

Tuesday, 12 January 2021

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 11 January 2021.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.29251	55,250,726	50,000
ASD	Smartshares Australian Dividend ETF	1.67482	15,554,541	-
ASF	Smartshares Australian Financials ETF	6.78760	3,490,468	-
ASP	Smartshares Australian Property ETF	1.43064	37,172,531	-
ASR	Smartshares Australian Resources ETF	5.85210	5,407,633	-
DIV	Smartshares NZ Dividend ETF	1.42298	75,782,211	125,000
EMF	Smartshares Emerging Markets ETF	1.45624	96,114,155	-
EUF	Smartshares Europe ETF	1.74375	102,221,036	30,000
FNZ	Smartshares NZ Top 50 ETF	3.55959	251,251,179	300,000
GBF	Smartshares Global Bond ETF	3.37428	69,584,618	40,000
MDZ	Smartshares NZ Mid Cap ETF	6.31549	28,640,674	-
MZY	Smartshares Australian Mid Cap ETF	8.50449	18,932,274	-
NPF	Smartshares NZ Property ETF	1.51181	99,136,748	-
NZB	Smartshares NZ Bond ETF	3.13958	115,331,618	20,000
NZC	Smartshares NZ Cash ETF	2.95369	76,933,712	20,000
OZY	Smartshares Australian Top 20 ETF	4.00057	39,906,267	-
TNZ	Smartshares NZ Top 10 ETF	2.33810	76,381,573	250,000
TWF	Smartshares Total World ETF	2.64676	31,952,555	-
USF	Smartshares US 500 ETF	9.68032	32,231,994	15,000
USG	Smartshares US Large Growth ETF	6.90277	14,428,866	20,000
USM	Smartshares US Mid Cap ETF	5.85636	14,937,745	-
USS	Smartshares US Small Cap ETF	5.57453	14,458,711	-
USV	Smartshares US Large Value ETF	3.39122	25,303,176	-
AGG	Smartshares Global Aggregate Bond ETF	1.35192	41,334,630	30,000
BOT	Smartshares Automation and Robotics ETF	4.16182	7,295,891	20,000
EMG	Smartshares Emerging Markets Equities ESG ETF	2.46138	13,162,676	-
ESG	Smartshares Global Equities ESG ETF	2.34064	4,760,216	-
EUG	Smartshares Europe Equities ESG ETF	2.47906	13,797,921	-
JPN	Smartshares Japan Equities ESG ETF	2.13095	11,024,840	-
LIV	Smartshares Healthcare Innovation ETF	3.58340	7,339,680	50,000
USA	Smartshares US Equities ESG ETF	2.49837	15,951,766	-
NZG	Smartshares S&P/NZX 50 ETF	2.87119	16,899,842	-
AUS	Smartshares S&P/ASX 200 ETF	2.82647	7,212,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	2.97154	5,439,829	30,000
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.47720	13,201,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$4.2 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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