



LISTING AND QUOTATION NOTICE: NZX MAIN BOARD AUSTRALIAN TOP 20 FUND (“OZY”) UNITS

Fund:	Australian Top 20 Fund (NS)
Issuer Code:	OZY
ISIN:	NZOZYE0002S1
Registered Office:	Level 1, NZX Centre, 11 Cable Street, Wellington
Website:	www.smartshares.co.nz
Telephone Number:	0800 80 87 80
Financial Year End:	31 March
Nature of Business:	Exchange Traded Fund
Directors:	Timothy Bennett, Bevan Miller, Guy Elliffe, John Williams
Details of Issue:	Resettlement of the NZX Australian 20 Leaders Index Fund
Number of Securities to be Quoted:	To be confirmed upon quotation
Trustee:	Public Trust
Manager of the Fund:	Smartshares Limited
Auditors:	KPMG
Solicitors:	Buddle Findlay
Registrar:	Link Market Services (“LNKT”)
Settlement Status:	NZCDC Settlement System
Prospectus Dated:	21 September 2016 and 6 October 2016
Expected Commencement of Trading on the NZX Main Board (on a deferred settlement basis):	Thursday, 3 November 2016

Andrew Beck
Chairman
Special Division of the NZ Markets Disciplinary Tribunal

27 October 2016