

Tuesday, 1 December 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 30 November 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.19105	54,160,726	-
ASD	Smartshares Australian Dividend ETF	1.51556	14,679,541	-
ASF	Smartshares Australian Financials ETF	6.48505	3,390,468	(75,000)
ASP	Smartshares Australian Property ETF	1.45526	36,922,531	-
ASR	Smartshares Australian Resources ETF	4.97558	5,332,633	-
DIV	Smartshares NZ Dividend ETF	1.27167	74,157,211	125,000
EMF	Smartshares Emerging Markets ETF	1.38976	93,069,155	-
EUF	Smartshares Europe ETF	1.67424	100,981,036	-
FNZ	Smartshares NZ Top 50 ETF	3.34761	240,751,179	600,000
GBF	Smartshares Global Bond ETF	3.36334	68,514,618	600,000
MDZ	Smartshares NZ Mid Cap ETF	6.02070	28,440,674	-
MZY	Smartshares Australian Mid Cap ETF	8.06221	18,857,274	-
NPF	Smartshares NZ Property ETF	1.50449	96,136,748	250,000
NZB	Smartshares NZ Bond ETF	3.14512	113,691,618	740,000
NZC	Smartshares NZ Cash ETF	2.95198	78,843,712	110,000
OZY	Smartshares Australian Top 20 ETF	3.74175	39,756,267	(75,000)
TNZ	Smartshares NZ Top 10 ETF	2.28175	73,131,573	-
TWF	Smartshares Total World ETF	2.55362	30,802,555	15,000
USF	Smartshares US 500 ETF	9.49340	31,101,994	-
USG	Smartshares US Large Growth ETF	6.73983	13,693,866	-
USM	Smartshares US Mid Cap ETF	5.66999	14,772,745	15,000
USS	Smartshares US Small Cap ETF	5.19878	14,468,711	-
USV	Smartshares US Large Value ETF	3.34148	24,683,176	-
AGG	Smartshares Global Aggregate Bond ETF	1.35687	40,514,630	3,450,000
BOT	Smartshares Automation and Robotics ETF	3.87795	6,300,891	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.31381	12,012,676	20,000
ESG	Smartshares Global Equities ESG ETF	2.28246	4,320,216	20,000
EUG	Smartshares Europe Equities ESG ETF	2.39159	13,447,921	80,000
JPN	Smartshares Japan Equities ESG ETF	2.10118	10,724,840	-
LIV	Smartshares Healthcare Innovation ETF	3.24666	6,334,680	30,000
USA	Smartshares US Equities ESG ETF	2.44482	15,591,766	90,000
NZG	Smartshares S&P/NZX 50 ETF	2.77361	13,699,842	100,000
AUS	Smartshares S&P/ASX 200 ETF	2.70033	6,412,708	-
TWH	Smartshares Total World (NZD Hedged) ETF	2.81952	4,484,829	-
NGB	Smartshares S&P/NZX NZ Government Bond ETF	2.50015	13,401,427	1,400,000

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 19,000 unit holders and more than NZD \$4.0 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

For further information please contact:

Smartshares Limited

0800 80 87 80

[smartshares@smartshares.co.nz](mailto:smartshares@smartshares.co.nz)