

Form 604Corporations Act 2001
Section 671B**Notice of change of interests of substantial holder**To Company Name/Scheme **CardieX Limited**ACN/ARSN **113 252 234****1. Details of substantial holder (1)**Name **Mr Paul Cozzi**

ACN/ARSN (if applicable)

There was a change in the interests of the substantial holder on

07/05/2020

The previous notice was given to the company on

30/01/2020

The previous notice was dated

30/01/2020**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
FPO shares	97,615,392	12.96%	109,515,392	14.54%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
07/05/2020	Paul Cozzi	Refer "Annexure A"	~\$250,329	11,900,000 Ordinary Shares	11,900,000

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Paul Cozzi	Paul Cozzi	Paul Cozzi	Registered holder of shares	109,515,392 FPO shares	109,515,392

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	N/A

6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Paul Cozzi	PO Box 156 South Bexley NSW 2207

Signature

print name **Mr Paul Cozzi**

capacity

sign here

Dr Paul Cozzi

date **21/05/2020**

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
 - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

CardieX Limited (ACN 113 252 234) Annexure A to Form 604 Notice of change of interest of substantial shareholder for Paul Cozzi:

Changes of Relevant Interests

Date of Change	Person whose relevant interest changed	Nature	Consideration Given	Class and Number of Securities Affected	Persons Votes Affected
	CardieX Limited				
31/01/2020	("CDX")	On Market Buy	17,414	644972 FPO	644,972
3/02/2020	CDX	On Market Buy	4,338	160680 FPO	160,680
4/02/2020	CDX	On Market Buy	1,890	70000 FPO	70,000
5/02/2020	CDX	On Market Buy	5,833	224348 FPO	224,348
7/02/2020	CDX	On Market Buy	40,500	1500000 FPO	1,500,000
10/02/2020	CDX	On Market Buy	13,500	500000 FPO	500,000
11/02/2020	CDX	On Market Buy	18,900	700000 FPO	700,000
14/02/2020	CDX	On Market Buy	12,000	500000 FPO	500,000
17/02/2020	CDX	On Market Sale	(92,000)	-4000000 FPO	- 4,000,000
19/02/2020	CDX	On Market Buy	26,000	1000000 FPO	1,000,000
26/02/2020	CDX	On Market Buy	3,137	130693 FPO	130,693
27/02/2020	CDX	On Market Buy	16,063	669307 FPO	669,307
2/03/2020	CDX	On Market Sale	11,500	500000 FPO	500,000
3/03/2020	CDX	On Market Buy	8,341	362650 FPO	362,650
4/03/2020	CDX	On Market Sale	19,379	842555 FPO	842,555
6/03/2020	CDX	On Market Sale	9,404	408888 FPO	408,888
9/03/2020	CDX	On Market Sale	1,804	85907 FPO	85,907
11/03/2020	CDX	On Market Sale	18,000	1000000 FPO	1,000,000
12/03/2020	CDX	On Market Buy	9,600	600000 FPO	600,000
13/03/2020	CDX	On Market Buy	9,500	500000 FPO	500,000
16/03/2020	CDX	On Market Buy	17,082	854118 FPO	854,118
17/03/2020	CDX	On Market Buy	2,626	145882 FPO	145,882
19/03/2020	CDX	On Market Buy	4,994	293749 FPO	293,749
20/03/2020	CDX	On Market Buy	2,830	141516 FPO	141,516
23/03/2020	CDX	On Market Buy	1,100	64735 FPO	64,735
25/03/2020	CDX	On Market Buy	8,400	600000 FPO	600,000
26/03/2020	CDX	On Market Buy	5,100	300000 FPO	300,000
27/03/2020	CDX	On Market Buy	10,500	700000 FPO	700,000
30/03/2020	CDX	On Market Buy	3,703	231440 FPO	231,440
31/03/2020	CDX	On Market Buy	4,586	286649 FPO	286,649
1/04/2020	CDX	On Market Buy	1,200	80000 FPO	80,000
2/04/2020	CDX	On Market Buy	29	1911 FPO	1,911
20/04/2020	CDX	On Market Buy	14,400	800000 FPO	800,000
21/04/2020	CDX	On Market Buy	12,600	700000 FPO	700,000
22/04/2020	CDX	On Market Buy	1,800	100000 FPO	100,000
6/05/2020	CDX	On Market Buy	2,638	125616 FPO	125,616
7/05/2020	CDX	On Market Buy	1,636	74384 FPO	74,384

Jarrod White

Company Secretary

CardieX Limited

21/05/2020