

Tuesday, 28 January 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 27 January 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.14615	57,867,000	20,000
ASD	Smartshares Australian Dividend ETF	1.72285	16,929,541	-
ASF	Smartshares Australian Financials ETF	7.37737	3,740,468	-
ASP	Smartshares Australian Property ETF	1.66102	28,422,531	-
ASR	Smartshares Australian Resources ETF	5.29269	6,907,633	-
DIV	Smartshares NZ Dividend ETF	1.34373	51,782,211	-
EMF	Smartshares Emerging Markets ETF	1.35279	94,497,000	85,000
EUF	Smartshares Europe ETF	1.76730	102,225,313	-
FNZ	Smartshares NZ Top 50 ETF	3.21155	226,851,179	200,000
GBF	Smartshares Global Bond ETF	3.28925	66,279,618	-
MDZ	Smartshares NZ Mid Cap ETF	6.13183	28,440,674	-
MZY	Smartshares Australian Mid Cap ETF	7.49516	16,757,274	-
NPF	Smartshares NZ Property ETF	1.52508	81,261,748	-
NZB	Smartshares NZ Bond ETF	3.09286	111,161,618	110,000
NZC	Smartshares NZ Cash ETF	2.97150	54,578,712	-
OZY	Smartshares Australian Top 20 ETF	4.05527	34,281,267	-
TNZ	Smartshares NZ Top 10 ETF	2.02692	65,131,573	-
TWF	Smartshares Total World ETF	2.48961	24,804,273	15,000
USF	Smartshares US 500 ETF	9.15743	30,526,001	-
USG	Smartshares US Large Growth ETF	5.67829	8,806,004	228,891
USM	Smartshares US Mid Cap ETF	5.49163	15,180,776	20,060
USS	Smartshares US Small Cap ETF	5.01454	13,952,777	15,045
USV	Smartshares US Large Value ETF	3.64120	21,727,500	-
AGG	Smartshares Global Aggregate Bond ETF	1.34798	24,171,358	101,783
BOT	Smartshares Automation and Robotics ETF	3.31331	2,942,678	48,670
EMG	Smartshares Emerging Markets Equities ESG ETF	2.18818	7,976,410	28,345
ESG	Smartshares Global Equities ESG ETF	2.21489	2,606,080	40,750
EUG	Smartshares Europe Equities ESG ETF	2.50266	6,440,261	-
JPN	Smartshares Japan Equities ESG ETF	2.01061	5,964,278	-
LIV	Smartshares Healthcare Innovation ETF	2.54356	1,879,442	16,359
USA	Smartshares US Equities ESG ETF	2.29491	8,572,717	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.4 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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