

25 June 2021

Attn: Manager of ASX Announcements
Australian Securities Exchange
Level 40 Central Park
152-158 St George's Terrace
Perth WA 6000

LATE LODGEMENT OF APPENDIX 3Ys

Roots Sustainable Agricultural Technologies Limited (ASX: ROO, **Company**) wishes to notify ASX that it inadvertently neglected to lodge Appendix 3Ys for Directors Sharon Devir, Boaz Wachtel and Adam Blumenthal following the lapse of Performance Rights on 30 November 2020.

The Company now encloses the forms for lodgement (attached).

Roots advises that:

1. the delay in lodgement of the Appendix 3Y Notices was due to an internal administrative oversight by the Company which occurred after the expiry date for the relevant Performance Rights lapsed;
2. upon becoming aware of the oversight, the Company notified the ASX and lodged the notices with ASX;
3. the Company considers its existing arrangements adequate and the late lodgement of these particular Appendix 3Y notices was an isolated incident. The Company confirms that the Directors are aware of their obligations when dealing with securities in the Company; and
4. the Company is aware of its continuous disclosure obligations generally under ASX Listing Rule 3.1, and those specifically relating to the disclosure of directors' interests under ASX Listing Rule 3.19A.1. The Directors are also aware of their personal obligations involving the Company's securities.

For and on behalf of the Board



Sarah Smith
(Company Secretary)

This announcement was authorised to be given to the ASX by the Executive Directors of Roots, Mr Boaz Wachtel and Mr Sharon Devir.

Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	Roots Sustainable Agricultural Technologies Ltd
ARBN	619 754 540 – A Company Registered in Israel

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Sharon Devir
Date of last notice	20 September 2019

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	n/a
Date of change	30 November 2020
No. of securities held prior to change	2,931,564 – CDI's (subject to escrow until 6 December 2019) 28,000 – CDIs 430,490 – Unquoted Options (exercisable on or before 30 November 2022 at \$0.01 per option) (subject to escrow until 6 December 2019) 100,000 – Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO) 2,000,000 – Performance Rights (subject to Performance Milestones) (subject to escrow until 6 December 2019) 4,500,000 – Performance Rights (subject to Performance Milestones)

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Class	Performance Rights
Number acquired	N/A
Number disposed	2,000,000 Performance Rights
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	N/A – lapse of Performance Rights
No. of securities held after change	<p>2,931,564 – CDI's (subject to escrow until 6 December 2019)</p> <p>28,000 – CDIs</p> <p>430,490 – Unquoted Options (exercisable on or before 30 November 2022 at \$0.01 per option) (subject to escrow until 6 December 2019)</p> <p>100,000 - Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO)</p> <p>4,500,000 – Performance Rights (subject to Performance Milestones)</p>
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Lapse of vested Performance Rights

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

Detail of contract	
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	

+ See chapter 19 for defined terms.

Appendix 3Y
Change of Director's Interest Notice

Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

Part 3 – +Closed period

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	n/a
If prior written clearance was provided, on what date was this provided?	n/a

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

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Introduced 30/09/01 Amended 01/01/11

Name of entity	Roots Sustainable Agricultural Technologies Ltd
ARBN	619 754 540 – A Company Registered in Israel

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Adam Blumenthal
Date of last notice	20 September 2019

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct and Indirect
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Anglo Menda Pty Ltd Anglo Australasia Holdings Pty Ltd Australian Share Nominees Pty Ltd Atlantic Capital Pty Ltd (Companies controlled by Mr Blumenthal)
Date of change	24 July 2019 (ROOO Listed Options) 30 November 2020 (lapse of Performance Rights)

+ See chapter 19 for defined terms.

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Change of Director's Interest Notice

No. of securities held prior to change	<u>Adam Blumenthal</u> 916,666 – CDI's (subject to escrow until 6 December 2019) 1,833,334 – Performance Rights (subject to Performance Milestones) (subject to escrow until 6 December 2019) 458,334 - Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO) <u>Anglo Menda Pty Ltd</u> 354,632 CDIs <u>Anglo Australasia Holdings Pty Ltd</u> 1,450,000 - Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO) <u>Australian Share Nominees Pty Ltd</u> 1,450,000 - Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO) <u>Atlantic Capital Holdings Pty Ltd</u> 4,200,000 Performance Rights (subject to Performance Milestones)
Class	1. Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO) 2. Performance Rights
Number acquired	1. 177,316 Quoted Options (ROOO)
Number disposed	2. 1,833,334 Performance Rights lapsed
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	1. \$0.04 per Option (ROOO) 2. N/A - lapse of Performance Rights

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Appendix 3Y

Change of Director's Interest Notice

No. of securities held after change	<p><u>Adam Blumenthal</u> 916,666 – CDIs (<i>subject to escrow until 6 December 2019</i>) 458,334 – Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO)</p> <p><u>Anglo Menda Pty Ltd</u> 354,632 CDIs 177,316 Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO)</p> <p><u>Anglo Australasia Holdings Pty Ltd</u> 1,450,000 – Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO)</p> <p><u>Australian Share Nominees Pty Ltd</u> 1,450,000 – Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO)</p> <p><u>Atlantic Capital Holdings Pty Ltd</u> 4,200,000 Performance Rights (<i>subject to Performance Milestones</i>)</p>
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	<ol style="list-style-type: none"> 1. Take up of option entitlement under Entitlement Issue as per Prospectus lodged with ASX on 17 June 2019 on same terms and conditions as all other participating shareholders 2. Lapse of vested Performance Rights

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

Detail of contract	
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

Part 3 – +Closed period

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	n/a
If prior written clearance was provided, on what date was this provided?	n/a

+ See chapter 19 for defined terms.

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Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	Roots Sustainable Agricultural Technologies Ltd
ARBN	619 754 540 – A Company Registered in Israel

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Boaz Wachtel
Date of last notice	20 September 2019

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	n/a
Date of change	30 November 2020

+ See chapter 19 for defined terms.

Appendix 3Y
Change of Director's Interest Notice

No. of securities held prior to change	<u>Boaz Wachtel</u> 5,298,777 – CDIs (<i>subject to escrow until 6 December 2019</i>) 850,510 – Unquoted Options (exercisable on or before 30 November 2022 at \$0.01 per option) 250,000 – Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO) 1,000,000 – Performance Rights (<i>subject to Performance Milestones</i>) (<i>subject to escrow until 6 December 2019</i>) 4,500,000 – Performance Rights (<i>subject to Performance Milestones</i>) <u>International Water & Energy Savers Ltd</u> 78,000 CDIs
Class	Performance Rights
Number acquired	None
Number disposed	1,000,000 Performance Rights lapsed
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	N/A

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

No. of securities held after change	<u>Boaz Wachtel</u> 5,298,777 – CDI's (subject to escrow until 6 December 2019) 850,510 – Unquoted Options (exercisable on or before 30 November 2022 at \$0.01 per option) 250,000 - Quoted Options (exercisable at \$0.12 on or before 24 July 2022) (ROOO) 4,500,000 – Performance Rights (subject to Performance Milestones) <u>International Water & Energy Savers Ltd</u> 78,000 CDIs
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Lapse of vested Performance Rights.

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

Detail of contract	
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	

+ See chapter 19 for defined terms.

Appendix 3Y
Change of Director's Interest Notice

Interest after change	
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Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺ closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	n/a
If prior written clearance was provided, on what date was this provided?	n/a

⁺ See chapter 19 for defined terms.