinary Shares	0	Ordinary Shares	287,652
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+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

<b>Date of change</b>	a. 23 March 2021 b. 25 May 2021
<b>No. of securities held prior to change</b>	<b><u>359,794</u></b>
<b>Class</b>	Ordinary Shares
<b>Number acquired (indirect)</b>	0
<b>Number acquired (direct)</b>	0
<b>Number disposed (indirect)</b>	a. 7 Ordinary Shares b. 8 Ordinary Shares
<b>Number disposed (direct)</b>	0
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	a. \$196.84 b. \$226.40
<b>No. of securities held after change</b>	
<b>Direct – Unchanged</b>	1,260
<b>Indirect</b>	
• ANZEST Pty Ltd	70,882
• ANZ Employee Share Acquisition Plan - Unchanged	
• Netwealth Investments Limited	
• Elliott Pty Ltd as trustee for Elliott Family Trust - Unchanged	229,165
• Elliott No 3 Pty Ltd <S & N Elliott S/F A/C> (decreased by 15)	58,472
<b>Total of interest</b>	<b><u>359,779</u></b>
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	15 ordinary shares were sold by Netwealth Investments Limited (Netwealth) due to an administrative error and the subsequent application of an automated sale process to ensure coverage of Netwealth platform fees. The sales occurred without Mr Elliott's knowledge or approval. Mr Elliott was not advised of the trades until last week upon their being identified by ANZ Private.

+ See chapter 19 for defined terms.

**Options over unissued ordinary shares:**

<b>Direct or indirect interest</b>	Direct – 499,749 Indirect – Nil
<b>Date of change</b>	N/A
<b>No. of options held prior to change</b>	<b>499,749</b>
<b>Class</b>	Options over unissued Ordinary Shares
<b>Number of options acquired (direct)</b>	N/A
<b>Number of options disposed (direct)</b>	N/A
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	N/A
<b>No. of options held after change</b>	
Direct – unchanged	499,749
Indirect – unchanged	Nil
<b>Nature of change</b> <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small>	N/A

**Part 2 – Change of director's interests in contracts - Nil**

**Part 3 – +Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	Yes.
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	No. The shares were sold due to an administrative error and the subsequent application of an automated sale process to ensure coverage of Netwealth platform fees. The sales occurred without Mr Elliott's knowledge or approval. Mr Elliott was not advised of the trades until last week upon their being identified by ANZ Private and so no prior written clearance was sought.
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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+ See chapter 19 for defined terms.