

21 August 2020

The Manager  
Company Announcements Office  
ASX Limited  
20 Bridge Street  
SYDNEY NSW 2000

By electronic lodgement

Dear Sir/Madam

**Re: Notice of change of interests of substantial holder – Lynas Corporation Limited (LYC)**

We enclose notice of change of interests of substantial holder in Lynas Corporation Limited. This notice is given by Greencape Capital Pty Ltd.

Yours faithfully

A handwritten signature in black ink, appearing to read "Andrew Brown".

**Andrew Brown**  
Company Secretary

# Form 604

Corporations Act 2001  
Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme **Lynas Corporation Limited**

ACN/ARSN **009 066 648**

### 1. Details of substantial holder(1)

Name **Greencape Capital Pty Ltd**

ACN/ARSN (if applicable) **120 328 529**

There was a change in the interests of the substantial holder on **19/08/2020**

The previous notice was given to the company on **02/08/2019**

The previous notice was dated **02/08/2019**

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
<b>Ordinary Fully Paid</b>	<b>56,794,325</b>	<b>8.22%</b>	<b>50,197,540</b>	<b>7.02%</b>

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
<b>Refer Annexure 1</b>	<b>Greencape Capital Pty Ltd</b>	<b>Refer Annexure 1</b>	<b>Refer Annexure 1</b>	<b>Refer Annexure 1</b>	<b>Refer Annexure 1</b>

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
<b>Greencape Capital Pty Ltd</b>	<b>Citicorp Nominees Pty Limited</b>	<b>Citicorp Nominees Pty Limited</b>	<b>Ordinary Fully Paid securities purchased and sold on market and acquired and sold (via off market transfer) by Greencape Capital Pty Ltd</b>	<b>12,461,224 (Ordinary Fully Paid)</b>	<b>12,461,224</b>
<b>Greencape Capital Pty Ltd</b>	<b>HSBC Custody Nominees (Australia) Limited</b>	<b>HSBC Custody Nominees (Australia) Limited</b>	<b>As above</b>	<b>19,220,480 (Ordinary Fully Paid)</b>	<b>19,220,480</b>
<b>Greencape Capital Pty Ltd</b>	<b>National Nominees Limited</b>	<b>National Nominees Limited</b>	<b>As above</b>	<b>4,824,699 (Ordinary Fully Paid)</b>	<b>4,824,699</b>

<b>Greencape Capital Pty Ltd</b>	<b>J P Morgan Nominees Australia Limited</b>	<b>J P Morgan Nominees Australia Limited</b>	<b>As above</b>	<b>13,691,137 (Ordinary Fully Paid)</b>	<b>13,691,137</b>
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#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
<b>N/A</b>	<b>N/A</b>

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
<b>Greencape Capital Pty Ltd</b>	<b>Level 2, 5 Martin Place, Sydney NSW 2000</b>

#### Signature

print name **Andrew Brown** Capacity **Company Secretary**



sign here \_\_\_\_\_ date **21/08/2020**

#### DIRECTIONS

(1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.

(2) See the definition of "associate" in section 9 of the Corporations Act 2001.

(3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.

(4) The voting shares of a company constitute one class unless divided into separate classes.

(5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.

(6) Include details of:

(a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and

(b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

(7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

(8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".

(9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.



**Andrew Brown**  
**Company Secretary of Greencape Capital Pty Ltd**

**Transactions:**

**Company**

**Name/Scheme:** Lynas Corporation Limited (LYC)

**As at:** 19/08/2020

**Class of security:** Ordinary Fully Paid

Date of Change	Holder of relevant interest	Nature of Change	Consideration	Number of Securities	Person's Votes Affected
09/08/2019	Greencape Capital Pty Ltd	Sell	318,754.80	- 108,420	108,420
09/08/2019	as above	Buy	318,754.80	108,420	108,420
13/08/2019	as above	Sell	2,709.30	- 931	931
13/08/2019	as above	Sell	53,685.52	- 18,448	18,448
13/08/2019	as above	Sell	63,166.63	- 21,706	21,706
13/08/2019	as above	Sell	61,743.59	- 21,217	21,217
13/08/2019	as above	Sell	4,085.78	- 1,404	1,404
13/08/2019	as above	Sell	21,025.47	- 7,225	7,225
13/08/2019	as above	Sell	86,875.22	- 29,853	29,853
13/08/2019	as above	Sell	68,995.56	- 23,709	23,709
13/08/2019	as above	Sell	78,933.55	- 27,124	27,124
13/08/2019	as above	Sell	35,898.99	- 12,336	12,336
13/08/2019	as above	Sell	115,173.03	- 39,577	39,577
13/08/2019	as above	Sell	10,880.86	- 3,739	3,739
13/08/2019	as above	Sell	32,662.96	- 11,224	11,224
13/08/2019	as above	Sell	2,342.63	- 805	805
13/08/2019	as above	Sell	1,056.37	- 363	363
13/08/2019	as above	Sell	1,492.88	- 513	513
13/08/2019	as above	Sell	8,904.91	- 3,060	3,060
16/08/2019	as above	Buy	22,902.03	8,626	8,626
16/08/2019	as above	Buy	465,137.42	175,193	175,193
16/08/2019	as above	Buy	614,656.40	231,509	231,509
16/08/2019	as above	Buy	317,726.51	119,671	119,671
16/08/2019	as above	Buy	1,030,044.42	387,964	387,964
16/08/2019	as above	Buy	98,712.90	37,180	37,180
16/08/2019	as above	Buy	21,585.15	8,130	8,130
16/08/2019	as above	Buy	9,212.85	3,470	3,470
16/08/2019	as above	Buy	13,952.03	5,255	5,255
16/08/2019	as above	Buy	61,070.31	23,002	23,002
30/08/2019	as above	Buy	50,907.00	21,300	21,300
30/08/2019	as above	Sell	50,907.00	- 21,300	21,300
14/10/2019	as above	Buy	787,656.60	300,277	300,277
14/10/2019	as above	Buy	416,346.30	158,723	158,723
15/10/2019	as above	Buy	473,054.06	179,160	179,160
15/10/2019	as above	Buy	250,069.64	94,709	94,709
17/10/2019	as above	Buy	798,335.67	306,169	306,169
17/10/2019	as above	Buy	421,974.33	161,831	161,831
21/10/2019	as above	Buy	193,877.82	74,891	74,891
21/10/2019	as above	Buy	102,503.54	39,595	39,595
22/10/2019	as above	Buy	652,218.31	252,182	252,182
22/10/2019	as above	Buy	344,836.55	133,332	133,332
23/10/2019	as above	Buy	336,457.20	131,944	131,944
23/10/2019	as above	Buy	177,990.00	69,800	69,800
24/10/2019	as above	Buy	76,268.13	29,598	29,598
24/10/2019	as above	Buy	40,347.53	15,658	15,658
29/10/2019	as above	Buy	32,389.04	12,904	12,904

Date of Change	Holder of relevant interest	Nature of Change	Consideration	Number of Securities	Person's Votes Affected
29/10/2019	as above	Buy	17,108.16	6,816	6,816
30/10/2019	as above	Buy	371,509.39	148,396	148,396
30/10/2019	as above	Buy	196,234.34	78,384	78,384
31/10/2019	as above	Sell - Transfer	593,635.12	- 239,369	239,369
31/10/2019	as above	Sell	103,863.66	- 42,221	42,221
31/10/2019	as above	Buy - Transfer	99,636.48	40,176	40,176
31/10/2019	as above	Buy - Transfer	593,635.12	239,369	239,369
31/10/2019	as above	Sell - Transfer	2,357,996.40	- 950,805	950,805
01/11/2019	as above	Sell - Transfer	268,395.00	- 107,358	107,358
02/11/2019	as above	Buy - Transfer	268,395.00	107,358	107,358
11/11/2019	as above	Buy	411,727.12	169,442	169,442
11/11/2019	as above	Buy	217,616.98	89,558	89,558
12/11/2019	as above	Buy	429,969.48	181,200	181,200
12/11/2019	as above	Buy	54,339.41	22,900	22,900
12/11/2019	as above	Buy	227,561.11	95,900	95,900
14/11/2019	as above	Buy	82,595.56	35,600	35,600
14/11/2019	as above	Buy	141,758.11	61,100	61,100
15/11/2019	as above	Buy	54,879.65	23,654	23,654
15/11/2019	as above	Buy	423,060.95	182,346	182,346
19/11/2019	as above	Buy	425,519.90	183,169	183,169
19/11/2019	as above	Buy	224,948.10	96,831	96,831
26/11/2019	as above	Buy	404,784.53	183,177	183,177
26/11/2019	as above	Buy	213,959.47	96,823	96,823
29/11/2019	as above	Buy	94,802.00	42,800	42,800
29/11/2019	as above	Sell	94,802.00	- 42,800	42,800
31/12/2019	as above	Buy	67,687.50	28,500	28,500
31/12/2019	as above	Sell	67,687.50	- 28,500	28,500
21/01/2020	as above	Buy	247,195.13	100,282	100,282
21/01/2020	as above	Buy	145,610.02	59,071	59,071
21/01/2020	as above	Sell	672,452.00	- 272,800	272,800
21/01/2020	as above	Buy	242,270.06	98,284	98,284
21/01/2020	as above	Buy	22,951.62	9,311	9,311
21/01/2020	as above	Buy	7,523.18	3,052	3,052
21/01/2020	as above	Buy	6,902.00	2,800	2,800
30/01/2020	as above	Buy	432,306.09	201,438	201,438
30/01/2020	as above	Buy	228,692.71	106,562	106,562
10/02/2020	as above	Buy	86,445.75	39,500	39,500
25/02/2020	as above	Buy	437,926.45	215,823	215,823
25/02/2020	as above	Buy	231,676.55	114,177	114,177
27/02/2020	as above	Buy	1,591,520.00	800,000	800,000
28/02/2020	as above	Buy	741,520.00	400,000	400,000
02/03/2020	as above	Buy	33,653.89	19,152	19,152
02/03/2020	as above	Buy	735,894.27	418,788	418,788
02/03/2020	as above	Buy	864,640.80	492,056	492,056
02/03/2020	as above	Buy	414,490.09	235,881	235,881
02/03/2020	as above	Buy	1,456,504.42	828,878	828,878
02/03/2020	as above	Buy	136,928.05	77,924	77,924
02/03/2020	as above	Buy	16,208.41	9,224	9,224
02/03/2020	as above	Buy	31,800.05	18,097	18,097
27/03/2020	as above	Sell	86,399.50	- 68,300	68,300
21/04/2020	as above	Sell	58,178.94	- 39,513	39,513
21/04/2020	as above	Sell	103,785.06	- 70,487	70,487
22/04/2020	as above	Sell	44,268.89	- 31,855	31,855
22/04/2020	as above	Sell	78,024.71	- 56,145	56,145
23/04/2020	as above	Sell	116,677.78	- 72,215	72,215
23/04/2020	as above	Sell	198,383.72	- 122,785	122,785
24/04/2020	as above	Sell	97,414.38	- 61,819	61,819
24/04/2020	as above	Sell	169,185.77	- 107,365	107,365
08/05/2020	as above	Sell - Transfer	2,816,681	- 1,618,782	1,618,782
08/05/2020	as above	Buy - Transfer	2,816,681	1,618,782	1,618,782
12/05/2020	as above	Sell	603,780	- 347,000	347,000
16/06/2020	as above	Sell	67,888	- 33,915	33,915

Date of Change	Holder of relevant interest	Nature of Change	Consideration	Number of Securities	Person's Votes Affected
16/06/2020	as above	Sell	38,983	- 19,475	19,475
30/06/2020	as above	Buy	239,940	124,000	124,000
30/06/2020	as above	Buy	64,823	33,500	33,500
14/07/2020	as above	Sell	28,275	- 14,438	14,438
14/07/2020	as above	Sell	14,165	- 7,233	7,233
14/07/2020	as above	Sell	27,083	- 13,829	13,829
14/07/2020	as above	Sell	3,539	- 1,807	1,807
14/07/2020	as above	Sell	18,160	- 9,273	9,273
14/07/2020	as above	Sell	38,426	- 19,621	19,621
14/07/2020	as above	Sell	8,231	- 4,203	4,203
14/07/2020	as above	Sell	30,843	- 15,749	15,749
14/07/2020	as above	Sell	34,562	- 17,648	17,648
14/07/2020	as above	Sell	14,766	- 7,540	7,540
14/07/2020	as above	Sell	51,210	- 26,149	26,149
14/07/2020	as above	Sell	4,884	- 2,494	2,494
14/07/2020	as above	Sell	14,324	- 7,314	7,314
15/07/2020	as above	Sell	210,394	- 107,366	107,366
15/07/2020	as above	Sell	105,403	- 53,788	53,788
15/07/2020	as above	Sell	201,514	- 102,834	102,834
15/07/2020	as above	Sell	26,339	- 13,441	13,441
15/07/2020	as above	Sell	135,130	- 68,958	68,958
15/07/2020	as above	Sell	285,917	- 145,906	145,906
15/07/2020	as above	Sell	61,249	- 31,256	31,256
15/07/2020	as above	Sell	229,495	- 117,113	117,113
15/07/2020	as above	Sell	257,166	- 131,234	131,234
15/07/2020	as above	Sell	109,869	- 56,067	56,067
15/07/2020	as above	Sell	381,050	- 194,453	194,453
15/07/2020	as above	Sell	36,351	- 18,550	18,550
15/07/2020	as above	Sell	106,585	- 54,391	54,391
16/07/2020	as above	Sell	20,718	- 10,486	10,486
16/07/2020	as above	Sell	10,379	- 5,253	5,253
16/07/2020	as above	Sell	175,653	- 90,000	90,000
16/07/2020	as above	Sell	19,843	- 10,043	10,043
16/07/2020	as above	Sell	14,052	- 7,200	7,200
16/07/2020	as above	Sell	2,594	- 1,313	1,313
16/07/2020	as above	Sell	77,482	- 39,700	39,700
16/07/2020	as above	Sell	13,307	- 6,735	6,735
16/07/2020	as above	Sell	149,891	- 76,800	76,800
16/07/2020	as above	Sell	28,155	- 14,250	14,250
16/07/2020	as above	Sell	26,153	- 13,400	13,400
16/07/2020	as above	Sell	6,032	- 3,053	3,053
16/07/2020	as above	Sell	22,599	- 11,438	11,438
16/07/2020	as above	Sell	165,504	- 84,800	84,800
16/07/2020	as above	Sell	25,324	- 12,817	12,817
16/07/2020	as above	Sell	10,819	- 5,476	5,476
16/07/2020	as above	Sell	37,518	- 18,989	18,989
16/07/2020	as above	Sell	3,580	- 1,812	1,812
16/07/2020	as above	Sell	98,561	- 50,500	50,500
16/07/2020	as above	Sell	10,495	- 5,312	5,312
21/07/2020	as above	Sell	172,108	- 81,890	81,890
21/07/2020	as above	Sell	86,222	- 41,025	41,025
21/07/2020	as above	Sell	164,841	- 78,432	78,432
21/07/2020	as above	Sell	21,545	- 10,251	10,251
21/07/2020	as above	Sell	110,539	- 52,595	52,595
21/07/2020	as above	Sell	233,886	- 111,284	111,284
21/07/2020	as above	Sell	50,102	- 23,839	23,839
21/07/2020	as above	Sell	187,730	- 89,323	89,323
21/07/2020	as above	Sell	210,368	- 100,094	100,094
21/07/2020	as above	Sell	89,875	- 42,763	42,763
21/07/2020	as above	Sell	311,709	- 148,313	148,313
21/07/2020	as above	Sell	29,735	- 14,148	14,148
21/07/2020	as above	Sell	87,187	- 41,484	41,484

Date of Change	Holder of relevant interest	Nature of Change	Consideration	Number of Securities	Person's Votes Affected
22/07/2020	as above	Sell	80,144	- 38,184	38,184
22/07/2020	as above	Sell	40,379	- 19,238	19,238
22/07/2020	as above	Sell	76,075	- 36,245	36,245
22/07/2020	as above	Sell	9,957	- 4,744	4,744
22/07/2020	as above	Sell	51,085	- 24,339	24,339
22/07/2020	as above	Sell	108,018	- 51,464	51,464
22/07/2020	as above	Sell	23,153	- 11,031	11,031
22/07/2020	as above	Sell	86,821	- 41,365	41,365
22/07/2020	as above	Sell	97,133	- 46,278	46,278
22/07/2020	as above	Sell	41,565	- 19,803	19,803
22/07/2020	as above	Sell	144,247	- 68,725	68,725
22/07/2020	as above	Sell	13,769	- 6,560	6,560
22/07/2020	as above	Sell	40,221	- 19,163	19,163
23/07/2020	as above	Sell	488,546	- 233,497	233,497
23/07/2020	as above	Sell	274,868	- 132,148	132,148
23/07/2020	as above	Sell	245,858	- 117,506	117,506
23/07/2020	as above	Sell	138,326	- 66,503	66,503
23/07/2020	as above	Sell	462,917	- 221,248	221,248
23/07/2020	as above	Sell	260,447	- 125,215	125,215
23/07/2020	as above	Sell	60,505	- 28,918	28,918
23/07/2020	as above	Sell	34,043	- 16,367	16,367
23/07/2020	as above	Sell	310,723	- 148,508	148,508
23/07/2020	as above	Sell	174,822	- 84,049	84,049
23/07/2020	as above	Sell	657,516	- 314,255	314,255
23/07/2020	as above	Sell	369,934	- 177,853	177,853
23/07/2020	as above	Sell	140,831	- 67,309	67,309
23/07/2020	as above	Sell	79,233	- 38,093	38,093
23/07/2020	as above	Sell	528,839	- 252,755	252,755
23/07/2020	as above	Sell	297,538	- 143,047	143,047
23/07/2020	as above	Sell	596,481	- 285,084	285,084
23/07/2020	as above	Sell	335,593	- 161,343	161,343
23/07/2020	as above	Sell	253,124	- 120,979	120,979
23/07/2020	as above	Sell	142,413	- 68,468	68,468
23/07/2020	as above	Sell	878,145	- 419,703	419,703
23/07/2020	as above	Sell	494,062	- 237,530	237,530
23/07/2020	as above	Sell	83,759	- 40,032	40,032
23/07/2020	as above	Sell	47,124	- 22,656	22,656
23/07/2020	as above	Sell	244,801	- 117,001	117,001
23/07/2020	as above	Sell	137,731	- 66,217	66,217
19/08/2020	as above	Sell	528,493	- 211,821	211,821
19/08/2020	as above	Sell	662,393	- 264,777	264,777
19/08/2020	as above	Sell	528,496	- 211,822	211,822
19/08/2020	as above	Sell	261,997	- 105,009	105,009
19/08/2020	as above	Sell	328,376	- 131,261	131,261
19/08/2020	as above	Sell	261,997	- 105,009	105,009
19/08/2020	as above	Sell	482,196	- 193,265	193,265
19/08/2020	as above	Sell	604,366	- 241,582	241,582
19/08/2020	as above	Sell	482,196	- 193,265	193,265
19/08/2020	as above	Sell	31,499	- 12,625	12,625
19/08/2020	as above	Sell	39,482	- 15,782	15,782
19/08/2020	as above	Sell	31,502	- 12,626	12,626
19/08/2020	as above	Sell	161,546	- 64,748	64,748
19/08/2020	as above	Sell	202,475	- 80,935	80,935
19/08/2020	as above	Sell	161,546	- 64,748	64,748
19/08/2020	as above	Sell	685,616	- 274,796	274,796
19/08/2020	as above	Sell	859,324	- 343,496	343,496
19/08/2020	as above	Sell	685,616	- 274,796	274,796
19/08/2020	as above	Sell	146,903	- 58,879	58,879
19/08/2020	as above	Sell	184,120	- 73,598	73,598
19/08/2020	as above	Sell	146,901	- 58,878	58,878
19/08/2020	as above	Sell	552,827	- 221,574	221,574
19/08/2020	as above	Sell	692,888	- 276,967	276,967

<b>Date of Change</b>	<b>Holder of relevant interest</b>	<b>Nature of Change</b>	<b>Consideration</b>	<b>Number of Securities</b>	<b>Person's Votes Affected</b>
19/08/2020	as above	Sell	552,825	- 221,573	221,573
19/08/2020	as above	Sell	616,437	- 247,069	247,069
19/08/2020	as above	Sell	772,615	- 308,836	308,836
19/08/2020	as above	Sell	616,437	- 247,069	247,069
19/08/2020	as above	Sell	264,590	- 106,048	106,048
19/08/2020	as above	Sell	331,628	- 132,561	132,561
19/08/2020	as above	Sell	264,590	- 106,048	106,048
19/08/2020	as above	Sell	914,819	- 366,661	366,661
19/08/2020	as above	Sell	1,146,587	- 458,323	458,323
19/08/2020	as above	Sell	914,819	- 366,661	366,661
19/08/2020	as above	Sell	88,093	- 35,308	35,308
19/08/2020	as above	Sell	110,415	- 44,136	44,136
19/08/2020	as above	Sell	88,096	- 35,309	35,309
19/08/2020	as above	Sell	254,982	- 102,197	102,197
19/08/2020	as above	Sell	319,582	- 127,746	127,746
19/08/2020	as above	Sell	254,979	- 102,196	102,196

**Total Number of Securities**

**-6,596,785**