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18 March 2020

Mr Corey Lian  
Adviser, ASX Listings Compliance (Sydney)  
ASX Compliance Pty Ltd

By email: [ListingsComplianceSydney@asx.com.au](mailto:ListingsComplianceSydney@asx.com.au)

Dear Mr Lian

**Re: TBG Diagnostics Limited ('Company'): Response to Price Query**

We refer to your letter dated 17 March 2020 regarding the recent change in the price of the Company's securities, and respond to your queries in the same order as raised:

1. No. Following the release of the Company's announcement to ASX this morning titled "CE Mark approval of COVID-19 Virus Diagnostic Kit", all relevant information has been disclosed to market.
2. Not applicable.
3. The Company notes the recent public interest in the global coronavirus health pandemic, and the previous announcements made by the Company on 27 February 2020 and in its Preliminary Final Report on 28 February 2020 referring to its investee company, TBG Biotechnology Xiamen Inc's active involvement in coronavirus testing.
4. The Company is currently in compliance with the Listing Rules, and in particular, Listing Rule 3.1.
5. Confirmed. This response has been authorized accordingly.

A handwritten signature in blue ink, appearing to read 'Jitto Arulampalam'.

Jitto Arulampalam  
+61 421 617 766

Executive Chairman

On behalf of the Board of Directors  
TBG Diagnostics Limited



17 March 2020

Reference: 15612

Mr Justyn Stedwell  
Company Secretary  
TBG Diagnostics Limited  
Level 27, 101 Collins Street  
Melbourne VIC 3000

By email:

Dear Mr Stedwell

### **TBG Diagnostics Limited ('TDL'): Price Query**

We note the change in the price of TDL's securities from a low of \$0.026 to a high of \$0.30 in the last few days.

We also note the significant increase in the volume of TDL's securities traded from 16 March 2020 to 17 March 2020.

### **Request for Information**

In light of this, ASX asks TDL to respond separately to each of the following questions and requests for information:

1. Is TDL aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is TDL relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in TDL's securities would suggest to ASX that such information may have ceased to be confidential and therefore TDL may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that TDL may have for the recent trading in its securities?
4. Please confirm that TDL is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that TDL's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of TDL with delegated authority from the board to respond to ASX on disclosure matters.

### **When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **9:30 AM AEDT tomorrow Wednesday, 18 March 2020**. If we do not have your

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response by then, ASX will likely suspend trading in TDL's securities under Listing Rule 17.3. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, TDL's obligation is to disclose the information "immediately". This may require the information to be disclosed before the deadline set out in the previous paragraph.

ASX reserves the right to release a copy of this letter and your response on the ASX Market Announcements Platform under Listing Rule 18.7A. Accordingly, your response should be in a form suitable for release to the market. Your response should be sent to me by e-mail at [ListingsComplianceSydney@asx.com.au](mailto:ListingsComplianceSydney@asx.com.au). It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Listing Rules 3.1 and 3.1A**

Listing Rule 3.1 requires a listed entity to give ASX immediately any information concerning it that a reasonable person would expect to have a material effect on the price or value of the entity's securities. Exceptions to this requirement are set out in Listing Rule 3.1A. In responding to this letter, you should have regard to TDL's obligations under Listing Rules 3.1 and 3.1A and also to Guidance *Note 8 Continuous Disclosure: Listing Rules 3.1 – 3.1B*. It should be noted that TDL's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in TDL's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We may require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted.

You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above ASX will likely suspend trading in TDL's securities under Listing Rule 17.3.

### **Enquiries**

If you have any queries or concerns about any of the above, please contact me immediately.

Kind regards

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**Corey Lian**  
Adviser, Listings Compliance (Sydney)