

Tuesday, 1 October 2019

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 30 September 2019.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.09563	56,129,500	(425,000)
ASD	Smartshares Australian Dividend ETF	1.72656	15,929,541	-
ASF	Smartshares Australian Financials ETF	7.84073	3,515,468	-
ASP	Smartshares Australian Property ETF	1.66995	25,422,531	-
ASR	Smartshares Australian Resources ETF	5.11381	6,757,633	-
DIV	Smartshares NZ Dividend ETF	1.38074	43,407,211	-
EMF	Smartshares Emerging Markets ETF	1.28888	93,297,000	(1,450,000)
EUF	Smartshares Europe ETF	1.72274	101,280,313	(680,000)
FNZ	Smartshares NZ Top 50 ETF	3.05646	212,451,179	-
GBF	Smartshares Global Bond ETF	3.27613	65,169,618	(1,620,000)
MDZ	Smartshares NZ Mid Cap ETF	5.88721	27,440,674	-
MZY	Smartshares Australian Mid Cap ETF	7.30880	16,307,274	-
NPF	Smartshares NZ Property ETF	1.52705	74,011,748	-
NZB	Smartshares NZ Bond ETF	3.11801	106,451,618	2,220,000
NZC	Smartshares NZ Cash ETF	2.97403	27,008,936	910,000
OZY	Smartshares Australian Top 20 ETF	4.04287	33,831,267	-
TNZ	Smartshares NZ Top 10 ETF	1.87238	61,381,573	-
TWF	Smartshares Total World ETF	2.37977	23,484,273	(150,000)
USF	Smartshares US 500 ETF	8.63551	29,286,001	(975,000)
USG	Smartshares US Large Growth ETF	5.16014	7,528,333	-
USM	Smartshares US Mid Cap ETF	5.26652	14,619,093	-
USS	Smartshares US Small Cap ETF	4.83486	13,606,742	(85,256)
USV	Smartshares US Large Value ETF	3.54870	21,312,500	-
AGG	Smartshares Global Aggregate Bond ETF	1.35379	18,836,403	3,963,439
BOT	Smartshares Automation and Robotics ETF	3.00156	1,748,684	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.08196	4,356,451	36,290
ESG	Smartshares Global Equities ESG ETF	2.10844	1,641,038	36,267
EUG	Smartshares Europe Equities ESG ETF	2.42557	3,588,155	-
JPN	Smartshares Japan Equities ESG ETF	1.98569	3,456,931	52,432
LIV	Smartshares Healthcare Innovation ETF	2.28209	1,272,096	-
USA	Smartshares US Equities ESG ETF	2.16057	4,825,256	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,000 unit holders and more than NZD \$3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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