

Friday, 30 October 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 29 October 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.02244	53,210,726	-
ASD	Smartshares Australian Dividend ETF	1.41672	13,929,541	250,000
ASF	Smartshares Australian Financials ETF	5.63075	3,465,468	-
ASP	Smartshares Australian Property ETF	1.29242	36,672,531	-
ASR	Smartshares Australian Resources ETF	4.54183	5,032,633	-
DIV	Smartshares NZ Dividend ETF	1.20787	71,657,211	-
EMF	Smartshares Emerging Markets ETF	1.32152	90,914,155	40,000
EUF	Smartshares Europe ETF	1.49643	98,051,036	(10,000)
FNZ	Smartshares NZ Top 50 ETF	3.15892	237,351,179	200,000
GBF	Smartshares Global Bond ETF	3.35211	67,354,618	200,000
MDZ	Smartshares NZ Mid Cap ETF	5.89520	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	7.68944	18,857,274	-
NPF	Smartshares NZ Property ETF	1.50124	93,011,748	-
NZB	Smartshares NZ Bond ETF	3.18317	112,361,618	80,000
NZC	Smartshares NZ Cash ETF	2.95701	81,483,712	-
OZY	Smartshares Australian Top 20 ETF	3.43280	39,756,267	75,000
TNZ	Smartshares NZ Top 10 ETF	2.15539	72,881,573	-
TWF	Smartshares Total World ETF	2.38085	29,422,555	15,000
USF	Smartshares US 500 ETF	9.03140	29,986,994	30,000
USG	Smartshares US Large Growth ETF	6.52468	12,863,866	15,000
USM	Smartshares US Mid Cap ETF	5.27997	14,587,745	-
USS	Smartshares US Small Cap ETF	4.66631	14,428,711	-
USV	Smartshares US Large Value ETF	3.07735	23,958,176	15,000
AGG	Smartshares Global Aggregate Bond ETF	1.36166	35,944,630	-
BOT	Smartshares Automation and Robotics ETF	3.57602	5,825,891	40,000
EMG	Smartshares Emerging Markets Equities ESG ETF	2.19572	11,582,676	30,000
ESG	Smartshares Global Equities ESG ETF	2.14755	4,150,216	20,000
EUG	Smartshares Europe Equities ESG ETF	2.17826	12,932,921	20,000
JPN	Smartshares Japan Equities ESG ETF	1.95193	10,429,840	-
LIV	Smartshares Healthcare Innovation ETF	3.14415	5,549,680	20,000
USA	Smartshares US Equities ESG ETF	2.33265	14,796,766	20,000
NZG	Smartshares S&P/NZX 50 ETF	2.65093	12,599,842	-
AUS	Smartshares S&P/ASX 200 ETF	2.50883	4,812,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	2.54278	4,284,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.54407	9,001,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$3.9 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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