



# NZX Limited - Monthly Shareholder Metrics

September 2017

These Shareholder Metrics represent the key features of NZX's operations in each of its core businesses. The Metrics focus on what drives the revenue performance of NZX, so as to give a consistent and transparent comparison across time periods for each business line.

## Markets

NZX Listed Issuers			Sep 2017	Change YoY
Total Equity Securities	163	-4.1%		
Total Listed Debt Securities	116	9.4%		
Total Other Securities	26	0.0%		
Total Listed Securities	305	1.0%		
Total NZX Main Board Issuers	161	-3.6%		
Total NZAX Issuers	17	-5.6%		
Total NXT Issuers	4	0.0%		
Total NZDX Issuers	44	2.3%		

Capital Raising			Sep 2017	YTD
New Capital Listed				
IPOs and Compliance Listings	\$0.00 b	\$0.48 b		
New Debt Listings	\$0.58 b	\$2.67 b		
Secondary Capital Raisings				
Total Capital Raised By All Issuers	\$100 m	\$3,033 m		
Total Equity Raised - Primary Issuers	\$99 m	\$776 m		
Total Equity Raised - Dual & Secondary Issuers	\$1 m	\$1,352 m		
Total Debt Raised	\$0 m	\$905 m		
Number of Capital Raising Events	18	128		
Capital Raised < \$0.5m	4	19		
Capital Raised > \$0.5m < \$10m	12	61		
Capital Raised > \$10m < \$100m	2	45		
Capital Raised > \$100m	0	3		

Market Capitalisation	Sep 2017	Change YoY	% of GDP
All Equity	\$127.5 b	4.3%	47.6%
All Debt	\$28.2 b	13.3%	10.5%

NZX Indices Performance			Sep 2017	Change YoY
S&P/NZX 50 Index	7,930	7.7%		
S&P/NZX 50 Portfolio Index	4,934	9.6%		
S&P/NZX A-Grade Bond Index	5,084	2.5%		
S&P/NZX All Swap Index	200	0.6%		

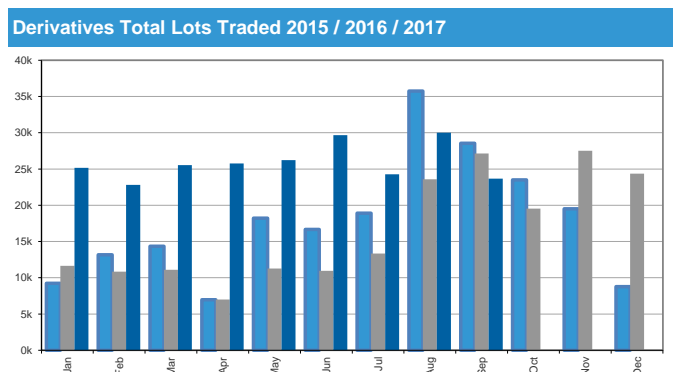
Cash Market Trading			Sep 2017	Change YoY
Total Trades	190,489	25.1%		
Daily Average Trades	9,071	31.1%		
Total Trades < \$50k	181,379	27.9%		
Total Value Traded	\$3.8 b	0.0%		
Daily Average Value Traded	\$183 m	4.7%		
Total Equity Transactions				
Total Trades	187,317	25.5%		
Value Traded	\$3.6 b	-2.0%		
Total Debt Transactions				
Total Trades	3,172	5.6%		
Value Traded	\$208 m	56.4%		
Cumulative Numbers - YTD				
Number of Trades	1,444,710	7.1%		
Total Value Traded	\$31.3 b	-7.9%		
Clearing House / Depository				
Gross Value of Cleared Trades over Month	\$3.9 b	0.2%		
Collateral Held At Month End	\$57.5 m	35.1%		
Depository transactions	2,547	-4.7%		
Assets under custody in depository	\$427 m	13.1%		
Value of stock lent over month	\$530 m	153.6%		
Wholesale Data				
Terminal Numbers - Professional*	6,152	1.9%		
Terminal Numbers - Retail*	1,278	-7.3%		
Licences - Value > \$20k pa	34	17.2%		
Licences - Value < \$20k pa	61	-1.6%		

Direct Data Products			Sep 2017	Change YoY
Proprietary Security Subscription Products	399	2.6%		
- Products ≥ \$3,000 p.a	160	11.9%		
- Products < \$3,000 p.a.	239	-2.8%		

\* 3 month average

Derivatives	Sep 2017	Change YoY
Futures - Total Lots Traded	20,558	-22.2%
Options - Total Lots Traded	3,110	326.0%
Open Interest	52,524	8.3%



Energy Contracts	Sep 2017
Operating / Technology Contracts	17
- Contracts > = \$500k p.a.	4
- Contracts < \$500k p.a.	13

## Funds Services

SuperLife	Sep 2017	Change YoY
Funds Under Management - KiwiSaver	\$697 m	18.7%
Funds Under Management - Other	\$1,180 m	15.7%
Total	\$1,877 m	16.8%

Smartshares	Sep 2017	Change YoY
Funds Under Management - External	\$625 m	28.8%
Funds Under Management - SuperLife funds	\$1,365 m	15.3%
Total	\$1,990 m	19.2%
Units on Issue	853 m	14.6%

NZX Wealth Technologies	Sep 2017	Change YoY
Funds Under Administration	\$1,192 m	-8.4%
Renamed from Apteryx		

## Agri

Direct Data Products	Sep 2017	Change YoY
Subscriptions - NZ Agri Data Products	2,997	26.8%
Subscription - Australian Agri Data Products	1,503	-4.9%
Total Paid Advertising Page Equivalents*	108	11.5%
Total Paid Advertising Page Equivalents YTD*	906	5.5%

\* Total paid advertising page equivalents relates to Farmers Weekly only.

## Notes

1. All figures are measured at the end of the last trading day of the month unless otherwise stated. Change refers to the change since the same period last year unless otherwise stated i.e. Change YoY is change versus the same period last year.
2. All Equity Market Capitalisation includes all securities quoted on the NZX Main Board, NZX Alternative Market and NXT Market.
3. Market Capitalisation for the NZX Debt market is the total nominal (face) value of all quoted securities excluding New Zealand Government Stock.
4. NZX Limited wishes to remind readers that the above operational statistics are based on the Group's internal records, which are unaudited. Differences may arise between this data and that disclosed in subsequent audited financial statements.

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