



Announcement Summary

Entity name

ALUMINA LIMITED

Date of this announcement

Monday February 06, 2023

The +securities the subject of this notification are:

☒ Other

Total number of +securities to be issued/transferred

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
New class - code to be confirmed	Conditional Share Rights 2022 Tranche	288,317	03/02/2022

Refer to next page for full details of the announcement



## Part 1 - Entity and announcement details

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### 1.1 Name of entity

ALUMINA LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

### 1.2 Registered number type

ABN

### Registration number

85004820419

### 1.3 ASX issuer code

AWC

### 1.4 The announcement is

☒ New announcement

### 1.5 Date of this announcement

6/2/2023



## Part 2 - Issue details

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### 2.1 The +securities the subject of this notification are:

☒ Other

#### Please specify

Please refer to Part 3C "any other information"

### 2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:

☒ does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

**New +securities****ASX +security code**

New class - code to be confirmed

**+Security description**

Conditional Share Rights 2022 Tranche

**+Security type**

Other

**ISIN code****Date the +securities the subject of this notification were issued**

3/2/2022

**Will all the +securities issued in this class rank equally in all respects from their issue date?**☒ Yes

**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**

**Any other information the entity wishes to provide about the +securities the subject of this notification**

Conditional Share Rights (to AWC ordinary shares) granted to the Chief Executive Officer (CEO) and Chief Financial Officer (CFO) of AWC issued under the Terms of Employment Contract. The Rights granted in February 2022 have vested on the satisfactory completion of required conditions.

The underlying shares were purchased on market and are held by the Alumina Employee Share Plan Pty Ltd subject to vesting on the completion of the required conditions. The shares relating to the February 2022 grant will be transferred into the name of the CEO and CFO.

Conditional Share Rights vest and are transferred to eligible employees after one year as shares. There is a three-year trading restriction on the shares from grant date as long as continuous employment is maintained. The value of the equity remains subject to performance of the Company's share price.

Number of securities issue 288,317 (KMP: 288,317, Other: nil)

**Please provide any further information needed to understand the circumstances in which you are notifying the issue of these +securities to ASX, including why the issue of the +securities has not been previously announced to the market in an Appendix 3B**

The Rights granted in February 2022 have vested on the satisfactory completion of required conditions.

The underlying shares were purchased on market and are held by the Alumina Employee Share Plan Pty Ltd subject to vesting on the completion of the required conditions. Hence there is no issuance of AWC securities requiring an Appendix 3B.



Issue details

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**Number of +securities**

288,317

**Were the +securities issued for a cash consideration?**

☒ No

**Please describe the consideration being provided for the +securities**

The Conditional Share Rights (to AWC ordinary shares) were granted to the CEO and CFO of AWC issued under the Terms of their respective Employment Contract.

**Purpose of the issue**

To pay for services rendered

**Additional Details**

Conditional Share Rights (AWC Ordinary Shares) granted to the CEO/CFO under the Terms of Employment

Part 4 - +Securities on issue

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Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

ASX +security code and description	Total number of +securities on issue
AWC : ORDINARY FULLY PAID	2,901,681,417

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

ASX +security code and description	Total number of +securities on issue
AWCAC : PERFORMANCE RIGHTS	824,400
AWCAB : SHARE RIGHTS	516,300
New class - code to be confirmed : Conditional Share Rights 2022 Tranche	288,317



## Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

☒ Yes

**5.1a Select the number of the applicable exception in Listing Rule 7.2**

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