

## Form 604

Corporations Act 2001

Section 671B

### Notice of change of interests of substantial holder

To Company Name/Scheme **Lynas Corporation Limited**

ACN/ARSN **009 066 648**

#### 1. Details of substantial holder (1)

Name **Ausbil Investment Management Limited**

ACN/ARSN (if applicable) **076 316 473**

There was a change in the interests of the substantial holder on **01/09/2020**

The previous notice was given to the company on **12/07/2019**

The previous notice was dated **10/07/2019**

#### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary Fully Paid	44,973,094	6.735%	66,698,196	7.838%

#### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
1/09/20	Ausbil Investment Management limited	Refer to Annexure B	Refer to Annexure B	+21,725,102 Ordinary	+1.103%

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Ausbil Investment Management Limited	Refer to Annexure A	Refer to Annexure A	Refer to Annexure A	66,698,196 Ordinary	7.838%

--	--	--	--	--	--

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

**6. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Ausbil Investment Management Limited	Level 27, 225 George Street, Sydney NSW 2000

**Signature**

print name Jane Lamming

capacity Chief Compliance Officer

sign here



date 03/09/2020

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
  - (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
  - (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
  - (4) The voting shares of a company constitute one class unless divided into separate classes.
  - (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
  - (6) Include details of:
    - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
    - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).
- See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
  - (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".



Annexure A Ausbil Investment Management Limited ABN: 26 076 316 473

Relevant interest in: Lynas Corporation Limited

National Nominees Limited (NNL) and various other custodians hold shares in the Company as custodian and for which Ausbil is either:

- The responsible entity or trustee of a pooled investment product such as managed investment schemes (funds); or
- The investment manager of Portfolios held for a number of institutional investors. The institutional investors are generally superannuation funds regulated under the Superannuation Industry Supervision Act 1993.

The holding of shares in the Company was acquired as part of a wide portfolio of Australian shares acquired for the Funds and Portfolios.

Ausbil and the Custodians may hold relevant interests due to the capacity of these entities to dispose of the shares in the Company.

Each investor in a fund owns an individual interest in the Fund's assets; no investor directly owns any asset of the Fund. The unit holding of each Fund varies from time to time as clients enter and withdraw from the Funds. The various institutional investors with portfolios delegate the management of the Portfolios to Ausbil.

A handwritten signature in black ink, appearing to read "Jane S.", written over a horizontal line.

Signed 03/9/2020

Jane Lamming  
Chief Compliance Officer

## Annexure B

Ausbil Investment Management Limited  
Lynas Corporation Limited

Ticker	As of Date	Settle Date	B/S	Amount	Net Amount	Note
LYC	01/09/2020	03/09/2020	S	30427	\$ 73,103.91	
LYC	01/09/2020	03/09/2020	S	409873	\$ 976,604.40	
LYC	01/09/2020	03/09/2020	S	79663	\$ 189,813.03	
LYC	01/09/2020	03/09/2020	S	7759	\$ 18,641.77	
LYC	01/09/2020	01/09/2020	B	4790270	\$ 11,400,842.60	IN SPECIES
LYC	01/09/2020	01/09/2020	S	4842998	\$ 11,526,335.24	IN SPECIES
LYC	01/09/2020	01/09/2020	B	3850621	\$ 9,164,477.98	IN SPECIES
LYC	28/08/2020	01/09/2020	S	66769	\$ 160,252.28	
LYC	28/08/2020	01/09/2020	S	343629	\$ 824,743.96	
LYC	27/08/2020	31/08/2020	S	73104	\$ 177,591.55	
LYC	27/08/2020	31/08/2020	S	376026	\$ 913,479.96	
LYC	26/08/2020	28/08/2020	S	73536	\$ 174,898.02	
LYC	26/08/2020	28/08/2020	S	379766	\$ 903,235.45	
LYC	26/08/2020	26/08/2020	B	26820	\$ 61,686.00	
LYC	25/08/2020	27/08/2020	S	71982	\$ 178,774.50	
LYC	25/08/2020	27/08/2020	S	374131	\$ 929,191.75	
LYC	25/08/2020	27/08/2020	B	50000	\$ 124,515.00	
LYC	21/08/2020	25/08/2020	S	297536	\$ 745,089.65	
LYC	21/08/2020	25/08/2020	S	57287	\$ 143,458.11	
LYC	21/08/2020	25/08/2020	S	35000	\$ 86,800.00	
LYC	20/08/2020	24/08/2020	S	494093	\$ 1,246,349.59	
LYC	20/08/2020	24/08/2020	S	106351	\$ 264,813.99	
LYC	20/08/2020	24/08/2020	S	105907	\$ 267,150.41	
LYC	19/08/2020	25/08/2020	B	585880	\$ 1,347,524.00	
LYC	19/08/2020	25/08/2020	B	3033182	\$ 6,976,318.60	
LYC	19/08/2020	25/08/2020	B	43960	\$ 101,108.00	
LYC	19/08/2020	25/08/2020	B	1461920	\$ 3,362,416.00	
LYC	19/08/2020	25/08/2020	B	303126	\$ 697,189.80	
LYC	19/08/2020	25/08/2020	B	72602	\$ 166,984.60	
LYC	19/08/2020	25/08/2020	B	105369	\$ 242,348.70	
LYC	19/08/2020	25/08/2020	B	650772	\$ 1,496,775.60	
LYC	19/08/2020	25/08/2020	B	217709	\$ 500,730.70	
LYC	19/08/2020	25/08/2020	B	127697	\$ 293,703.10	
LYC	19/08/2020	25/08/2020	B	534297	\$ 1,228,883.10	
LYC	19/08/2020	25/08/2020	B	2489560	\$ 5,725,528.00	
LYC	19/08/2020	25/08/2020	B	216285	\$ 497,455.50	
LYC	19/08/2020	25/08/2020	B	135050	\$ 310,615.00	
LYC	19/08/2020	25/08/2020	B	1374369	\$ 3,161,048.70	
LYC	19/08/2020	25/08/2020	B	701980	\$ 1,614,554.00	
LYC	19/08/2020	25/08/2020	B	54394	\$ 125,106.20	
LYC	19/08/2020	25/08/2020	B	1955846	\$ 4,498,445.80	
LYC	19/08/2020	25/08/2020	B	32600	\$ 74,980.00	
LYC	19/08/2020	25/08/2020	B	780656	\$ 1,795,508.80	
LYC	19/08/2020	25/08/2020	B	259812	\$ 597,567.60	
LYC	19/08/2020	25/08/2020	B	80504	\$ 185,159.20	
LYC	19/08/2020	25/08/2020	B	1219401	\$ 2,804,622.30	
LYC	19/08/2020	25/08/2020	B	244474	\$ 562,290.20	
LYC	19/08/2020	25/08/2020	B	86956	\$ 199,998.80	
LYC	19/08/2020	25/08/2020	B	3137391	\$ 7,215,999.30	
LYC	19/08/2020	25/08/2020	B	139972	\$ 321,935.60	
LYC	19/08/2020	25/08/2020	B	65122	\$ 149,780.60	
LYC	19/08/2020	25/08/2020	B	574441	\$ 1,321,214.30	
LYC	19/08/2020	21/08/2020	S	5603	\$ 14,026.51	
LYC	19/08/2020	21/08/2020	S	10420	\$ 25,529.00	
LYC	13/08/2020	17/08/2020	S	213795	\$ 552,660.08	
LYC	13/08/2020	17/08/2020	S	46016	\$ 118,951.36	
LYC	10/08/2020	12/08/2020	B	76442	\$ 196,968.10	
LYC	10/08/2020	12/08/2020	B	135612	\$ 349,431.44	
LYC	10/08/2020	12/08/2020	B	355173	\$ 915,174.27	
LYC	04/08/2020	04/08/2020	B	666815	\$ 1,640,364.90	IN SPECIES
LYC	03/08/2020	05/08/2020	B	398787	\$ 916,891.07	
LYC	03/08/2020	05/08/2020	B	86004	\$ 197,740.40	
LYC	31/07/2020	04/08/2020	B	1266	\$ 2,838.12	
LYC	30/07/2020	03/08/2020	B	100438	\$ 228,205.18	
LYC	30/07/2020	03/08/2020	B	147834	\$ 335,893.63	
LYC	30/07/2020	03/08/2020	B	684946	\$ 1,556,265.81	
LYC	28/07/2020	30/07/2020	B	484572	\$ 1,170,144.47	
LYC	28/07/2020	30/07/2020	B	104661	\$ 252,735.38	
LYC	27/07/2020	29/07/2020	B	829905	\$ 1,981,647.16	
LYC	27/07/2020	29/07/2020	B	179240	\$ 427,989.27	
LYC	24/07/2020	28/07/2020	B	265390	\$ 576,533.24	
LYC	23/07/2020	27/07/2020	B	12500	\$ 26,573.75	
LYC	23/07/2020	27/07/2020	B	8200	\$ 17,432.38	
LYC	23/07/2020	27/07/2020	B	162500	\$ 345,458.75	
LYC	23/07/2020	27/07/2020	B	74000	\$ 157,316.60	
LYC	23/07/2020	27/07/2020	B	82800	\$ 176,024.52	
LYC	23/07/2020	27/07/2020	B	10000	\$ 21,259.00	
LYC	23/07/2020	27/07/2020	B	150000	\$ 318,885.00	
LYC	20/07/2020	22/07/2020	S	36000	\$ 71,715.60	
LYC	16/07/2020	20/07/2020	B	50000	\$ 101,500.00	
LYC	24/06/2020	26/06/2020	B	150705	\$ 298,124.63	
LYC	23/06/2020	25/06/2020	B	93206	\$ 188,770.11	
LYC	23/06/2020	25/06/2020	B	33264	\$ 67,432.78	

LYC	23/06/2020	25/06/2020	B	179708	\$	363,962.61
LYC	23/06/2020	25/06/2020	B	39936	\$	80,882.38
LYC	11/06/2020	15/06/2020	B	1313	\$	2,744.17
LYC	04/06/2020	09/06/2020	B	55000	\$	116,490.00
LYC	02/06/2020	04/06/2020	S	1342	\$	2,831.62
LYC	25/05/2020	27/05/2020	S	51659	\$	107,512.71
LYC	25/05/2020	27/05/2020	S	198341	\$	412,787.29
LYC	20/05/2020	22/05/2020	B	1565	\$	2,950.03
LYC	08/05/2020	12/05/2020	S	185964	\$	326,682.96
LYC	08/05/2020	12/05/2020	B	118458	\$	208,580.85
LYC	07/05/2020	11/05/2020	S	98895	\$	175,607.85
LYC	07/05/2020	11/05/2020	S	381798	\$	677,958.71
LYC	30/04/2020	04/05/2020	B	45396	\$	79,216.02
LYC	27/04/2020	29/04/2020	S	1060161	\$	1,645,899.95
LYC	23/04/2020	27/04/2020	S	83236	\$	134,017.18
LYC	23/04/2020	27/04/2020	S	711610	\$	1,145,478.62
LYC	23/04/2020	27/04/2020	S	44676	\$	71,914.96
LYC	23/04/2020	27/04/2020	S	338622	\$	545,079.83
LYC	23/04/2020	27/04/2020	S	80000	\$	129,992.00
LYC	23/04/2020	27/04/2020	S	46685	\$	76,096.55
LYC	23/04/2020	27/04/2020	S	59934	\$	97,692.42
LYC	23/04/2020	27/04/2020	S	11479	\$	18,710.77
LYC	23/04/2020	27/04/2020	S	3780	\$	6,161.40
LYC	22/04/2020	24/04/2020	S	307367	\$	425,242.24
LYC	22/04/2020	24/04/2020	S	71084	\$	98,344.71
LYC	22/04/2020	24/04/2020	S	215688	\$	298,404.35
LYC	16/04/2020	20/04/2020	S	41801	\$	61,823.68
LYC	16/04/2020	20/04/2020	S	46673	\$	69,029.37
LYC	16/04/2020	20/04/2020	S	225769	\$	333,912.35
LYC	16/04/2020	20/04/2020	S	733009	\$	1,104,278.06
LYC	16/04/2020	20/04/2020	S	187738	\$	282,827.30
LYC	15/04/2020	17/04/2020	S	80000	\$	130,112.00
LYC	06/04/2020	08/04/2020	S	721417	\$	961,216.01
LYC	06/04/2020	08/04/2020	S	188816	\$	251,578.44
LYC	31/03/2020	02/04/2020	S	90629	\$	127,188.74
LYC	31/03/2020	02/04/2020	S	324843	\$	456,025.01
LYC	23/03/2020	25/03/2020	S	116597	\$	127,475.50
LYC	13/03/2020	17/03/2020	S	1696916	\$	2,015,766.52
LYC	13/03/2020	17/03/2020	S	396656	\$	471,187.66
LYC	13/03/2020	17/03/2020	S	187223	\$	211,674.32
LYC	13/03/2020	17/03/2020	S	570062	\$	675,694.49
LYC	12/03/2020	16/03/2020	S	100000	\$	133,880.00
LYC	04/03/2020	06/03/2020	S	248035	\$	431,655.31
LYC	04/03/2020	06/03/2020	S	1041054	\$	1,811,746.28
LYC	28/02/2020	03/03/2020	B	811299	\$	1,516,074.44
LYC	28/02/2020	03/03/2020	B	193084	\$	360,816.07
LYC	27/02/2020	02/03/2020	B	75000	\$	156,375.00
LYC	26/02/2020	28/02/2020	S	1211725	\$	2,329,904.83
LYC	26/02/2020	28/02/2020	S	288275	\$	554,295.17
LYC	25/02/2020	27/02/2020	S	144684	\$	293,896.61
LYC	25/02/2020	27/02/2020	S	608241	\$	1,235,519.94
LYC	19/02/2020	21/02/2020	B	357609	\$	786,525.23
LYC	19/02/2020	21/02/2020	B	109110	\$	239,976.53
LYC	19/02/2020	21/02/2020	B	247972	\$	545,389.62
LYC	13/02/2020	17/02/2020	B	7000	\$	15,763.30
LYC	13/02/2020	17/02/2020	B	10000	\$	22,519.00
LYC	13/02/2020	17/02/2020	B	7000	\$	15,763.30
LYC	13/02/2020	17/02/2020	B	200000	\$	450,380.00
LYC	13/02/2020	17/02/2020	B	230000	\$	517,937.00
LYC	13/02/2020	17/02/2020	B	31000	\$	69,808.90
LYC	13/02/2020	17/02/2020	B	100000	\$	225,190.00
LYC	13/02/2020	17/02/2020	B	1129789	\$	2,566,315.71
LYC	13/02/2020	17/02/2020	B	250000	\$	562,975.00
LYC	13/02/2020	17/02/2020	B	10000	\$	22,519.00
LYC	13/02/2020	17/02/2020	B	48000	\$	108,091.20
LYC	13/02/2020	17/02/2020	B	80000	\$	180,152.00
LYC	13/02/2020	17/02/2020	B	5000	\$	11,259.50
LYC	13/02/2020	17/02/2020	B	76000	\$	171,144.40
LYC	13/02/2020	17/02/2020	B	268457	\$	609,800.08
LYC	13/02/2020	17/02/2020	B	40000	\$	87,200.00
LYC	13/02/2020	17/02/2020	B	82000	\$	184,655.80
LYC	05/02/2020	07/02/2020	B	684323	\$	1,483,543.83
LYC	05/02/2020	07/02/2020	B	162890	\$	353,129.23
LYC	30/01/2020	03/02/2020	S	105837	\$	226,639.35
LYC	30/01/2020	03/02/2020	S	444349	\$	951,528.95
LYC	29/01/2020	31/01/2020	B	184299	\$	410,102.13
LYC	22/01/2020	24/01/2020	S	87386	\$	209,306.95
LYC	22/01/2020	24/01/2020	S	367449	\$	880,113.84
LYC	21/01/2020	23/01/2020	S	123823	\$	299,020.16
LYC	21/01/2020	23/01/2020	S	519534	\$	1,254,622.66
LYC	20/01/2020	22/01/2020	B	10767	\$	26,412.53
LYC	20/01/2020	22/01/2020	B	205587	\$	504,325.47
LYC	20/01/2020	22/01/2020	B	183622	\$	450,443.13
LYC	20/01/2020	22/01/2020	B	60099	\$	147,428.86
LYC	20/01/2020	22/01/2020	B	8786	\$	21,552.94
LYC	20/01/2020	22/01/2020	B	2159	\$	5,286.24
LYC	20/01/2020	22/01/2020	B	9238	\$	22,661.74
LYC	20/01/2020	22/01/2020	B	39045	\$	95,781.29

LYC	20/01/2020	22/01/2020	B	61702	\$	151,361.18	
LYC	20/01/2020	22/01/2020	B	67040	\$	164,455.82	
LYC	20/01/2020	22/01/2020	B	146354	\$	359,021.00	
LYC	20/01/2020	22/01/2020	B	4866	\$	11,936.78	
LYC	20/01/2020	22/01/2020	B	526	\$	1,290.33	
LYC	20/01/2020	22/01/2020	B	24076	\$	59,060.84	
LYC	20/01/2020	22/01/2020	B	29248	\$	71,748.27	
LYC	20/01/2020	22/01/2020	B	96885	\$	237,668.59	
LYC	13/01/2020	15/01/2020	B	14221	\$	34,055.03	
LYC	09/01/2020	13/01/2020	B	96702	\$	230,363.50	
LYC	09/01/2020	13/01/2020	B	410332	\$	977,492.89	
LYC	07/01/2020	09/01/2020	B	177725	\$	418,560.15	
LYC	06/01/2020	08/01/2020	B	248489	\$	573,338.67	
LYC	06/01/2020	08/01/2020	B	58646	\$	135,313.92	
LYC	27/12/2019	31/12/2019	B	163579	\$	392,998.55	
LYC	27/12/2019	31/12/2019	B	286400	\$	688,076.00	
LYC	27/12/2019	31/12/2019	B	67651	\$	162,531.53	
LYC	13/12/2019	17/12/2019	B	1226902	\$	3,078,297.12	
LYC	13/12/2019	17/12/2019	B	289537	\$	726,448.33	
LYC	12/12/2019	16/12/2019	B	1091734	\$	2,586,828.83	
LYC	12/12/2019	16/12/2019	B	257729	\$	611,152.78	
LYC	09/12/2019	11/12/2019	B	228758	\$	479,408.14	
LYC	11/11/2019	11/11/2019	B	347533	\$	841,029.86	IN SPECIES
LYC	08/11/2019	12/11/2019	S	63000	\$	155,647.80	
LYC	08/11/2019	12/11/2019	B	63000	\$	155,647.80	
LYC	18/10/2019	22/10/2019	S	86719	\$	219,745.95	
LYC	18/10/2019	22/10/2019	S	376950	\$	955,191.30	
LYC	17/10/2019	21/10/2019	S	353365	\$	920,021.11	
LYC	17/10/2019	21/10/2019	S	83650	\$	217,791.14	
LYC	16/10/2019	18/10/2019	B	3000	\$	8,059.20	
LYC	15/10/2019	17/10/2019	S	95902	\$	253,852.59	
LYC	15/10/2019	17/10/2019	S	404098	\$	1,069,647.41	
LYC	14/10/2019	16/10/2019	B	1000	\$	2,647.00	
LYC	14/10/2019	16/10/2019	B	3600	\$	9,529.20	
LYC	14/10/2019	16/10/2019	B	7800	\$	20,646.60	
LYC	14/10/2019	16/10/2019	B	73000	\$	193,231.00	
LYC	14/10/2019	16/10/2019	B	18000	\$	47,646.00	
LYC	14/10/2019	16/10/2019	B	6500	\$	17,205.50	
LYC	14/10/2019	16/10/2019	B	20000	\$	52,940.00	
LYC	14/10/2019	16/10/2019	B	10000	\$	26,470.00	
LYC	14/10/2019	16/10/2019	S	337717	\$	890,863.67	
LYC	14/10/2019	16/10/2019	S	80169	\$	211,477.81	
LYC	10/10/2019	14/10/2019	B	347724	\$	912,462.55	
LYC	10/10/2019	14/10/2019	B	82523	\$	216,548.60	
LYC	03/10/2019	07/10/2019	B	404110	\$	1,024,418.85	
LYC	03/10/2019	07/10/2019	B	95890	\$	243,081.15	
LYC	24/09/2019	26/09/2019	B	13000	\$	34,322.60	
LYC	24/09/2019	26/09/2019	B	42800	\$	113,000.56	
LYC	24/09/2019	26/09/2019	B	1500	\$	3,960.30	
LYC	24/09/2019	26/09/2019	S	581364	\$	1,534,917.23	
LYC	24/09/2019	26/09/2019	B	31600	\$	83,430.32	
LYC	24/09/2019	26/09/2019	B	6800	\$	17,953.36	
LYC	24/09/2019	26/09/2019	B	16000	\$	42,243.20	
LYC	24/09/2019	26/09/2019	B	63000	\$	166,332.60	
LYC	24/09/2019	26/09/2019	B	5000	\$	13,201.00	
LYC	24/09/2019	26/09/2019	B	1900	\$	5,016.38	
LYC	24/09/2019	26/09/2019	B	29000	\$	76,565.80	
LYC	24/09/2019	26/09/2019	B	42800	\$	113,000.56	
LYC	24/09/2019	26/09/2019	B	6500	\$	17,161.30	
LYC	24/09/2019	26/09/2019	B	53000	\$	139,930.60	
LYC	24/09/2019	26/09/2019	B	6000	\$	15,841.20	
LYC	24/09/2019	26/09/2019	B	136464	\$	360,292.25	
LYC	24/09/2019	26/09/2019	B	126000	\$	332,665.20	
LYC	16/09/2019	18/09/2019	S	133049	\$	341,975.84	
LYC	16/09/2019	18/09/2019	S	181330	\$	466,126.90	
LYC	16/09/2019	18/09/2019	S	43026	\$	110,602.64	
LYC	13/09/2019	17/09/2019	S	357072	\$	916,389.58	
LYC	13/09/2019	17/09/2019	S	84029	\$	215,652.03	
LYC	13/09/2019	17/09/2019	S	93226	\$	238,994.17	
LYC	12/09/2019	16/09/2019	S	167876	\$	430,954.48	
LYC	10/09/2019	12/09/2019	S	44041	\$	107,609.78	
LYC	10/09/2019	12/09/2019	S	184018	\$	449,629.58	
LYC	09/09/2019	11/09/2019	S	37041	\$	92,632.13	
LYC	06/09/2019	10/09/2019	S	213769	\$	538,077.95	
LYC	06/09/2019	10/09/2019	S	893592	\$	2,249,260.42	
LYC	28/08/2019	30/08/2019	S	159430	\$	369,558.74	
LYC	26/08/2019	28/08/2019	S	172386	\$	395,901.69	
LYC	26/08/2019	28/08/2019	S	141500	\$	324,940.60	
LYC	26/08/2019	28/08/2019	S	591681	\$	1,358,736.25	
LYC	23/08/2019	27/08/2019	S	322420	\$	741,566.00	
LYC	23/08/2019	27/08/2019	S	772922	\$	1,768,909.29	
LYC	23/08/2019	27/08/2019	S	184729	\$	422,770.79	
LYC	23/08/2019	27/08/2019	S	66300	\$	151,840.26	
LYC	23/08/2019	27/08/2019	S	333446	\$	763,658.03	
LYC	20/08/2019	21/08/2019	S	103604	\$	266,262.28	IN SPECIES
LYC	15/08/2019	19/08/2019	S	816119	\$	2,186,137.97	
LYC	15/08/2019	19/08/2019	S	194710	\$	521,569.68	
LYC	14/08/2019	16/08/2019	B	9000	\$	25,035.30	

LYC	14/08/2019	16/08/2019	B	1200	\$	3,338.04
LYC	14/08/2019	16/08/2019	B	20000	\$	55,634.00
LYC	14/08/2019	16/08/2019	B	121000	\$	336,585.70
LYC	14/08/2019	16/08/2019	B	122000	\$	339,367.40
LYC	14/08/2019	16/08/2019	B	40000	\$	111,268.00
LYC	14/08/2019	16/08/2019	B	6500	\$	18,081.05
LYC	14/08/2019	16/08/2019	B	11000	\$	30,598.70
LYC	14/08/2019	16/08/2019	B	22000	\$	61,197.40
LYC	14/08/2019	16/08/2019	B	6000	\$	16,690.20
LYC	14/08/2019	16/08/2019	B	59000	\$	164,120.30
LYC	14/08/2019	16/08/2019	B	60000	\$	166,902.00
LYC	14/08/2019	16/08/2019	B	1400	\$	3,894.38
LYC	14/08/2019	16/08/2019	B	33000	\$	91,796.10
LYC	14/08/2019	16/08/2019	B	120000	\$	333,804.00
LYC	14/08/2019	16/08/2019	B	63000	\$	175,247.10
LYC	06/08/2019	08/08/2019	B	383164	\$	1,023,009.56
LYC	06/08/2019	08/08/2019	B	152225	\$	406,425.53
LYC	06/08/2019	08/08/2019	B	334326	\$	908,263.44
LYC	06/08/2019	08/08/2019	B	534296	\$	1,453,285.12
LYC	06/08/2019	08/08/2019	B	127434	\$	346,620.48
LYC	02/08/2019	06/08/2019	B	544470	\$	1,465,168.77
LYC	02/08/2019	06/08/2019	B	130182	\$	350,319.76
LYC	02/08/2019	06/08/2019	B	12800	\$	34,156.80
LYC	02/08/2019	06/08/2019	B	20000	\$	53,370.00
LYC	02/08/2019	06/08/2019	B	1600	\$	4,269.60
LYC	02/08/2019	06/08/2019	B	120000	\$	320,220.00
LYC	02/08/2019	06/08/2019	B	28000	\$	74,718.00
LYC	02/08/2019	06/08/2019	B	192800	\$	514,486.80
LYC	02/08/2019	06/08/2019	B	8000	\$	21,348.00
LYC	02/08/2019	06/08/2019	B	13600	\$	36,291.60
LYC	02/08/2019	06/08/2019	B	72000	\$	192,132.00
LYC	02/08/2019	06/08/2019	B	56000	\$	149,436.00
LYC	02/08/2019	06/08/2019	B	28000	\$	74,718.00
LYC	02/08/2019	06/08/2019	B	5600	\$	14,943.60
LYC	02/08/2019	06/08/2019	B	108000	\$	288,198.00
LYC	02/08/2019	06/08/2019	B	2000	\$	5,337.00
LYC	02/08/2019	06/08/2019	B	28000	\$	74,718.00
LYC	02/08/2019	06/08/2019	B	44000	\$	117,414.00
LYC	02/08/2019	06/08/2019	B	7600	\$	20,280.60
LYC	02/08/2019	06/08/2019	B	52000	\$	138,762.00
LYC	30/07/2019	01/08/2019	B	31006	\$	78,869.96
LYC	30/07/2019	01/08/2019	B	172684	\$	439,256.29
LYC	30/07/2019	01/08/2019	B	133419	\$	339,377.91
LYC	30/07/2019	01/08/2019	B	179804	\$	457,367.43
LYC	30/07/2019	01/08/2019	B	20689	\$	52,626.61
LYC	30/07/2019	01/08/2019	B	6462	\$	16,437.39
LYC	30/07/2019	01/08/2019	B	91134	\$	130,044.12
LYC	30/07/2019	01/08/2019	B	6538	\$	16,630.71
LYC	30/07/2019	01/08/2019	B	83052	\$	180,385.87
LYC	30/07/2019	01/08/2019	B	61547	\$	156,557.10
LYC	30/07/2019	01/08/2019	B	125427	\$	319,048.66
LYC	30/07/2019	01/08/2019	B	22871	\$	58,176.96
LYC	30/07/2019	01/08/2019	B	1521	\$	3,868.97
LYC	30/07/2019	01/08/2019	B	14433	\$	36,713.22
LYC	30/07/2019	01/08/2019	B	49654	\$	126,304.88
LYC	30/07/2019	01/08/2019	B	15225	\$	38,727.83
LYC	30/07/2019	01/08/2019	B	33108	\$	84,216.82
LYC	30/07/2019	01/08/2019	B	9175	\$	23,338.45
LYC	30/07/2019	01/08/2019	B	2261	\$	5,751.31
LYC	30/07/2019	01/08/2019	B	84680	\$	216,018.68
LYC	30/07/2019	01/08/2019	B	354471	\$	904,255.52
LYC	29/07/2019	31/07/2019	B	113923	\$	299,287.11
LYC	29/07/2019	31/07/2019	B	27222	\$	71,514.92
LYC	16/07/2019	18/07/2019	B	2869	\$	7,746.30
LYC	16/07/2019	18/07/2019	B	23335	\$	63,004.50
LYC	16/07/2019	18/07/2019	B	42469	\$	114,666.30
LYC	16/07/2019	18/07/2019	B	12721	\$	34,346.70
LYC	16/07/2019	18/07/2019	B	18997	\$	51,291.90
LYC	16/07/2019	18/07/2019	B	1229	\$	3,318.30
LYC	16/07/2019	18/07/2019	B	30529	\$	82,428.30
LYC	16/07/2019	18/07/2019	B	4436	\$	11,977.20
LYC	16/07/2019	18/07/2019	B	8969	\$	24,216.30
LYC	16/07/2019	18/07/2019	B	45338	\$	122,412.60
LYC	16/07/2019	18/07/2019	B	92294	\$	249,193.80
LYC	16/07/2019	18/07/2019	B	54816	\$	148,003.20
LYC	16/07/2019	18/07/2019	B	4731	\$	12,773.70
LYC	16/07/2019	18/07/2019	B	18509	\$	49,974.30
LYC	16/07/2019	18/07/2019	B	3122	\$	8,429.40
LYC	16/07/2019	18/07/2019	B	395	\$	1,066.50

21725102

Jane Lamming  
Chief Compliance Officer  
3/09/2020