

# Form 605

Corporations Law  
Section 671B

## Notice of ceasing to be a substantial holder

To: Company Name/Scheme IOOF HOLDINGS LIMITED  
ACN/ARSN 100 103 722

### 1. Details of substantial holder (1)

Name UBS Group AG and its related bodies corporate

ACN/ARSN (if applicable): \_\_\_\_\_

The holder ceased to be a substantial shareholder on **12 January 2016**

The previous notice was given to the company on **13 January 2016**

The previous notice was dated **8 January 2016**

### 2. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (4)	Consideration given in relation to change (5)	Class (6) and Number of securities affected	Person's votes affected
Please see Appendix A.					

### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	N/A

### 4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Details of all UBS offices can be found through the following link: <a href="http://apps2.ubs.com/locationfinder/">http://apps2.ubs.com/locationfinder/</a>	

## SIGNATURE

Print Name: Pepe Chan

Capacity: Authorised signatory

Sign Here:

Date: 14 January 2016

Print Name: Weixiao Qin

Capacity: Authorised signatory

Sign Here:

Date: 14 January 2016

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Contact details for this notice:

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Weixiao Qin  
Compliance Operations  
(T) +65 6495 8217  
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IFL - Appendix A				
Date of change	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities
11-Jan-16	UBS AG London Branch	Sell	1,285	(156)
11-Jan-16	UBS AG London Branch	Sell	1,285	(156)
11-Jan-16	UBS AG London Branch	Sell	783	(95)
11-Jan-16	UBS AG London Branch	Sell	1,829	(222)
11-Jan-16	UBS AG London Branch	Sell	2,068	(251)
11-Jan-16	UBS AG London Branch	Sell	249	(30)
11-Jan-16	UBS AG London Branch	Sell	282	(34)
11-Jan-16	UBS AG London Branch	Sell	1,496	(181)
11-Jan-16	UBS AG London Branch	Sell	530	(64)
11-Jan-16	UBS AG London Branch	Sell	149	(18)
11-Jan-16	UBS AG London Branch	Sell	447	(54)
11-Jan-16	UBS AG London Branch	Sell	99	(12)
11-Jan-16	UBS AG London Branch	Sell	512	(62)
11-Jan-16	UBS AG London Branch	Sell	942	(114)
11-Jan-16	UBS AG London Branch	Sell	116	(14)
11-Jan-16	UBS AG London Branch	Sell	653	(79)
11-Jan-16	UBS AG London Branch	Sell	1,068	(129)
11-Jan-16	UBS AG London Branch	Sell	132	(16)
11-Jan-16	UBS AG London Branch	Sell	83	(10)
11-Jan-16	UBS AG London Branch	Sell	1,954	(236)
11-Jan-16	UBS AG London Branch	Sell	1,453	(175)
11-Jan-16	UBS AG London Branch	Sell	340	(41)
11-Jan-16	UBS AG London Branch	Sell	199	(24)
11-Jan-16	UBS AG London Branch	Sell	66	(8)
11-Jan-16	UBS AG London Branch	Sell	349	(42)
11-Jan-16	UBS AG London Branch	Sell	1,311	(158)
11-Jan-16	UBS AG London Branch	Sell	1,487	(179)
11-Jan-16	UBS AG London Branch	Sell	8	(1)
11-Jan-16	UBS AG London Branch	Sell	582	(70)
11-Jan-16	UBS AG London Branch	Sell	50	(6)
11-Jan-16	UBS AG London Branch	Sell	83	(10)
11-Jan-16	UBS AG London Branch	Sell	83	(10)
11-Jan-16	UBS AG London Branch	Sell	331	(40)
11-Jan-16	UBS AG London Branch	Sell	1,176	(142)
11-Jan-16	UBS AG London Branch	Sell	224	(27)
11-Jan-16	UBS AG London Branch	Sell	323	(39)
11-Jan-16	UBS AG London Branch	Sell	753	(91)
11-Jan-16	UBS AG London Branch	Sell	248	(30)
11-Jan-16	UBS AG London Branch	Sell	836	(101)
11-Jan-16	UBS AG London Branch	Sell	1,318	(159)
11-Jan-16	UBS AG London Branch	Sell	365	(44)
11-Jan-16	UBS AG London Branch	Sell	183	(22)
11-Jan-16	UBS AG London Branch	Sell	2,024	(244)
11-Jan-16	UBS AG London Branch	Sell	100	(12)
11-Jan-16	UBS AG London Branch	Sell	1,411	(170)
11-Jan-16	UBS AG London Branch	Sell	440	(53)
11-Jan-16	UBS AG London Branch	Sell	366	(44)
11-Jan-16	UBS AG London Branch	Sell	83	(10)
11-Jan-16	UBS AG London Branch	Sell	224	(27)
11-Jan-16	UBS AG London Branch	Sell	972	(117)
11-Jan-16	UBS AG London Branch	Sell	1,512	(182)
11-Jan-16	UBS AG London Branch	Sell	972	(117)

11-Jan-16	UBS AG London Branch	Sell	66	(8)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	1,343	(162)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	75	(9)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	2,285	(276)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	66	(8)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	2,992	(362)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	50	(6)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	2,346	(283)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	740	(89)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	75	(9)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	922	(111)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	2,397	(289)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	1,483	(178)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	283	(34)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	192	(23)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	1,193	(143)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	259	(31)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	33	(4)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	17	(2)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	75	(9)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	899	(108)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	2,378	(286)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	67	(8)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	325	(39)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	425	(51)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	150	(18)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	483	(58)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	882	(106)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	557	(67)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	399	(48)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	1,258	(151)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	317	(38)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	1,779	(213)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	50	(6)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	2,362	(283)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	2,979	(357)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	42	(5)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	1,958	(235)	Ordinary
11-Jan-16	UBS AG London Branch	Sell	14,345	(1,720)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	521,059	(62,779)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	387,413	46,750	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	848	(102)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	92	(11)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	42	(5)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	425	(51)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	425	(51)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	33	(4)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	50	(6)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	83	(10)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	9,071	(1,088)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	22,886	(2,721)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	42	5	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	83	10	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	424	51	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	42	5	Ordinary

11-Jan-16	UBS Securities Australia Ltd	Sell		42	(5)	Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	2,189	264		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	423	51		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	423	51		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	50	6		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	1,840	222		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	2,081	251		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	83	10		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	1,948	235		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	2,968	358		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	8	1		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	158	19		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	3,750	450		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	2,509	301		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	3,017	362		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	1,133	136		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	742	89		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	167	20		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	225	27		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	1,167	140		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	6,217	746		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	6,359	763		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	9,842	1,181		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	10,618	1,274		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	14,335	1,720		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	22,677	2,721		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	23,952	2,874		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	29,452	3,534		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	10,033	1,203		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	60,051	7,238		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	32,847	3,967		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	18,426	(2,221)		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	7,046	852		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	88,025	10,600		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	132,371	(15,922)		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	102,343	12,307		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Sell	27,884	(3,362)		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	8,290	1,000		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	1,819	219		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Buy	19,995	2,409		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Stock borrowed	N/A	50,000		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Stock returned	N/A	(10,364)		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Stock returned	N/A	(11,000)		Ordinary
11-Jan-16	UBS Securities Australia Ltd	Stock returned	N/A	(65,281)		Ordinary
11-Jan-16	UBS AG Australia Branch	Stock received	N/A	718		Ordinary
11-Jan-16	UBS Switzerland AG	Collateral received	N/A	28,141		Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell	33	(4)		Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell	41	(5)		Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell	423	(51)		Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell	8	(1)		Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell	33	(4)		Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell	8	(1)		Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell	8	(1)		Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell	8	(1)		Ordinary

12-Jan-16	UBS Securities Australia Ltd	Sell		8	(1)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		8	(1)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		8	(1)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		8	(1)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		390	(47)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		390	(47)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		25	(3)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		17	(2)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		63,226	(7,618)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		41	5	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		423	51	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		8	1	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		33	4	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		8	1	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		8	1	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		8	1	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		390	47	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		390	47	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		2,844	343	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		41	5	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		17	2	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		25	3	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		41	5	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		25	(3)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		41	(5)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		3,279	395	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		41,500	5,000	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		57,210	6,879	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		21,824	2,620	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		88,910	(10,708)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		26,941	3,253	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		135,181	(16,291)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		7,667	921	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		66,608	7,999	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		253,018	(30,417)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		289,603	34,798	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		27,110	(3,251)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		7,751	935	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Buy		32,260	3,850	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Sell		24,840	(3,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned		N/A	(20,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned		N/A	(43,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned		N/A	(1,432)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned		N/A	(34,661)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned		N/A	(35,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned		N/A	(77,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned		N/A	(10,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned		N/A	(15,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned		N/A	(10,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned		N/A	(6,000)	Ordinary

12-Jan-16	UBS Securities Australia Ltd	Stock returned	N/A	(6,225)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned	N/A	(5,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned	N/A	(37,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned	N/A	(40,000)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned	N/A	(240,394)	Ordinary
12-Jan-16	UBS Securities Australia Ltd	Stock returned	N/A	(20,000)	Ordinary
12-Jan-16	UBS Wealth Management Australia Ltd	Transfer-in	N/A	248	Ordinary
12-Jan-16	UBS Switzerland AG	Collateral returned	N/A	(28,141)	Ordinary