

Thursday, 7 November 2019

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 6 November 2019.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.18887	55,817,000	-
ASD	Smartshares Australian Dividend ETF	1.73204	15,929,541	-
ASF	Smartshares Australian Financials ETF	7.54675	3,465,468	-
ASP	Smartshares Australian Property ETF	1.69860	26,422,531	-
ASR	Smartshares Australian Resources ETF	5.20391	6,757,633	-
DIV	Smartshares NZ Dividend ETF	1.32350	46,907,211	250,000
EMF	Smartshares Emerging Markets ETF	1.36314	93,197,000	(40,000)
EUF	Smartshares Europe ETF	1.79015	100,995,313	-
FNZ	Smartshares NZ Top 50 ETF	2.99743	216,251,179	400,000
GBF	Smartshares Global Bond ETF	3.26574	65,889,618	30,000
MDZ	Smartshares NZ Mid Cap ETF	5.83170	28,140,674	-
MZY	Smartshares Australian Mid Cap ETF	7.28932	16,382,274	-
NPF	Smartshares NZ Property ETF	1.47661	78,511,748	-
NZB	Smartshares NZ Bond ETF	3.10755	108,011,618	100,000
NZC	Smartshares NZ Cash ETF	2.97828	27,068,936	-
OZY	Smartshares Australian Top 20 ETF	4.03202	34,056,267	-
TNZ	Smartshares NZ Top 10 ETF	1.83835	62,131,573	250,000
TWF	Smartshares Total World ETF	2.45977	23,879,273	-
USF	Smartshares US 500 ETF	8.86414	29,726,001	15,000
USG	Smartshares US Large Growth ETF	5.29577	7,748,870	-
USM	Smartshares US Mid Cap ETF	5.34499	14,709,363	15,045
USS	Smartshares US Small Cap ETF	4.98213	13,697,012	30,090
USV	Smartshares US Large Value ETF	3.64075	21,387,500	25,000
AGG	Smartshares Global Aggregate Bond ETF	1.33716	19,754,465	-
BOT	Smartshares Automation and Robotics ETF	3.19395	2,090,764	24,147
EMG	Smartshares Emerging Markets Equities ESG ETF	2.16910	5,771,775	532,258
ESG	Smartshares Global Equities ESG ETF	2.15809	1,874,758	68,504
EUG	Smartshares Europe Equities ESG ETF	2.49797	4,720,856	403,096
JPN	Smartshares Japan Equities ESG ETF	2.06275	4,360,374	318,625
LIV	Smartshares Healthcare Innovation ETF	2.36602	1,405,151	24,192
USA	Smartshares US Equities ESG ETF	2.19881	6,268,222	515,921

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,500 unit holders and more than NZD \$3.1 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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