

Thursday, 7 January 2021

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 6 January 2021.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.23620	55,170,726	-
ASD	Smartshares Australian Dividend ETF	1.63232	15,554,541	-
ASF	Smartshares Australian Financials ETF	6.56078	3,490,468	-
ASP	Smartshares Australian Property ETF	1.46131	37,047,531	-
ASR	Smartshares Australian Resources ETF	5.66194	5,407,633	-
DIV	Smartshares NZ Dividend ETF	1.42378	75,657,211	125,000
EMF	Smartshares Emerging Markets ETF	1.43636	96,094,155	50,000
EUF	Smartshares Europe ETF	1.71792	102,161,036	30,000
FNZ	Smartshares NZ Top 50 ETF	3.56060	250,551,179	600,000
GBF	Smartshares Global Bond ETF	3.38133	69,344,618	70,000
MDZ	Smartshares NZ Mid Cap ETF	6.35025	28,640,674	100,000
MZY	Smartshares Australian Mid Cap ETF	8.45380	18,932,274	-
NPF	Smartshares NZ Property ETF	1.53873	99,011,748	125,000
NZB	Smartshares NZ Bond ETF	3.14369	115,181,618	100,000
NZC	Smartshares NZ Cash ETF	2.95348	77,513,712	-
OZY	Smartshares Australian Top 20 ETF	3.90377	39,906,267	-
TNZ	Smartshares NZ Top 10 ETF	2.34488	75,881,573	-
TWF	Smartshares Total World ETF	2.59012	31,837,555	-
USF	Smartshares US 500 ETF	9.47005	32,186,994	30,000
USG	Smartshares US Large Growth ETF	6.77990	14,348,866	15,000
USM	Smartshares US Mid Cap ETF	5.67975	14,937,745	15,000
USS	Smartshares US Small Cap ETF	5.33995	14,458,711	45,000
USV	Smartshares US Large Value ETF	3.29999	25,303,176	-
AGG	Smartshares Global Aggregate Bond ETF	1.35804	41,304,630	-
BOT	Smartshares Automation and Robotics ETF	4.03534	7,095,891	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.39932	13,132,676	-
ESG	Smartshares Global Equities ESG ETF	2.29108	4,680,216	-
EUG	Smartshares Europe Equities ESG ETF	2.43186	13,797,921	-
JPN	Smartshares Japan Equities ESG ETF	2.07984	10,994,840	-
LIV	Smartshares Healthcare Innovation ETF	3.45636	7,069,680	70,000
USA	Smartshares US Equities ESG ETF	2.43969	15,931,766	-
NZG	Smartshares S&P/NZX 50 ETF	2.88070	16,699,842	100,000
AUS	Smartshares S&P/ASX 200 ETF	2.78095	7,212,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	2.89879	5,319,829	30,000
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.48574	13,201,427	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$4.2 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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