



## LISTING AND QUOTATION NOTICE: NZX MAIN BOARD NZ TOP 10 FUND (“TNZ”) UNITS

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<b>Fund:</b>	NZ Top 10 Fund (NS)
<b>Issuer Code:</b>	TNZ
<b>ISIN:</b>	NZTNZE0002S3
<b>Registered Office:</b>	Level 1, NZX Centre, 11 Cable Street, Wellington
<b>Website:</b>	<a href="http://www.smartshares.co.nz">www.smartshares.co.nz</a>
<b>Telephone Number:</b>	0800 80 87 80
<b>Financial Year End:</b>	31 March
<b>Nature of Business:</b>	Exchange Traded Fund
<b>Directors:</b>	Timothy Bennett, Bevan Miller, Guy Elliffe, John Williams
<b>Details of Issue:</b>	Resettlement of the NZX 10 Fund
<b>Number of Securities to be Quoted:</b>	To be confirmed upon quotation
<b>Trustee:</b>	Public Trust
<b>Manager of the Fund:</b>	Smartshares Limited
<b>Auditors:</b>	KPMG
<b>Solicitors:</b>	Buddle Findlay
<b>Registrar:</b>	Link Market Services (“LNKT”)
<b>Settlement Status:</b>	NZCDC Settlement System
<b>Prospectus Dated:</b>	21 September 2016 and 6 October 2016
<b>Expected Commencement of Trading on the NZX Main Board (on a deferred settlement basis):</b>	Thursday, 3 November 2016

**Andrew Beck**  
**Chairman**  
**Special Division of the NZ Markets Disciplinary Tribunal**

**27 October 2016**