

Disclosure of beginning to have substantial holding

Section 276, Financial Markets Conduct Act 2013

To NZX Limited

and

To Smartshares Limited

Date this disclosure made: 15 August 2024

Date on which substantial holding began: Identified 06 August 2024

Substantial product holder(s) giving disclosure

Full Name(s): NZX WT Nominees Limited

Summary of substantial holding

Class of quoted voting products: Exchange Traded Fund in Smartshares NZ Top 10 ETF (NZX Code:TNZ)

Summary for NZX WT Nominees Limited

For this disclosure,—

- | | | |
|-----|---------------------------------|-------------------|
| (a) | total number held in class: | 4,098,489 |
| (b) | total in class: | 62,881,573 |
| (c) | total percentage held in class: | 6.52% |

Details of transactions and events giving rise to substantial holding

NZX WT Nominees Limited is a registered financial service provider operating as a nominee in relation to the custodial investment management platform operated by NZX Wealth Technologies Limited. Settlement of a transfer of a financial adviser and associated underlying investors to that platform on 3 August 2024 increased NZX WT Nominees Limited's relevant interest in Smartshares NZ Top 10 ETF (as registered holder) to 6.52%.


Additional information

Address(es) of substantial product holders(s): Unit 1, Level 3, Lifestyle Centre, 260 Oteha Valley Road, Albany 0632

Contact details: Victoria Rogers, Victoria.rogers@nzx.com, +64 21 026 67422

Certification

I, Stephen Jonas, certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.


Stephen Jonas
Chief Operating Officer
NZX Wealth Technologies Limited