

Wednesday, 18 November 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 17 November 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.17184	53,465,726	-
ASD	Smartshares Australian Dividend ETF	1.50600	14,679,541	500,000
ASF	Smartshares Australian Financials ETF	6.33736	3,415,468	(50,000)
ASP	Smartshares Australian Property ETF	1.47737	36,922,531	-
ASR	Smartshares Australian Resources ETF	4.95378	5,257,633	-
DIV	Smartshares NZ Dividend ETF	1.27239	72,782,211	-
EMF	Smartshares Emerging Markets ETF	1.38725	91,404,155	20,000
EUF	Smartshares Europe ETF	1.67556	100,696,036	-
FNZ	Smartshares NZ Top 50 ETF	3.35625	238,951,179	200,000
GBF	Smartshares Global Bond ETF	3.36258	67,564,618	-
MDZ	Smartshares NZ Mid Cap ETF	6.10677	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	8.20682	18,857,274	-
NPF	Smartshares NZ Property ETF	1.54267	93,761,748	-
NZB	Smartshares NZ Bond ETF	3.15816	112,081,618	100,000
NZC	Smartshares NZ Cash ETF	2.95819	79,573,712	(520,000)
OZY	Smartshares Australian Top 20 ETF	3.73794	39,756,267	-
TNZ	Smartshares NZ Top 10 ETF	2.26429	72,631,573	-
TWF	Smartshares Total World ETF	2.56218	30,152,555	15,000
USF	Smartshares US 500 ETF	9.66729	30,556,994	(25,000)
USG	Smartshares US Large Growth ETF	6.78254	13,268,866	15,000
USM	Smartshares US Mid Cap ETF	5.68164	14,602,745	-
USS	Smartshares US Small Cap ETF	5.13773	14,448,711	-
USV	Smartshares US Large Value ETF	3.38929	24,408,176	15,000
AGG	Smartshares Global Aggregate Bond ETF	1.35764	36,594,630	20,000
BOT	Smartshares Automation and Robotics ETF	3.81171	6,095,891	40,000
EMG	Smartshares Emerging Markets Equities ESG ETF	2.30922	11,682,676	20,000
ESG	Smartshares Global Equities ESG ETF	2.28416	4,245,216	-
EUG	Smartshares Europe Equities ESG ETF	2.39650	13,207,921	(70,000)
JPN	Smartshares Japan Equities ESG ETF	2.07162	10,529,840	-
LIV	Smartshares Healthcare Innovation ETF	3.26193	5,959,680	20,000
USA	Smartshares US Equities ESG ETF	2.46395	14,996,766	-
NZG	Smartshares S&P/NZX 50 ETF	2.77277	12,899,842	-
AUS	Smartshares S&P/ASX 200 ETF	2.73874	5,912,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	2.79412	4,474,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.50374	11,601,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$3.9 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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