

ASX AND MEDIA RELEASE

Thursday, 21 October 2021

CORRECTION TO PROXY FORM

Sequoia Financial Group Ltd (ASX: **SEQ**) advises that there was a typographical error in the Proxy Form lodged with the ASX on 20 October 2021.

The Proxy Form incorrectly stated the Board recommended a 'FOR' vote in relation to Resolution 1, however the recommendation is as per the Notice of Meeting: *"as the resolution relations to matters including the remuneration of Directors, the Board, as a matter of corporate governance and in accordance with the spirit of section 250R(4) Corporations Act, makes no recommendation regarding this resolution"*. The Proxy Form has also been updated to state that Resolution 1 is an "Advisory" Resolution rather than "Ordinary".

A revised Proxy Form is affixed to this Announcement.

– ENDS –

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This announcement was authorised for release by the Board of Directors.

For further information please contact:

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ABOUT SEQUOIA FINANCIAL GROUP LTD

ASX-listed Sequoia Financial Group Ltd (ASX: SEQ) is an integrated financial services company providing products and services to self-directed retail and wholesale clients and those of third-party professional service firms.

It provides:

- Investment and superannuation products
- Wealth management and advisory services
- Corporate advisory and capital markets expertise
- Retail, wholesale and institutional trading platforms
- Market data and financial news services

Sequoia operates various AFS Licenses and its subsidiary Morrison Securities Pty Ltd is an ASX Market Participant.



20 October 2021

SAVE TIME & VOTE ONLINE:

 registrydirect.com.au/investor

Investor Name(s)

<designation>

C/O Example Ltd

PO Box 0000

City State 9999

PROXY FORM

Please complete and return this form if you wish to appoint a proxy and/or direct how you want your votes cast at the Annual General Meeting of Sequoia Financial Group Ltd to be held at 10:30 a.m. AEDT on Thursday, 18 November 2021 and at any adjournment or postponement of the meeting. This form must be completed and returned by 10:30 a.m. AEDT on Tuesday, 16 November 2021.

Alternatively, you can appoint a proxy and/or direct how you want your votes cast online at

<https://www.registrydirect.com.au/investor/>.

Step 1 - Appoint your Proxy

I/We are or represent a member/s of Sequoia Financial Group Ltd and entitled to attend and vote hereby appoint:

☐

the Chairman of the Meeting (mark box with 'X')

OR

Write here the name of the person (or body corporate) you are appointing if this person is someone other than the Chairman of the Meeting

or failing attendance at the meeting of the person or body corporate named above, or if no person is named, the Chairman of the Meeting, to act generally at the meeting on my/our behalf and to vote in accordance with the directions on this proxy form or, if no directions have been given and to the extent permitted by law, as he or she sees fit, at the Annual General Meeting of Sequoia Financial Group Ltd to be held at 10:30 a.m. AEDT on Thursday, 18 November 2021 and at any adjournment or postponement of the meeting.

This form authorises our proxy to vote on the lesser of

☐

all our securities

OR

☐

_____ securities

I/We acknowledge, if the Chairman of the Meeting is appointed as our proxy (or becomes our proxy by default), the Chairman of the Meeting intends to vote undirected proxies in the manner set out with each resolution below, even when the Chairman of the Meeting has a conflict of interest.

Step 2 - Direct how your votes are to be cast

Resolution 1

ADOPTION OF THE REMUNERATION
REPORT

Resolution type: **Advisory**

Board recommendation: **Not provided**

Chairman's voting intention: **For**

FOR

☐

AGAINST

☐

ABSTAIN

☐

PROXY'S DISCRETION

☐

Resolution 2

RE-ELECTION OF DIRECTOR – CHARLES
SWEENEY

Resolution type: **Ordinary**

Board recommendation: **For**

Chairman's voting intention: **For**

FOR

☐

AGAINST

☐

ABSTAIN

☐

PROXY'S DISCRETION

☐

Step 3 - Sign this form

Shareholder 1 (individual)

Sole Director & Sole Company Secretary

Joint Shareholder 2 (individual)

Director/Company Secretary (Delete one)

Joint Shareholder 3 (individual)

Director

Date

Contact name

Mobile number

Email

By providing an email you agree to receive future communications electronically

SIGNING INSTRUCTIONS FOR THE PROXY FORM

Individual:

Where the holder is an individual, the securityholder must sign.

Joint holding:

Where the holding is in more than one name, all of the securityholders should sign.

Power of Attorney:

If you are executing the Proxy Form under a Power of Attorney and have not previously supplied a copy, please attach a certified copy of the Power of Attorney to the Proxy Form when you return it.

Companies:


When the holder is a company, and the company has a sole director who is also the sole company secretary, the Proxy Form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise the Proxy Form must be signed by a director jointly with either another director or a company secretary. Please sign in the appropriate place to indicate the office held and delete titles as applicable.

RETURNING THE PROXY FORM


Please note our preference is you appoint your proxy and direct how you require your vote/s be cast online. If you perform these actions online, you will not need to complete or return the Proxy Form. You can complete these actions by logging in to your account at **www.registrydirect.com.au/investor**.

You can return the Proxy Form by:

EMAIL:

 registry@registrydirect.com.au

POST:

 PO Box 18366 Collins Street
East
Melbourne VICTORIA 8003