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## Memorandum

**To:** Market Participants  
**From:** NZX Client and Data Services  
**Date:** 28 October 2016  
**Subject:** Smartshares Exchange Traded Funds transition to Unit Trusts timeline

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### Message:

As announced by Smartshares on Monday, 10 October 2016 and passed by resolution decided by poll on Wednesday, 26 October 2016, Smartshares advises that the five original Exchange Traded Funds will be resettled as Unit Trusts.

To effect this change, the original Exchange Traded Funds will cease trading at close of business Wednesday, 2 November 2016.

The new Unit Trusts will begin trading Thursday, 3 November 2016 using temporary ticker codes, TNZZ, FNZZ, MDZZ, MZYX & OZYX with new ISIN's and will be trading on deferred settlement, first settlement date being Tuesday, 8 November 2016.

A timetable of events is detailed below:

Event	Date
TNZ, FNZ, MDZ, MZY, OZY cease trading close of business	Wednesday, 2 November 2016
New Unit Trusts begin trading on deferred settlement with temporary ticker codes and new ISIN's, example: <ul style="list-style-type: none"><li>Name: NZ Top 50 Fund (NS)</li><li>Code: FNZZ</li><li>ISIN: NZFNZE0002S2</li></ul>	Thursday, 3 November 2016
Transition <b>Ex Date</b>	Thursday, 3 November 2016
Transition <b>Record Date</b>	Friday, 4 November 2016
New Unit Trusts begin trading on T+2 settlement	Friday, 4 November 2016
Code change from temporary code back to original code, example <ul style="list-style-type: none"><li>Name: remains unchanged</li><li>Code: FNZ</li><li>ISIN: remains unchanged</li></ul>	Friday, 4 November 2016
<b>Resettlement Date</b>	Monday, 7 November 2016

Please contact Client and Data Services on +64 4 496 2853 or [cds@nzx.com](mailto:cds@nzx.com) with any queries.

ENDS