

Memorandum

To: Market Participants
From: NZX Product Operations
Date: Friday, 29 November 2019
Subject: Smartshares ETFs – Delayed settlement date

Message:

NZX Operations ("NZXO") advises that due to a system issue, all trades in the below Smartshares ETFs that were executed on:

- Thursday, 28 November 2019
- Friday, 29 November 2019

will settle on Wednesday, 4 December 2019.

Trading in the ETFs will settle on a normal T+2 basis from Monday, 2 December 2019.

Ticker	Fund name
APA	Smartshares Asia Pacific ETF
ASD	Smartshares Australian Dividend ETF
ASR	Smartshares Australian Resources ETF
BOT	Smartshares Automation and Robotics ETF
EMF	Smartshares Emerging Markets ETF
EMG	Smartshares Emerging Markets Equities ESG ETF
ESG	Smartshares Global Equities ESG ETF
EUF	Smartshares Europe ETF
EUG	Smartshares Europe Equities ESG ETF



GBF	Smartshares Global Bond ETF
JPN	Smartshares Japan Equities ESG ETF
LIV	Smartshares Healthcare Innovation ETF
MZY	Smartshares Australian Mid Cap ETF
NZB	Smartshares NZ Bond ETF
NZC	Smartshares NZ Cash ETF
OZY	Smartshares Australian Top 20 ETF
TWF	Smartshares Total World ETF
USA	Smartshares US Equities ESG ETF
USF	Smartshares US 500 ETF
USG	Smartshares US Large Growth ETF
USM	Smartshares US Mid Cap ETF
USS	Smartshares US Small Cap ETF
USV	Smartshares US Large Value ETF

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