

Tuesday, 4 February 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 3 February 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.09584	57,906,604	19,802
ASD	Smartshares Australian Dividend ETF	1.66938	17,179,541	-
ASF	Smartshares Australian Financials ETF	7.26978	3,815,468	-
ASP	Smartshares Australian Property ETF	1.65196	28,797,531	-
ASR	Smartshares Australian Resources ETF	4.97435	6,907,633	-
DIV	Smartshares NZ Dividend ETF	1.30983	51,907,211	-
EMF	Smartshares Emerging Markets ETF	1.30969	94,700,575	99,305
EUF	Smartshares Europe ETF	1.75859	102,785,313	40,000
FNZ	Smartshares NZ Top 50 ETF	3.13311	227,351,179	-
GBF	Smartshares Global Bond ETF	3.30463	66,559,618	-
MDZ	Smartshares NZ Mid Cap ETF	5.99460	28,440,674	-
MZY	Smartshares Australian Mid Cap ETF	7.31604	16,757,274	-
NPF	Smartshares NZ Property ETF	1.51928	81,761,748	-
NZB	Smartshares NZ Bond ETF	3.10925	111,951,618	-
NZC	Smartshares NZ Cash ETF	2.97211	55,198,712	-
OZY	Smartshares Australian Top 20 ETF	3.98991	34,431,267	-
TNZ	Smartshares NZ Top 10 ETF	1.98326	65,381,573	-
TWF	Smartshares Total World ETF	2.47303	25,098,391	119,641
USF	Smartshares US 500 ETF	9.15057	30,786,001	120,000
USG	Smartshares US Large Growth ETF	5.70170	9,096,524	50,968
USM	Smartshares US Mid Cap ETF	5.46733	15,246,168	-
USS	Smartshares US Small Cap ETF	4.98637	14,118,940	-
USV	Smartshares US Large Value ETF	3.62477	22,026,603	-
AGG	Smartshares Global Aggregate Bond ETF	1.35960	25,443,788	32,626
BOT	Smartshares Automation and Robotics ETF	3.18815	3,108,548	109,232
EMG	Smartshares Emerging Markets Equities ESG ETF	2.09902	8,968,474	64,788
ESG	Smartshares Global Equities ESG ETF	2.19711	2,739,936	40,565
EUG	Smartshares Europe Equities ESG ETF	2.50599	7,185,261	-
JPN	Smartshares Japan Equities ESG ETF	1.98263	6,532,091	-
LIV	Smartshares Healthcare Innovation ETF	2.49621	1,980,928	64,951
USA	Smartshares US Equities ESG ETF	2.29304	9,430,530	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.4 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

For further information please contact:

Smartshares Limited

0800 80 87 80

[smartshares@smartshares.co.nz](mailto:smartshares@smartshares.co.nz)