

Thursday, 13 October 2016

## ASD NTA & Allotment Notice

Smartshares Limited would like to report details of the Units on Issue for the Australian Dividend Fund (ASD) as at 12 October 2016.

**Units on Issue:** 39,540,493

**Units Allotted/(Redeemed):** -

**Net Tangible Assets (NTA):** \$1.60569

**Tracking Difference:** 1.92%

The objective of the Australian Dividend (ASD) Smartshares fund is to provide a return that closely matches the return on the S&P/ASX Dividend Opportunities Index. The S&P/ASX Dividend Opportunities Index comprises the 50 highest yielding common stocks from the Australian equity market.

### The following companies are currently held in the Fund:

| Code | Security description                          |
|------|-----------------------------------------------|
| AAD  | Ardent Leisure Ltd                            |
| ABC  | Adelaide Brighton Ltd                         |
| AGL  | AGL Energy Ltd                                |
| AHG  | Automotive Holdings Group Ltd                 |
| AMP  | AMP Ltd                                       |
| APA  | APA Group                                     |
| AST  | AusNet Services Ltd                           |
| ASX  | ASX Limited                                   |
| AWC  | Alumina Ltd                                   |
| AZJ  | Aurizon Holdings Limited                      |
| BEN  | Bendigo and Adelaide Bank Limited             |
| BOQ  | Bank of Queensland Ltd                        |
| CBA  | Commonwealth Bank Australia                   |
| CGF  | Challenger Limited                            |
| CSR  | CSR Ltd                                       |
| DOW  | Downer EDI Ltd                                |
| DUE  | Duet Group                                    |
| EHE  | Estia Health Limited                          |
| FLT  | Flight Centre Travel Group Ltd                |
| FXJ  | Fairfax Media Ltd                             |
| GEM  | G8 Education Limited                          |
| GMA  | Genworth Mortgage Insurance Australia Limited |
| HVN  | Harvey Norman Hldgs Ltd                       |
| IAG  | Insurance Australia Group Ltd                 |
| IFL  | loof Hldgs Ltd                                |
| JBH  | Jb Hi-Fi                                      |
| JHC  | Japara Healthcare Limited                     |
| LLC  | Lend Lease Group                              |
| MFG  | Magellan Financial Group Limited              |
| MMS  | McMillan Shakespeare Limited                  |
| MQG  | Macquarie Group Ltd                           |
| NEC  | Nine Entertainment Co. Holdings Limited       |
| NVT  | Navitas Limited                               |
| PPT  | Perpetual Limited                             |

|     |                                   |
|-----|-----------------------------------|
| PTM | Platinum Asset Management Limited |
| REG | Regis Healthcare Limited          |
| RFG | Retail Food Group Limited         |
| RIO | Rio Tinto Ltd                     |
| SAI | SAI Global Ltd                    |
| SIP | Sigma Pharmaceuticals Limited     |
| SKI | Spark Infrastructure Trust        |
| SPK | Spark New Zealand Ltd             |
| SUL | Super Retail Group Ltd            |
| SUN | Suncorp Group Ltd                 |
| SVW | Seven Group Holdings Limited      |
| TAH | Tabcorp Hldgs Ltd                 |
| TLS | Telstra Corp Ltd                  |
| WBC | Westpac Banking Corp              |
| WES | Wesfarmers Ltd                    |
| WPL | Woodside Petroleum Ltd            |

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 10,000 unit holders and more than NZD \$1.6 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the investment statement for each of the ETFs please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

*For further information please contact:*

*Smartshares Limited*

*0800 80 87 80*

*[smartshares@smartshares.co.nz](mailto:smartshares@smartshares.co.nz)*