

Form 604
Corporations Act 2001
Section 671B
Notice of change of interests of substantial holder

To: Company Name/Scheme: Australian Pharmaceutical Industries Limited

ACN/ARSN 000 004 320

1. Details of substantial holder

Name Mitsubishi UFJ Financial Group, Inc.

There was a change in the interests of the substantial holder on 15 December 2021
The previous notice was given to the company on 3 December 2021
The previous notice was dated 3 December 2021
The holder became aware on 17 December 2021

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in when last required, and when now required, to give a substantial holding notice to the company or scheme are as follows:

| Class of securities | Previous notice | | Present notice | |
|----------------------------|-----------------|------------------|----------------|------------------|
| | Person's votes | Voting power (%) | Person's votes | Voting power (%) |
| Fully Paid ordinary shares | 32,806,093 | 6.66% | 39,919,385 | 8.10% |

3. Changes in relevant interest

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

| Date of change | Person whose relevant interest changed | Nature of change | Consideration given in relation to change | Class and number of securities affected | Person's votes affected |
|-------------------------------|--|------------------|---|---|-------------------------|
| See annexure A to this notice | | | | | |

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

| Holder of relevant interest | Registered holder of securities | Person entitled to be registered as holder | Nature of relevant interest | Class and number of securities | Person's votes |
|--------------------------------------|---|--|---|---------------------------------------|----------------|
| Mitsubishi UFJ Financial Group, Inc. | Citibank N A Hong Kong | Not applicable | Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant Interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited. | 14,324,082 Fully paid ordinary shares | 14,324,082 |
| Mitsubishi UFJ Financial Group, Inc. | Citicorp Nominees Pty Limited (Australia) | Not applicable | Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant Interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited. | 1,006,348 Fully paid ordinary shares | 1,006,348 |
| Mitsubishi UFJ Financial Group, Inc. | HSBC Bank Australia Limited | Not applicable | Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant Interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited. | 2,949,209 Fully paid ordinary shares | 2,949,209 |
| Mitsubishi UFJ Financial Group, Inc. | JPMorgan (UK) | Not applicable | Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant Interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited. | 1,967,901 Fully paid ordinary shares | 1,967,901 |

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|--------------------------------------|---|----------------|---|---------------------------------------|------------|
| Mitsubishi UFJ Financial Group, Inc. | National Custodian Services | Not applicable | Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited. | 2,701,704 Fully paid ordinary shares | 2,701,704 |
| Mitsubishi UFJ Financial Group, Inc. | HSBC Custody Nominees (Australia) Limited | Not applicable | Relevant interest in securities that Morgan Stanley Australia Securities Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley Inc. | 10,946,020 Fully paid ordinary shares | 10,946,020 |
| Mitsubishi UFJ Financial Group, Inc. | HSBC Custody Nominees (Australia) Limited | Not applicable | Relevant interest in securities that Morgan Stanley Australia Securities Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley Inc. | 387 Fully paid ordinary shares | 387 |
| Mitsubishi UFJ Financial Group, Inc. | Morgan Stanley Australia Securities (Nominee) Pty Limited | Not applicable | Relevant interest in securities that Morgan Stanley Australia Securities Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley Inc. | 240,343 Fully paid ordinary shares | 240,343 |
| Mitsubishi UFJ Financial Group, Inc. | Morgan Stanley Australia Securities (Nominee) Pty Limited | Not applicable | Relevant interest in securities that Morgan Stanley Australia Securities Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley Inc. | 5,204,307 Fully paid ordinary shares | 5,204,307 |
| Mitsubishi UFJ Financial Group, Inc. | HSBC Custody Nominees (Australia) Limited | Not applicable | Relevant interest in securities that Morgan Stanley Australia Securities Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley Inc. | 1,765 Fully paid ordinary shares | 1,765 |
| Mitsubishi UFJ Financial Group, Inc. | Unknown | Not applicable | Relevant interest in securities that Morgan Stanley Australia Securities Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley Inc. | 577,319 Fully paid ordinary shares | 577,319 |

5. Changes in association

The persons who have become associates of, ceased to be associates of, or have changed the nature of their association with, the substantial holder in relation to voting interests in the company or scheme are as follows:

| Name and ACN/ARSN (if applicable) | Nature of association |
|-----------------------------------|-----------------------|
| Not applicable | Not applicable |

6. Addresses

The addresses of persons named in this form are as follows:

| Name | Address |
|--------------------------------------|--|
| Mitsubishi UFJ Financial Group, Inc. | 2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan |

Signature

R. Sakuma

Ryuichiro Sakuma

Authorised signatory

Dated 20 December 2021

Annexure A

This is annexure A of 5 pages referred to in Form 604, Notice of change of interest of substantial holder dated 20 December 2021

R. Sakuma

Ryuichiro Sakuma Authorised signatory Dated 20 December 2021

| Date of change | Person whose relevant interest changed | Nature of change | Consideration given in relation to change | Class and number of securities affected | Person's votes affected |
|----------------|--|--|---|---|-------------------------|
| 01/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 3,168.77 | 2,109 Fully Paid ordinary shares | 2,109 |
| 01/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 13,505.14 | 9,252 Fully Paid ordinary shares | 9,252 |
| 01/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 25,609.50 | 17,073 Fully Paid ordinary shares | 17,073 |
| 01/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 757.50 | 505 Fully Paid ordinary shares | 505 |
| 01/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 235.89 | 157 Fully Paid ordinary shares | 157 |
| 01/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 1,747.50 | 1,165 Fully Paid ordinary shares | 1,165 |
| 01/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Collateral Received by an entity controlled by Morgan Stanley - see Annexure B | N/A | 99,500 Fully Paid ordinary shares | 99,500 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 1,381.56 | 794 Fully Paid ordinary shares | 794 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 1,417.50 | 817 Fully Paid ordinary shares | 817 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 142.07 | 82 Fully Paid ordinary shares | 82 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 100,391.04 | 57,696 Fully Paid ordinary shares | 57,696 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 14,736.96 | 9,792 Fully Paid ordinary shares | 9,792 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 206,100.65 | 118,790 Fully Paid ordinary shares | 118,790 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 30,975.37 | 17,879 Fully Paid ordinary shares | 17,879 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 196,318.72 | 113,152 Fully Paid ordinary shares | 113,152 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 59,045.57 | 33,837 Fully Paid ordinary shares | 33,837 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 27,314.97 | 15,789 Fully Paid ordinary shares | 15,789 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 1,183.50 | 789 Fully Paid ordinary shares | 789 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 41,885.24 | 24,003 Fully Paid ordinary shares | 24,003 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 3,973.15 | 2,290 Fully Paid ordinary shares | 2,290 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 4,228.50 | 2,819 Fully Paid ordinary shares | 2,819 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 26,494.95 | 15,315 Fully Paid ordinary shares | 15,315 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 132,824.64 | 76,336 Fully Paid ordinary shares | 76,336 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 17,866.32 | 10,268 Fully Paid ordinary shares | 10,268 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 139.60 | 80 Fully Paid ordinary shares | 80 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Collateral Returned by an entity controlled by Morgan Stanley - see Annexure B | N/A | 784,605 Fully Paid ordinary shares | 784,605 |
| 02/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Borrow Returned by an entity controlled by Morgan Stanley - see Annexure B | N/A | 387 Fully Paid ordinary shares | 387 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 15,601.33 | 8,877 Fully Paid ordinary shares | 8,877 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 170,133.92 | 96,667 Fully Paid ordinary shares | 96,667 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 9,252.25 | 5,287 Fully Paid ordinary shares | 5,287 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 21,977.12 | 12,487 Fully Paid ordinary shares | 12,487 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 315.90 | 180 Fully Paid ordinary shares | 180 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 79,201.40 | 45,129 Fully Paid ordinary shares | 45,129 |

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| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 5,142.72 | 2,922 Fully Paid ordinary shares | 2,922 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 28,267.83 | 16,130 Fully Paid ordinary shares | 16,130 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 25,249.39 | 16,777 Fully Paid ordinary shares | 16,777 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 1,170.40 | 665 Fully Paid ordinary shares | 665 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 34,252.61 | 19,629 Fully Paid ordinary shares | 19,629 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 9,533.60 | 5,440 Fully Paid ordinary shares | 5,440 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 34,059.52 | 19,352 Fully Paid ordinary shares | 19,352 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 3,417.86 | 2,271 Fully Paid ordinary shares | 2,271 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 38,267.68 | 21,743 Fully Paid ordinary shares | 21,743 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 34,312.25 | 19,607 Fully Paid ordinary shares | 19,607 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 15,721.68 | 8,971 Fully Paid ordinary shares | 8,971 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 50,805.92 | 28,867 Fully Paid ordinary shares | 28,867 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 30,859.84 | 17,534 Fully Paid ordinary shares | 17,534 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 177,409.76 | 100,801 Fully Paid ordinary shares | 100,801 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 3,323.04 | 2,208 Fully Paid ordinary shares | 2,208 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 12,629.75 | 7,217 Fully Paid ordinary shares | 7,217 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 83,099.25 | 47,350 Fully Paid ordinary shares | 47,350 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 57,790.40 | 32,929 Fully Paid ordinary shares | 32,929 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 10,555.55 | 6,006 Fully Paid ordinary shares | 6,006 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 20,511.26 | 11,704 Fully Paid ordinary shares | 11,704 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 4,125.39 | 2,354 Fully Paid ordinary shares | 2,354 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 6,620.25 | 3,783 Fully Paid ordinary shares | 3,783 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 763.27 | 508 Fully Paid ordinary shares | 508 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 31,741.28 | 18,112 Fully Paid ordinary shares | 18,112 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 3,241.49 | 1,847 Fully Paid ordinary shares | 1,847 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 9,389.70 | 6,239 Fully Paid ordinary shares | 6,239 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 1,299.00 | 866 Fully Paid ordinary shares | 866 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 3,777.93 | 2,165 Fully Paid ordinary shares | 2,165 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 25,916.09 | 14,767 Fully Paid ordinary shares | 14,767 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 16,246.33 | 9,244 Fully Paid ordinary shares | 9,244 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 4,234.82 | 2,413 Fully Paid ordinary shares | 2,413 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 1,963.50 | 1,309 Fully Paid ordinary shares | 1,309 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 41,125.00 | 23,500 Fully Paid ordinary shares | 23,500 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Collateral Received by an entity controlled by Morgan Stanley - see Annexure B | N/A | 1,420,908 Fully Paid ordinary shares | 1,420,908 |
| 03/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Borrow by an entity controlled by Morgan Stanley - see Annexure B | N/A | 77,131 Fully Paid ordinary shares | 77,131 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 16,144.74 | 9,252 Fully Paid ordinary shares | 9,252 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 54,454.14 | 31,028 Fully Paid ordinary shares | 31,028 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 4,046.52 | 2,309 Fully Paid ordinary shares | 2,309 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 3,349.50 | 1,914 Fully Paid ordinary shares | 1,914 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 1,219.76 | 699 Fully Paid ordinary shares | 699 |

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|------------|--------------------------------------|--|------------|------------------------------------|---------|
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 167,727.66 | 96,119 Fully Paid ordinary shares | 96,119 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 8,173.58 | 4,684 Fully Paid ordinary shares | 4,684 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 1,558.03 | 898 Fully Paid ordinary shares | 898 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 12,793.45 | 7,321 Fully Paid ordinary shares | 7,321 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 2,672.25 | 1,527 Fully Paid ordinary shares | 1,527 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 14,150.21 | 8,109 Fully Paid ordinary shares | 8,109 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 89,803.00 | 51,316 Fully Paid ordinary shares | 51,316 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 10,837.83 | 6,394 Fully Paid ordinary shares | 6,394 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 10,402.87 | 5,953 Fully Paid ordinary shares | 5,953 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 2,901.94 | 1,663 Fully Paid ordinary shares | 1,663 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 320.25 | 183 Fully Paid ordinary shares | 183 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 2,805.75 | 1,601 Fully Paid ordinary shares | 1,601 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 946.88 | 538 Fully Paid ordinary shares | 538 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 104,982.50 | 59,990 Fully Paid ordinary shares | 59,990 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 2,081.97 | 1,188 Fully Paid ordinary shares | 1,188 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 728.71 | 417 Fully Paid ordinary shares | 417 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 129,668.00 | 74,202 Fully Paid ordinary shares | 74,202 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 127,114.90 | 72,741 Fully Paid ordinary shares | 72,741 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 4,991.36 | 2,836 Fully Paid ordinary shares | 2,836 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 7,913.50 | 4,522 Fully Paid ordinary shares | 4,522 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 673.92 | 384 Fully Paid ordinary shares | 384 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 148,417.49 | 85,053 Fully Paid ordinary shares | 85,053 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Collateral Returned by an entity controlled by Morgan Stanley - see Annexure B | N/A | 295,394 Fully Paid ordinary shares | 295,394 |
| 06/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Borrow Returned by an entity controlled by Morgan Stanley - see Annexure B | N/A | 77,131 Fully Paid ordinary shares | 77,131 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 527.25 | 300 Fully Paid ordinary shares | 300 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 27,761.47 | 15,796 Fully Paid ordinary shares | 15,796 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 22,086.47 | 12,657 Fully Paid ordinary shares | 12,657 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 1,170.40 | 665 Fully Paid ordinary shares | 665 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 3,103.56 | 1,776 Fully Paid ordinary shares | 1,776 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 2,068.00 | 1,175 Fully Paid ordinary shares | 1,175 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 71,469.26 | 40,898 Fully Paid ordinary shares | 40,898 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 39,735.40 | 22,771 Fully Paid ordinary shares | 22,771 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 759.08 | 435 Fully Paid ordinary shares | 435 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 13,614.77 | 7,791 Fully Paid ordinary shares | 7,791 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 12,519.09 | 7,164 Fully Paid ordinary shares | 7,164 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 92,079.75 | 52,617 Fully Paid ordinary shares | 52,617 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 448.47 | 257 Fully Paid ordinary shares | 257 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 1,766.72 | 1,011 Fully Paid ordinary shares | 1,011 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 390.25 | 223 Fully Paid ordinary shares | 223 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 122,844.75 | 70,197 Fully Paid ordinary shares | 70,197 |

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| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 6,817.00 | 3,901 Fully Paid ordinary shares | 3,901 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 4,931.55 | 2,810 Fully Paid ordinary shares | 2,810 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 81,070.02 | 46,392 Fully Paid ordinary shares | 46,392 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 913.50 | 522 Fully Paid ordinary shares | 522 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 3,328.00 | 1,899 Fully Paid ordinary shares | 1,899 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 6,620.25 | 3,783 Fully Paid ordinary shares | 3,783 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 7,351.52 | 4,177 Fully Paid ordinary shares | 4,177 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 8,683.50 | 4,962 Fully Paid ordinary shares | 4,962 |
| 07/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Collateral Returned by an entity controlled by Morgan Stanley - see Annexure B | N/A | 473,924 Fully Paid ordinary shares | 473,924 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 273.00 | 156 Fully Paid ordinary shares | 156 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 37,698.82 | 21,573 Fully Paid ordinary shares | 21,573 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 1,359.94 | 776 Fully Paid ordinary shares | 776 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 72,042.34 | 41,259 Fully Paid ordinary shares | 41,259 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 18,343.50 | 10,482 Fully Paid ordinary shares | 10,482 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 227.18 | 130 Fully Paid ordinary shares | 130 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 2,668.75 | 1,525 Fully Paid ordinary shares | 1,525 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 7,138.80 | 4,091 Fully Paid ordinary shares | 4,091 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 288,171.11 | 165,037 Fully Paid ordinary shares | 165,037 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 396.68 | 227 Fully Paid ordinary shares | 227 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 588.00 | 336 Fully Paid ordinary shares | 336 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 1,935.50 | 1,106 Fully Paid ordinary shares | 1,106 |
| 08/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Collateral Received by an entity controlled by Morgan Stanley - see Annexure B | N/A | 996,029 Fully Paid ordinary shares | 996,029 |
| 09/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by First Sentier Investors Holdings Pty Limited | 112,822.98 | 64,655 Fully Paid ordinary shares | 64,655 |
| 09/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 62,239.38 | 35,741 Fully Paid ordinary shares | 35,741 |
| 09/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 16,531.08 | 9,528 Fully Paid ordinary shares | 9,528 |
| 09/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 2,441.15 | 1,407 Fully Paid ordinary shares | 1,407 |
| 09/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 5,052.65 | 2,908 Fully Paid ordinary shares | 2,908 |
| 09/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 248,955.77 | 142,963 Fully Paid ordinary shares | 142,963 |
| 10/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by First Sentier Investors Holdings Pty Limited | 1,658,653.61 | 946,504 Fully Paid ordinary shares | 946,504 |
| 10/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 4,119.89 | 2,378 Fully Paid ordinary shares | 2,378 |
| 10/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 819.47 | 473 Fully Paid ordinary shares | 473 |
| 10/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 569.08 | 328 Fully Paid ordinary shares | 328 |
| 10/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 3.47 | 2 Fully Paid ordinary shares | 2 |
| 13/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by First Sentier Investors Holdings Pty Limited | 691,595.09 | 399,535 Fully Paid ordinary shares | 399,535 |
| 13/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by First Sentier Investors Holdings Pty Limited | 1,996,507.70 | 1,153,384 Fully Paid ordinary shares | 1,153,384 |
| 13/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 4,427.58 | 2,563 Fully Paid ordinary shares | 2,563 |
| 13/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 811.30 | 471 Fully Paid ordinary shares | 471 |
| 13/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 1,205.82 | 696 Fully Paid ordinary shares | 696 |
| 14/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 6,331.11 | 3,697 Fully Paid ordinary shares | 3,697 |
| 14/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 2,793.90 | 1,622 Fully Paid ordinary shares | 1,622 |

Annexure A

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| 14/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 3.46 | 2 Fully Paid ordinary shares | 2 |
| 14/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 3,850.64 | 2,242 Fully Paid ordinary shares | 2,242 |
| 15/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 408.17 | 238 Fully Paid ordinary shares | 238 |
| 15/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 1,262.70 | 732 Fully Paid ordinary shares | 732 |
| 15/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 70.93 | 41 Fully Paid ordinary shares | 41 |
| 15/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 1,417.59 | 829 Fully Paid ordinary shares | 829 |
| 15/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 899.15 | 522 Fully Paid ordinary shares | 522 |
| 15/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Sale of securities by an entity controlled by Morgan Stanley | 15,209.01 | 8,766 Fully Paid ordinary shares | 8,766 |
| 15/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 799.80 | 465 Fully Paid ordinary shares | 465 |
| 15/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Purchase of securities by an entity controlled by Morgan Stanley | 752.73 | 437 Fully Paid ordinary shares | 437 |
| 15/12/2021 | Mitsubishi UFJ Financial Group, Inc. | Collateral Received by an entity controlled by Morgan Stanley - see Annexure B | N/A | 2,625,521 Fully Paid ordinary shares | 2,625,521 |

Annexure B

This is annexure B of 3 pages referred to in Form 604, Notice of change of interest of substantial holder dated 20 December 2021

R. Sakuma

Ryuichiro Sakuma

Authorised signatory

Dated 20 December 2021

The below schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreement to the company, responsible entity or ASIC.

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| Schedule | |
| Type of Agreement | International Prime Brokerage Agreement |
| Parties to agreement | Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and METRICA ASIA EVENT DRIVEN MASTER FUND |
| Transfer Date | 20211207; |
| Holder of Voting Rights | Prime broker has the right to vote securities rehypothecated from the Client. |
| Are there any restrictions on voting rights? | Yes/No |
| If yes, detail | Not applicable |
| Scheduled Return Date (if any) | Open |
| Does the borrower have the right to return early? | Yes/No |
| If yes, detail | Prime broker may return shares which were rehypothecated from the client at any time. |
| Does the lender have the right to recall early? | Yes/No |
| If yes, detail | Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client. |
| Will the securities be returned on settlement? | Yes/No |
| If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable. | |

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| Schedule | |
| Type of Agreement | International Prime Brokerage Agreement |
| Parties to agreement | Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and EQUITY TRUSTEES LIMITED AS RESPONSIBLE ENTITY FOR DALTON STREET MARKET NEUTRAL TRUST |
| Transfer Date | 20211215; |
| Holder of Voting Rights | Prime broker has the right to vote securities rehypothecated from the Client. |
| Are there any restrictions on voting rights? | Yes/No |
| If yes, detail | Not applicable |
| Scheduled Return Date (if any) | Open |
| Does the borrower have the right to return early? | Yes/No |
| If yes, detail | Prime broker may return shares which were rehypothecated from the client at any time. |
| Does the lender have the right to recall early? | Yes/No |
| If yes, detail | Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client. |
| Will the securities be returned on settlement? | Yes/No |

Annexure B

If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

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| Schedule | |
| Type of Agreement | International Prime Brokerage Agreement |
| Parties to agreement | Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and SAMSON ROCK EVENT DRIVEN MASTER FUND LIMITED |
| Transfer Date | 20211215; |
| Holder of Voting Rights | Prime broker has the right to vote securities rehypothecated from the Client. |
| Are there any restrictions on voting rights? | Yes/No |
| If yes, detail Not applicable | |
| Scheduled Return Date (if any) | Open |
| Does the borrower have the right to return early? | Yes/No |
| If yes, detail Prime broker may return shares which were rehypothecated from the client at any time. | |
| Does the lender have the right to recall early? | Yes/No |
| If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client. | |
| Will the securities be returned on settlement? | Yes/No |
| If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable. | |

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| Schedule | |
| Type of Agreement | International Prime Brokerage Agreement |
| Parties to agreement | Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and INTEGRATED CORE STRATEGIES (ASIA) PTE LTD. |
| Transfer Date | 20211215; |
| Holder of Voting Rights | Prime broker has the right to vote securities rehypothecated from the Client. |
| Are there any restrictions on voting rights? | Yes/No |
| If yes, detail Not applicable | |
| Scheduled Return Date (if any) | Open |
| Does the borrower have the right to return early? | Yes/No |
| If yes, detail Prime broker may return shares which were rehypothecated from the client at any time. | |
| Does the lender have the right to recall early? | Yes/No |
| If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client. | |
| Will the securities be returned on settlement? | Yes/No |
| If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable. | |

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| Schedule | |
| Type of Agreement | International Prime Brokerage Agreement |
| Parties to agreement | Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and BRAHMAN PURE ALPHA PTE LTD |
| Transfer Date | 20211215; |
| Holder of Voting Rights | Prime broker has the right to vote securities rehypothecated from the Client. |
| Are there any restrictions on voting rights? | Yes/No |
| If yes, detail Not applicable | |

Annexure B

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| Scheduled Return Date (if any) | Open |
| Does the borrower have the right to return early? | Yes/ No |
| If yes, detail Prime broker may return shares which were rehypothecated from the client at any time. | |
| Does the lender have the right to recall early? | Yes/ No |
| If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client. | |
| Will the securities be returned on settlement? | Yes/ No |
| If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable. | |

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| Schedule | |
| Type of Agreement | International Prime Brokerage Agreement |
| Parties to agreement | Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and ALPHANATICS MASTER FUND LTD. |
| Transfer Date | 20211215; |
| Holder of Voting Rights | Prime broker has the right to vote securities rehypothecated from the Client. |
| Are there any restrictions on voting rights? | Yes/ No |
| If yes, detail Not applicable | |
| Scheduled Return Date (if any) | Open |
| Does the borrower have the right to return early? | Yes/ No |
| If yes, detail Prime broker may return shares which were rehypothecated from the client at any time. | |
| Does the lender have the right to recall early? | Yes/ No |
| If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client. | |
| Will the securities be returned on settlement? | Yes/ No |
| If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable. | |