



## Announcement Summary

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**Entity name**

WASHINGTON H SOUL PATTINSON & COMPANY LIMITED

**Date of this announcement**

Monday December 19, 2022

**The +securities the subject of this notification are:**

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**Total number of +securities to be issued/transferred**

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
SOLAA	PERFORMANCE RIGHTS	326,410	13/12/2022

Refer to next page for full details of the announcement



Part 1 - Entity and announcement details

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**1.1 Name of entity**

WASHINGTON H SOUL PATTINSON & COMPANY LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

**1.2 Registered number type**

ACN

**Registration number**

000002728

**1.3 ASX issuer code**

SOL

**1.4 The announcement is**

☒ New announcement

**1.5 Date of this announcement**

19/12/2022



## Part 2 - Issue details

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### **2.1 The +securities the subject of this notification are:**

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

### **2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

☒ has an existing ASX security code ("existing class")



Part 3B - number and type of +securities the subject of this notification (existing class) where issue has not previously been notified to ASX in an Appendix 3B

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**ASX +security code and description**

SOLAA : PERFORMANCE RIGHTS

**Date the +securities the subject of this notification were issued**

13/12/2022

**Will these +securities rank equally in all respects from their issue date with the existing issued +securities in that class**☒ Yes**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**☒ Yes**Provide details of the KMP or +associates being issued +securities.**

Name of KMP	Name of registered holder	Number of +securities
<input type="text" value="Todd Barlow"/>	<input type="text" value="Todd Barlow"/>	129,765
<input type="text" value="David Grbin"/>	<input type="text" value="David Grbin"/>	48,662

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

A summary of the terms of the LTI rights, including performance hurdles, is provided in the Remuneration Report in the FY2022 Annual Report  
<https://www.whsp.com.au/wp-content/uploads/2022/10/WHSP-Annual-Report-AR2022.pdf>

**Any other information the entity wishes to provide about the +securities the subject of this notification**

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**Issue details****Number of +securities**

326,410



Part 4 - +Securities on issue

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Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

ASX +security code and description	Total number of +securities on issue
SOL : ORDINARY FULLY PAID	360,967,863

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

ASX +security code and description	Total number of +securities on issue
SOLAA : PERFORMANCE RIGHTS	598,781
SOLAB : CONVERTIBLE NOTES	1,125



## Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

☒ No

**5.2 Has the entity obtained, or is it obtaining, +security holder approval for the issue under listing rule 7.1?**

☒ No

**5.2b Are any of the +securities being issued without +security holder approval using the entity's 15% placement capacity under listing rule 7.1?**

☒ Yes

**5.2b.1 How many +securities are being issued without +security holder approval using the entity's 15% placement capacity under listing rule 7.1?**

196,645 securities issued using the entity's 15% placement capacity  
129,765 securities issued pursuant to shareholder approval obtained on 9 December 2022 for all purposes including Listing Rule 10.14

**5.2c Are any of the +securities being issued without +security holder approval using the entity's additional 10% placement capacity under listing rule 7.1A (if applicable)?**

☒ No