

Wednesday, 9 October 2019

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 8 October 2019.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.08862	55,804,500	-
ASD	Smartshares Australian Dividend ETF	1.68324	15,804,541	-
ASF	Smartshares Australian Financials ETF	7.55492	3,465,468	-
ASP	Smartshares Australian Property ETF	1.69010	26,047,531	625,000
ASR	Smartshares Australian Resources ETF	5.00081	6,757,633	-
DIV	Smartshares NZ Dividend ETF	1.40939	44,157,211	125,000
EMF	Smartshares Emerging Markets ETF	1.29073	93,462,000	-
EUF	Smartshares Europe ETF	1.69558	101,190,313	-
FNZ	Smartshares NZ Top 50 ETF	3.07661	213,551,179	100,000
GBF	Smartshares Global Bond ETF	3.28428	65,459,618	30,000
MDZ	Smartshares NZ Mid Cap ETF	5.95118	27,540,674	-
MZY	Smartshares Australian Mid Cap ETF	7.24363	16,307,274	-
NPF	Smartshares NZ Property ETF	1.53239	75,511,748	500,000
NZB	Smartshares NZ Bond ETF	3.12711	106,621,618	50,000
NZC	Smartshares NZ Cash ETF	2.97504	27,038,936	30,000
OZY	Smartshares Australian Top 20 ETF	3.94993	33,906,267	-
TNZ	Smartshares NZ Top 10 ETF	1.88636	61,381,573	-
TWF	Smartshares Total World ETF	2.36199	23,599,273	-
USF	Smartshares US 500 ETF	8.58133	29,426,001	-
USG	Smartshares US Large Growth ETF	5.17170	7,568,850	-
USM	Smartshares US Mid Cap ETF	5.21529	14,654,198	-
USS	Smartshares US Small Cap ETF	4.78347	13,656,892	-
USV	Smartshares US Large Value ETF	3.50058	21,312,500	-
AGG	Smartshares Global Aggregate Bond ETF	1.35891	18,836,403	-
BOT	Smartshares Automation and Robotics ETF	2.99331	1,817,100	16,098
EMG	Smartshares Emerging Markets Equities ESG ETF	2.05987	5,162,903	40,323
ESG	Smartshares Global Equities ESG ETF	2.08899	1,741,779	-
EUG	Smartshares Europe Equities ESG ETF	2.38150	4,233,109	32,248
JPN	Smartshares Japan Equities ESG ETF	1.97873	3,932,852	72,598
LIV	Smartshares Healthcare Innovation ETF	2.26177	1,316,448	-
USA	Smartshares US Equities ESG ETF	2.13705	5,627,352	36,276

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,500 unit holders and more than NZD \$3.1 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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