

Friday, 20 November 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 19 November 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.14487	53,945,726	450,000
ASD	Smartshares Australian Dividend ETF	1.50731	14,679,541	-
ASF	Smartshares Australian Financials ETF	6.49257	3,415,468	-
ASP	Smartshares Australian Property ETF	1.46604	36,922,531	-
ASR	Smartshares Australian Resources ETF	4.89144	5,332,633	75,000
DIV	Smartshares NZ Dividend ETF	1.26051	73,282,211	375,000
EMF	Smartshares Emerging Markets ETF	1.37346	92,584,155	1,080,000
EUF	Smartshares Europe ETF	1.65740	100,886,036	140,000
FNZ	Smartshares NZ Top 50 ETF	3.31988	239,251,179	200,000
GBF	Smartshares Global Bond ETF	3.36617	67,564,618	-
MDZ	Smartshares NZ Mid Cap ETF	6.07526	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	8.20519	18,857,274	-
NPF	Smartshares NZ Property ETF	1.53493	94,011,748	-
NZB	Smartshares NZ Bond ETF	3.16059	112,081,618	-
NZC	Smartshares NZ Cash ETF	2.95826	79,573,712	-
OZY	Smartshares Australian Top 20 ETF	3.77297	39,756,267	-
TNZ	Smartshares NZ Top 10 ETF	2.21175	72,631,573	-
TWF	Smartshares Total World ETF	2.52188	30,527,555	335,000
USF	Smartshares US 500 ETF	9.45387	30,881,994	305,000
USG	Smartshares US Large Growth ETF	6.67194	13,548,866	265,000
USM	Smartshares US Mid Cap ETF	5.57906	14,722,745	120,000
USS	Smartshares US Small Cap ETF	5.06596	14,473,711	25,000
USV	Smartshares US Large Value ETF	3.31679	24,553,176	130,000
AGG	Smartshares Global Aggregate Bond ETF	1.35980	36,614,630	-
BOT	Smartshares Automation and Robotics ETF	3.80069	6,125,891	30,000
EMG	Smartshares Emerging Markets Equities ESG ETF	2.29486	11,712,676	30,000
ESG	Smartshares Global Equities ESG ETF	2.27876	4,245,216	-
EUG	Smartshares Europe Equities ESG ETF	2.39935	13,207,921	-
JPN	Smartshares Japan Equities ESG ETF	2.06484	10,529,840	-
LIV	Smartshares Healthcare Innovation ETF	3.23541	6,029,680	40,000
USA	Smartshares US Equities ESG ETF	2.45166	15,016,766	-
NZG	Smartshares S&P/NZX 50 ETF	2.72769	13,099,842	-
AUS	Smartshares S&P/ASX 200 ETF	2.73945	6,012,708	100,000
TWH	Smartshares Total World (NZD Hedged) ETF	2.75826	4,514,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.51027	11,601,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$4.0 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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