

Announcement Summary

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**Entity name**

PROTEOMICS INTERNATIONAL LABORATORIES LTD

**Date of this announcement**

Tuesday July 20, 2021

**The +securities the subject of this notification are:**☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX**Total number of +securities to be issued/transferred**

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
New class - code to be confirmed	Employee options	300,000	20/07/2021
New class - code to be confirmed	Employee options	150,000	20/07/2021
New class - code to be confirmed	Performance rights	223,548	20/07/2021
New class - code to be confirmed	Performance rights	73,095	20/07/2021

Refer to next page for full details of the announcement



## Part 1 - Entity and announcement details

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### 1.1 Name of entity

PROTEOMICS INTERNATIONAL LABORATORIES LTD

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

### 1.2 Registered number type

ABN

### Registration number

78169979971

### 1.3 ASX issuer code

PIQ

### 1.4 The announcement is

☒ New announcement

### 1.5 Date of this announcement

20/7/2021



## Part 2 - Issue details

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### 2.1 The +securities the subject of this notification are:

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

### 2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:

☒ does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

**ASX +security code**

New class - code to be confirmed

**+Security description**

Employee options

**+Security type**

Options

**ISIN code****Date the +securities the subject of this notification were issued**

20/7/2021

**Will all the +securities issued in this class rank equally in all respects from their issue date?**☒ Yes**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**☒ Yes**Provide details of the KMP or +associates being issued +securities.****Name of KMP**

Vik Malik

**Name of registered holder**

Vik Malik

**Number of +securities**

300,000

**Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?**☒ No**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**

Terms and conditions of options provided in ASX release on 20 July 2021

## Options Details

**+Security currency**

AUD - Australian Dollar

**Exercise price**

AUD 1.44000000

**Expiry date**

1/6/2024

**Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option**

Other

**Description**

Fully paid ordinary shares (ASX:PIQ)



Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

Refer to Schedule 1 of the Notice of Annual General Meeting/Proxy Form on page 11: <https://www.asx.com.au/asxpdf/20201027/pdf/44p4tyk7lml76r.pdf>

Any other information the entity wishes to provide about the +securities the subject of this notification

#### Issue details

Number of +securities

300,000

ASX +security code

New class - code to be confirmed

+Security description

Employee options

+Security type

Options

ISIN code

Date the +securities the subject of this notification were issued

20/7/2021

Will all the +securities issued in this class rank equally in all respects from their issue date?

☒ Yes

Were any of the +securities issued to +key management personnel (KMP) or an +associate?

☒ Yes

Provide details of the KMP or +associates being issued +securities.

Name of KMP

Name of registered holder

Number of +securities

Jacqueline Gray

Segre Pty Ltd as trustee for The Gray Family Trust

150,000

Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?

☒ No

Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.

Terms and conditions of options provided in ASX release on 20 July 2021

Options Details

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+Security currency	Exercise price	Expiry date
AUD - Australian Dollar	AUD 1.16000000	12/7/2024

**Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option**

Other

**Description**

Fully paid ordinary shares (ASX:PIQ)

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

Refer to Schedule 1 of the Notice of Annual General Meeting/Proxy Form on page 11: <https://www.asx.com.au/asxpdf/20201027/pdf/44p4tyk7lml76r.pdf>

**Any other information the entity wishes to provide about the +securities the subject of this notification**

Issue details

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**Number of +securities**

150,000

**ASX +security code**

New class - code to be confirmed

**+Security description**

Performance rights

**+Security type**

Other

**ISIN code**

**Date the +securities the subject of this notification were issued**

20/7/2021

**Will all the +securities issued in this class rank equally in all respects from their issue date?**

☒ Yes



Were any of the +securities issued to +key management personnel (KMP) or an +associate?

☒ Yes

Provide details of the KMP or +associates being issued +securities.

Name of KMP	Name of registered holder	Number of +securities
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Vik Malik	Vik Malik	223,548
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Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.

Terms and conditions of performance rights provided in ASX release on 20 July 2021

Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

Refer to Schedule 2 of the Notice of Annual General Meeting/Proxy Form on page 13: <https://www.asx.com.au/asxpdf/20201027/pdf/44p4tyk7lml76r.pdf>

Any other information the entity wishes to provide about the +securities the subject of this notification

#### Issue details

Number of +securities

223,548

ASX +security code

New class - code to be confirmed

+Security description

Performance rights

+Security type

Other

ISIN code

Date the +securities the subject of this notification were issued

20/7/2021

Will all the +securities issued in this class rank equally in all respects from their issue date?

☒ Yes

Were any of the +securities issued to +key management personnel (KMP) or an +associate?

☒



Yes

Provide details of the KMP or +associates being issued +securities.

Name of KMP	Name of registered holder	Number of +securities
Jacqueline Gray	Segre Pty Ltd as trustee for The Gray Family Trust	73,095

Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.

Terms and conditions of performance rights provided in ASX release on 20 July 2021

Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

Refer to Schedule 2 of the Notice of Annual General Meeting/Proxy Form on page 13: <https://www.asx.com.au/asxpdf/20201027/pdf/44p4tyk7lml76r.pdf>

Any other information the entity wishes to provide about the +securities the subject of this notification

Issue details

Number of +securities  
73,095



Part 4 - +Securities on issue

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Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

ASX +security code and description	Total number of +securities on issue
PIQ : ORDINARY FULLY PAID	105,205,875

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

ASX +security code and description	Total number of +securities on issue
PIQAS : OPTION EXPIRING 28-JAN-2023 EX \$0.75	2,200,000
PIQAR : OPTION EXPIRING 18-AUG-2023 EX 50C	1,250,000
PIQAP : OPTION EXPIRING 27-MAR-2023 EX 50C	2,890,279
PIQAO : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	800,000
PIQAQ : OPTION EXPIRING 01-MAY-2023 EX 50C	400,000
PIQAT : OPTION EXPIRING 30-APR-2023 EX \$1.75	500,000
New class - code to be confirmed : Employee options	300,000
New class - code to be confirmed : Employee options	150,000
New class - code to be confirmed : Performance rights	223,548
New class - code to be confirmed : Performance rights	73,095



Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

☒ No

**5.2 Has the entity obtained, or is it obtaining, +security holder approval for the issue under listing rule 7.1?**

☒ No

**5.2b Are any of the +securities being issued without +security holder approval using the entity's 15% placement capacity under listing rule 7.1?**

☒ No

**5.2c Are any of the +securities being issued without +security holder approval using the entity's additional 10% placement capacity under listing rule 7.1A (if applicable)?**

☒ N/A