

Monday, 19 September 2016

ASR NTA & Allotment Notice

Smartshares Limited would like to report details of the Units on Issue for the Australian Resources Fund (ASR) as at 16 September 2016.

Units on Issue: 6,241,647

Units Allotted/(Redeemed): -

Net Tangible Assets (NTA): \$2.99850

Tracking Difference: 1.72%

The objective of the Australian Resources (ASR) Smartshares fund is to provide a return that closely matches the return on the S&P/ASX 200 Resources Index, this index provides exposure to the Resources sector of the Australian equity market.

Please note Basket applications and redemptions will be unavailable from Thursday 15 September to Thursday 29 September. Basket applications and redemptions will resume on Friday 30 September.

The following companies are currently held in the Fund:

Code	Security description
AWC	Alumina Ltd
BHP	BHP Billiton Ltd
BPT	Beach Energy Limited
BSL	Bluescope Steel Ltd
CTX	Caltex Australia Ltd
EVN	Evolution Mining Ltd
FMG	Fortescue Metals Group
GXY	Galaxy Resources Ltd
IGO	Independence Group NL
ILU	Iluka Resources Ltd
MIN	Mineral Resources Ltd
NCM	Newcrest Mining Ltd
NST	Northern Star Resources Ltd
ORE	Orocobre Limited
ORG	Origin Energy Ltd
OSH	Oil Search Ltd
OZL	OZ Minerals Limited
RIO	Rio Tinto Ltd
RRL	Regis Resources Ltd
RSG	Resolute Mining Ltd
S32	South32 Limited
SAR	Saracen Mineral Holdings Limited
SBM	St Barbara Ltd
SFR	Sandfire Resources NL
SGM	Sims Metal Management Ltd
STO	Santos Ltd
SYR	Syrah Resources Limited
WHC	Whitehaven Coal Ltd
WOR	WorleyParsons Ltd
WPL	Woodside Petroleum Ltd
WSA	Western Areas Ltd

About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 10,000 unit holders and more than NZD \$1.6 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the investment statement for each of the ETFs please visit: www.smartshares.co.nz