



These Shareholder Metrics represent the key features of NZX's operations in each of its core businesses. The Metrics focus on what drives the revenue performance of NZX, so as to give a consistent and transparent comparison across time periods for each business line.

## Markets

NZX Listed Issuers	Jun 2016	Change YoY
Total Equity Securities	172	0.0%
Total Listed Debt Securities	96	21.5%
Total Other Securities	27	92.9%
Total Listed Securities	295	11.3%
Total NZX Main Board Issuers	168	9.8%
Total NZAX Issuers	19	-13.6%
Total NXT Issuers	4	n/a
Total NZDX Issuers	41	7.9%

Capital Raising	Jun 2016	YTD
<b>New Capital Listed</b>		
IPOs and Compliance Listings	\$0.24 b	\$0.84 b
New Debt Listings	\$0.59 b	\$3.15 b

Secondary Capital Raisings	Jun 2016	YTD
Total Capital Raised By All Issuers	\$449 m	\$1,257 m
Total Equity Raised - Primary Issuers	\$170 m	\$586 m
Total Equity Raised - Dual & Secondary Issuers	\$193 m	\$246 m
Total Debt Raised	\$86 m	\$425 m
Number of Capital Raising Events	43	123
Capital Raised < \$0.5m	21	53
Capital Raised > \$0.5m < \$10m	16	48
Capital Raised > \$10m < \$100m	5	20
Capital Raised > \$100m	1	2

Market Capitalisation	Jun 2016	Change YoY	% of GDP
All Equity	\$117.8 b	17.6%	47.4%
All Debt	\$22.5 b	71.1%	9.1%

NZX Indices Performance	Jun 2016	Change YoY
S&P/NZX 50 Index	6,898	20.4%
S&P/NZX 50 Portfolio Index	4,248	19.7%
S&P/NZX A-Grade Bond Index	4,879	6.2%
S&P/NZX All Swap Index	196	8.0%

Cash Market Trading	Jun 2016	Change YoY
Total Trades	164,908	18.2%
Daily Average Trades	7,853	18.2%
Total Trades < \$50k	153,554	17.6%
Total Value Traded	\$4.3 b	35.8%
Daily Average Value Traded	\$206 m	35.8%

Total Equity Transactions	Jun 2016	Change YoY
Total Trades	161,640	18.2%
Value Traded	\$4.2 b	35.8%

Total Debt Transactions	Jun 2016	Change YoY
Total Trades	3,268	18.9%
Value Traded	\$144 m	36.1%

Cumulative Numbers - YTD	Jun 2016	Change YoY
Number of Trades	923,237	35.8%
Total Value Traded	\$22.7 b	27.1%

Clearing House / Depository	Jun 2016	Change YoY
Gross Value of Cleared Trades over Month	\$4.4 b	33.8%
Collateral Held At Month End	\$40.0 m	16.5%
Depository transactions	2,217	8.9%
Assets under custody in depository	\$354 m	21.9%
Value of stock lent over month	\$225 m	12.5%

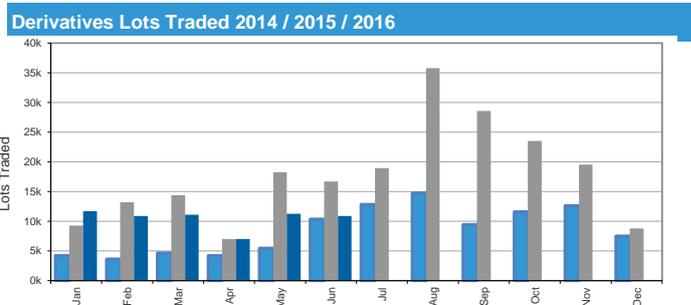
Wholesale Data	Jun 2016	Change YoY
Terminal Numbers - Professional*	6,048	-0.3%
Terminal Numbers - Retail*	1,238	27.6%
Licences - Value > \$20k pa	28	3.7%
Licences - Value < \$20k pa	63	5.0%

\* 3 month average

Direct Data Products	Jun 2016	Change YoY
Proprietary Security Subscription Products	356	-9.9%
- Products ≥ \$3,000 p.a.	135	2.3%
- Products < \$3,000 p.a.	221	-16.0%

Derivatives	Jun 2016	Change YoY
Lots Traded	10,895	-34.6%
Open Interest	23,833	-27.3%

Energy Contracts	Jun 2016
Operating / Technology Contracts	15
- Contracts > = \$500k p.a.	4
- Contracts < \$500k p.a.	11



## Funds Services

SuperLife	Jun 2016	Change YoY
Funds Under Management - KiwiSaver	\$538 m	26.6%
Funds Under Management - Other	\$964 m	6.8%
Total	\$1,502 m	13.1%

Smartshares	Jun 2016	Change YoY
Funds Under Management - External	\$468 m	6.9%
Funds Under Management - SuperLife funds	\$1,113 m	572%
Total	\$1,581 m	162%
Units on Issue	714 m	145.8%

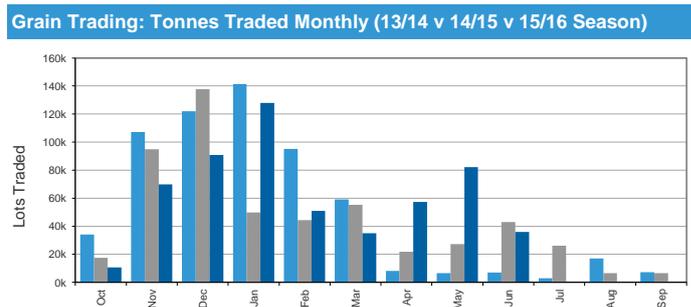
NZX Wealth Technologies	Jun 2016	Change YoY
Funds Under Administration	\$1,311 m	2.8%

Renamed from Apteryx

## Agri

Grain Trading	Season to date	Change YoY
Tonnes Traded	560,678	14.0%

Direct Data Products	Jun 2016	Change YoY
Dairy Information Subscriptions	9,134	-4.1%
Grain Information Subscriptions	1,827	-0.8%
General Agri Subscriptions	11,756	1.5%
Total Paid Advertising Page Equivalents	125	-14.4%
Total Paid Advertising Page Equivalents YTD	889	-11.1%



## Notes

1. All figures are measured at the end of the last trading day of the month unless otherwise stated. Change refers to the change since the same period last year unless otherwise stated i.e. Change YoY is change versus the same period last year.
2. All Equity Market Capitalisation includes all securities quoted on the NZX Main Board, NZX Alternative Market and NXT Market.
3. Market Capitalisation for the NZX Debt market is the total nominal (face) value of all quoted securities excluding New Zealand Government Stock.
4. The Grain Trading season in Australia runs from October to September.
5. NZX Limited wishes to remind readers that the above operational statistics are based on the Group's internal records, which are unaudited. Differences may arise between this data and that disclosed in subsequent audited financial statements.

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