



**Pinnacle**

Pinnacle Fund Services Limited  
A member of the Pinnacle Group  
ABN No: 29 082 494 362  
AFSL 238371

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1300 360 306

11 January 2017

## **Plato Global Shares Income Fund (Managed Risk) (ARSN 610 438 269)**

### **Notice under section 601NC of the *Corporations Act 2001* (Cth) (Act)**

Dear Investor,

This letter is intended to provide you, as a member of the Plato Global Shares Income Fund (Managed Risk) (the **Fund**), with information about the proposed closure of the Fund and to provide you with sufficient time to assess your individual circumstances.

Pinnacle Fund Services Limited (the **Responsible Entity**) has reviewed the strategic options available to the Fund and concluded that the Fund cannot accomplish its investment objectives and strategy as it is unlikely that it will achieve the necessary scale to sustain the costs associated with operating the Fund. Accordingly, the Responsible Entity has determined that it is in the best interests of the members to wind up the Fund.

The Responsible Entity is obliged to inform members of the Responsible Entity's intention to commence winding up the Fund on 8 February 2017, being 28 days from the date of this letter unless a meeting is called by the members during this period and determine otherwise.

The members of the Fund are entitled to have a meeting called to consider, and vote on any extraordinary resolution the members propose in relation to, the winding up of the Fund. A meeting may be called by:

- members representing at least 5% of the units on issue giving a written request to the Responsible Entity in accordance with section 252B of the Act;
- members representing at least 5% of the units on issue calling and arranging a meeting of members themselves in accordance with section 252D of the Act; or
- a court on application of a member entitled to vote if it is impracticable to call the meeting in any other way under section 252E of the Act.

If a meeting is called, the required steps and timetable for the winding up may vary and will depend on the nature of the extraordinary resolution(s) members propose about the winding up and whether it is passed.

Should the members not call a meeting as described above, the Responsible Entity is permitted to wind up the Fund after 28 days of this notice.

### **What do you need to do?**

#### Applications

Applications for new units in the Fund will not be accepted from the date of this notice.

#### Redemptions

Members are able to redeem at any time between the date of this notice and the proposed winding up commencement date, as long as the Responsible Entity determines that redemption does not adversely

impact the position of the remaining members. Under such circumstances, the Responsible Entity will instruct a special distribution to facilitate the redemption.

Members are requested to consider their options, and in the event that any further information is required, are invited to call me on +61 7 3107 2801 or by email at [alex.ihlenfeldt@pinnacleinvestment.com.au](mailto:alex.ihlenfeldt@pinnacleinvestment.com.au).

#### Holding your investment

Alternatively, you may hold onto your investment until the assets of the Fund are fully realised at which time the proceeds (less any outstanding expenses, costs and disbursements of the Fund are paid) will be distributed to you and all other remaining investors.

#### Investment strategy exposure

Plato Investment Management Limited ('**Plato**'), the investment manager of the Fund, also manages the Plato Global Shares Income Fund which offers exposure to a similar investment strategy and objective to the Fund.

For more information on Plato and their managed funds, please visit their website at [www.plato.com.au/retail-funds/](http://www.plato.com.au/retail-funds/) or contact us on 1300 360 306 or via email [service@pinnacleinvestment.com.au](mailto:service@pinnacleinvestment.com.au).

Yours faithfully,



**Alex Ihlenfeldt**

Director

Pinnacle Fund Services Limited