

Tuesday, 27 September 2016

## MDZ NTA & Allotment Notice

Smartshares Limited would like to report details of the Units on Issue for the New Zealand Mid Cap (MDZ) as at 26 September 2016.

|                                   |            |
|-----------------------------------|------------|
| <b>Units on Issue:</b>            | 18,050,223 |
| <b>Units Allotted/(Redeemed):</b> | -          |
| <b>Net Tangible Assets (NTA):</b> | \$4.14631  |
| <b>Tracking Difference</b>        | 2.75%      |

The objective of the NZ Mid Cap (MDZ) fund is to provide a return that closely matches the return on the S&P/NZX Mid Cap Index. The S&P/NZX Mid Cap Index comprises the constituents of the S&P/NZX 50 Index, excluding those included in the S&P/NZX 10 index and non-New Zealand companies.

**Please note Basket applications and redemptions will be unavailable from Thursday 15 September to Thursday 29 September. Basket applications and redemptions will resume on Friday 30 September.**

**The following companies are currently held in the Fund:**

| Code | Security description                    |
|------|-----------------------------------------|
| AIR  | Air New Zealand Ltd                     |
| ARG  | Argosy Property Ltd                     |
| ATM  | a2 Milk Co Ltd                          |
| CNU  | Chorus Ltd.                             |
| CVT  | Comvita Ltd                             |
| EBO  | EBOS Group Ltd                          |
| FRE  | Freightways Ltd                         |
| FSF  | Fonterra Shareholders Fund Units        |
| GMT  | Goodman Property Trust                  |
| GNE  | Genesis Energy Limited                  |
| HBL  | Heartland Bank Limited                  |
| IFT  | Infratil Ltd                            |
| IPL  | Investore Property Limited              |
| KMD  | Kathmandu Holdings Ltd                  |
| KPG  | Kiwi Property Group Limited             |
| MCY  | Mercury NZ Limited                      |
| MET  | Metlifecare Ltd                         |
| MFT  | Mainfreight Limited                     |
| MPG  | Metro Performance Glass Ltd             |
| NZR  | New Zealand Refining Co Ltd             |
| NZX  | NZX Ltd                                 |
| OHE  | Orion Health Group Limited              |
| PCT  | Precinct Properties New Zealand Limited |
| PFI  | Property for Industry Ltd               |
| POT  | Port Of Tauranga                        |
| RBD  | Restaurant Brands New Zealand Ltd       |
| SCL  | Scales Corporation Limited              |
| SPG  | Stride Stapled Group                    |
| SUM  | Summerset Group Holdings Ltd            |
| TGH  | Tegel Group Holdings Limited            |
| TME  | Trade Me Ltd                            |
| TPW  | Trustpower Ltd                          |
| TWR  | TOWER Limited                           |

|     |                                   |
|-----|-----------------------------------|
| VCT | Vector Ltd                        |
| VGL | Vista Group International Limited |
| VHP | Vital Healthcare Property Trust   |
| WHS | The Warehouse Group Limited       |
| XRO | Xero Ltd.                         |

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 10,000 unit holders and more than NZD \$1.6 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the investment statement for each of the ETFs please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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