



NEW ZEALAND'S EXCHANGE  
TE PAEHOKO O AOTEAROA

## NZX Limited - Monthly Shareholder Metrics

January 2022

These shareholder metrics represent the key operations of NZX's business.

### Core Markets

NZX Listed Issuers	Jan 2022	Change YoY
Total equity securities	137	4.6%
Total fund securities	48	-2.0%
Total retail debt securities	140	-1.4%
Total wholesale debt securities	8	-%
Total other securities	3	-25.0%
Total listed securities	336	0.6%
Total NZX Main Board equity issuers	136	5.4%
Total NZX Main Board fund issuers	48	-2.0%
Total NZX Debt Market retail debt issuers	47	-7.8%
Total NZX Debt Market wholesale debt issuers	2	-%
Total other issuers	3	-25.0%
Total unique issuers	209	2.0%

Market Capitalisation	Jan 2022	Change YoY	% of GDP
Total market capitalisation	\$218,637 m	-6.0%	64.0%
All equity	\$167,046 m	-10.2%	48.9%
All funds	\$7,570 m	17.7%	2.2%
All other	\$9 m	-74.6%	-%
Total NZX Main Board	\$174,625 m	-9.3%	51.1%
Retail debt	\$33,967 m	-0.7%	9.9%
Green bonds	\$3,875 m	61.5%	1.1%
Wholesale debt	\$6,170 m	38.3%	1.8%
Total NZX Debt Market	\$44,012 m	7.1%	12.9%

Cash Market	Jan 2022	Change YoY
Total value traded	\$2,506 m	-30.8%
Auction value traded	\$589 m	-23.5%
On-market value traded	\$1,722 m	-32.4%
% of value on-market	68.7%	-2.3%
Total trades	969,583	-13.9%
Daily average value traded	\$132 m	-30.8%
Daily average trades	51,031	-13.9%

Total Equity Transactions		
Total trades	966,342	-13.9%
Value traded	\$2,402 m	-31.9%
% of value on-market	70.4%	-1.5%
Average on-market trade size	\$1,753	-22.0%

Total Debt Transactions		
Total trades	3,241	19.4%
Value traded	\$104 m	8.5%

Cumulative Numbers - YTD		
Number of trades	969,583	-13.9%
Total value traded	\$2,506 m	-30.8%
% of value on-market	68.7%	-2.3%

Capital Raising	Jan 2022	YTD	Change YoY
Total capital raisings	\$256 m	\$256 m	-1.4%
New Capital Listed			
Total capital listed by all issuers	-	-	n/a
Equity listings	-	-	n/a
Fund listings	-	-	n/a
Retail debt listings	-	-	n/a
Wholesale debt listings	-	-	n/a

Secondary Capital Raisings			
Total capital raised by all issuers	\$256 m	\$256 m	-1.4%
Total equity raised - NZ issuers	\$175 m	\$175 m	3667.6%
Total equity raised - dual or foreign issuer	-	-	-100.0%
Total funds raised - NZ issuers	\$70 m	\$70 m	-67.6%
Total funds raised - dual or foreign issuer	\$11 m	\$11 m	-70.1%
Total retail debt raised	-	-	n/a
Total wholesale debt raised	-	-	n/a
Total number of secondary capital raising events	170	170	-48.6%
Equity issuers - capital raised < \$10m	9	9	-59.1%
Equity issuers - capital raised ≥ \$10m < \$100m	-	-	n/a
Equity issuers - capital raised ≥ \$100m	1	1	n/a
Fund issuers - capital raised	160	160	-48.2%
Retail debt issuers - capital raised	-	-	n/a
Wholesale debt issuers - capital raised	-	-	n/a

Clearing House / Depository	Jan 2022	Change YoY
Gross value of cleared trades over month	\$2,508 m	-30.9%
Assets under custody in depository	\$6,008 m	20.9%
Depository uplifts	8,087	-1.90%
Settlement lines	17,472	0.1%
OTC Trades	5,810	-20.8%

Derivatives	Jan 2022	Change YoY
Futures - total lots traded	19,274	-14.6%
Options - total lots traded	2,143	328.6%
Total derivatives lots traded	21,417	-7.2%
Total derivatives lots traded - YTD	21,417	-7.2%
Open interest	70,950	21.6%
Notional value traded (\$USD)	\$87 m	-34.9%
Options to futures ratio	11.1%	405.4%
Negotiated Large Trade (NLT) %*	43.8%	n/a

\*Following SGX-NZX partnership, block trades are now represented by SGX Negotiated Large Trades

Energy and Environment Contracts	Jan 2022	Change YoY
Operating / Technology contracts	18	5.9%
- contracts ≥ \$500k p.a.	5	25.0%
- contracts < \$500k p.a.	13	-

NZX Indices Performance	Jan 2022	Change YoY
S&P/NZX 50 Index (Gross)	11,889	-9.4%
S&P/NZX 50 Index (Capital)	4,860	-11.7%
S&P/NZX 50 Portfolio Index	7,610	-6.7%
S&P/NZX NZ Fixed Interest Composite Index	121	-5.6%
S&P/NZX A-Grade Bond Index	5,671	-4.8%

Wholesale Data	Jan 2022	Change YoY
Terminal numbers - professional	6,738	8.5%
Terminal numbers - retail	1,642	-27.6%
Licences - value ≥ \$20k p.a.	62	12.7%
Licences - value < \$20k p.a.	75	-1.3%

Direct Data Products	Jan 2022	Change YoY
Proprietary security subscription products	309	-
- products ≥ \$3,000 p.a.	110	-
- products < \$3,000 p.a.	199	-

Dairy Data Subscriptions	Jan 2022	Change YoY
Dairy data subscriptions	443	-6.1%
- products ≥ \$1,500 p.a.	106	1.9%
- products < \$1,500 p.a.	337	-8.4%

## Smartshares

	Jan 2022	Change YoY
Total external funds under management	\$6,231 m	17.4%

Scheme FUM	Jan 2022	Change YoY
SuperLife Kiwisaver	\$1,773 m	46.6%
SuperLife Workplace Savings	\$1,398 m	2.9%
Smartshares Exchange Traded Funds - External	\$2,203 m	5.7%
Smartshares Exchange Traded Funds - Total (external and internal)	\$5,635 m	21.5%

Other Metrics	Jan 2022	Change YoY
Units on issue	1,965 m	23.6%
Value of stock lent over month	\$137 m	-42.6%

## Wealth Technologies

Funds under administration	\$10,575 m	46.2%
----------------------------	------------	-------

## Notes

- All figures are measured at the end of the last trading day of each month unless otherwise stated.  
Change refers to the change since the same period last year unless otherwise stated.
- Equity market capitalisation includes all securities quoted on the NZX Main Board (NZSX).  
With an overseas domiciled Issuer, the market capitalisation represents the amount that is held in New Zealand.
- Market capitalisation for the NZX Debt Market is the total nominal (face) value of all listed securities, excluding New Zealand Government stock.
- Funds include exchange traded funds, managed funds, listed investment companies and trusts.
- "Other" securities include rights, warrants and options quoted on the NZX Main Board (NZSX).
- Please note these operational statistics are based on NZX's internal records, which are unaudited.  
Differences may arise between this data and that disclosed in subsequent audited financial statements.  
Differences may also arise between this data and the previously reported data due to rounding and classification restatements.

To enquire about information listed on this sheet, or to find out more about NZX products or services, please email: [data@nzx.com](mailto:data@nzx.com)