

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	Sequoia Financial Group Ltd
<b>ABN</b>	90 091 744 884

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	John Larsen
<b>Date of last notice</b>	14 October 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Interest held in two separate holdings, as follows:  A. Mr John Larsen; and  B. Trifern Pty Ltd <Super Fund A/C>: Mr Larsen is the director of Trifern Pty Ltd and a beneficiary of the Super Fund A/C>.
<b>Date of change</b>	30 December 2020
<b>No. of securities held prior to change</b>	A. 102,775 FPOs B. 1,467,516 FPOs

+ See chapter 19 for defined terms.

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<b>Class</b>	<ul style="list-style-type: none"> <li>Employee Incentive Plan Options (<b>Options</b>)</li> </ul> <p>500,000 Options are issued with an exercise price of \$0.36 per Share and an expiry date of 30 June 2022.</p> <p>500,000 Options are issued with an exercise price of \$0.45 per Share and an expiry date of 30 June 2024.</p> <p>A summary of the full terms of the Options issued to the Directors of the Company is included in the Notice of Annual General Meeting announced to the ASX on 21 October 2020.</p> <p>A copy of the Notice can be found here: <a href="https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02296428-2A1257660?access_token=83ff96335c2d45a094df02a206a39ff4">https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02296428-2A1257660?access_token=83ff96335c2d45a094df02a206a39ff4</a></p> <ul style="list-style-type: none"> <li>Fully Paid Ordinary Shares (<b>FPOs</b>)</li> </ul>
<b>Number acquired</b>	1,000,000 Options
<b>Number disposed</b>	Nil
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	The approximate value of the Options is \$117,000.
<b>No. of securities held after change</b>	A. 102,775 FPOs B. 1,467,516 FPOs B. 1000,000 Options
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	The Options are issued under the Company's Employee Incentive Plan as approved at the Company's 2020 Annual General Meeting.

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## **Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

## **Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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